

Welcome



HRC Board Meeting

July 20, 2021





JULY MEETING OF THE BOARD OF TRUSTEES

TUESDAY, July 20, 2021 @ 6:30 p.m.

Via ZOOM Webinar

https://us06web.zoom.us/webinar/register/WN_rG5LOL1eRT68lP5yL4K8bw

A G E N D A

- 1. CALL TO ORDER & INTRODUCTIONS.....CHRISTOPHER PATAY, President**
- 2. MINUTES.....RON BERGMANN, Secretary
OF THE MAY 18, 2021 MEETING**
- 3. TREASURER'S REPORT.....FU-TIEN CHIOU, Treasurer**
- 4. EXECUTIVE REPORT*.....PATRICK RUPPE, Executive Director**
- 5. COMMITTEE REPORTS:**
 - a) ARCA.....JOE CZARSKA, LIAISON**
 - b) AUDIT.....LAVELLE GATES, CHAIRPERSON**
 - c) BOARD DEVELOPMENTJOE CZARSKA, CHAIRPERSON**
 - d) BOARD PLANNING.....KIM VUONG, CHAIRPERSON**
 - e) CLIENT ADVISORY.....DAVID GAUTHIER, CHAIRPERSON**
 - f) CLIENT SERVICES.....FU-TIEN CHIOU, CHAIRPERSON**
 - g) COMMUNITY RELATIONS.....ANN LEE, CHAIRPERSON**
 - h) RETIREMENT.....RON BERGMANN, CHAIRPERSON**
 - i) SELF-DETERMINATION.....ANTOINETTE PEREZ, LIAISON**
 - j) SERVICE PROVIDER ADVISORY.....PAUL QUIROZ, CHAIRPERSON**
- 6. PUBLIC INPUT/ANNOUNCEMENTS**
- 7. EXECUTIVE SESSION.....CHRISTOPHER PATAY, President**
- 8. ADJOURNMENT – 8:00 p.m.**

***indicates “action”**

cc: All Board members
HRC Receptionist



Ron Bergmann

MINUTES May 18, 2021



**MINUTES OF THE MAY 18, 2021 MEETING OF THE BOARD OF TRUSTEES OF THE
HARBOR DEVELOPMENTAL DISABILITIES FOUNDATION, INC.**

<p><u>BOARD PRESENT:</u> Mr. Ron Bergmann, <i>Treasurer</i> Mr. Fu-Tien Chiou, <i>Secretary</i> Mr. Joe Czarske, <i>President</i> Dr. James Flores, Board Member Mr. La Velle Gates, Board Member Mr. David Gauthier, Board Member Ms. Ann Lee, Ph.D, Board Member Mr. Christopher Patay, <i>Vice-President</i> Ms. Paul Quiroz, Board Member Dr. Monica Sifuentes, Board Member Ms. Kim Vuong, Board Member</p> <p><u>BOARD ABSENT:</u> Mr. Jeffrey Herrera, Board Member Dr. Bobbie Rendon-Christensen, Board Member Ms. Latisha Taylor, Board Member</p>	<p><u>STAFF PRESENT:</u> Mr. Patrick Ruppe, Executive Director Ms. Nancy Spiegel, Director of Information & Development Ms. LaWanna Blair, Director of Early Childhood Services Ms. Antoinette Perez, Director of Children’s Services Ms. Judy Samana Taimi, Director of Adult Services Mr. Mike Ikegami, Director of IT Mr. Richard Malin, Manager of IT Ms. Jennifer Lauro, Executive Assistant Mr. Jesus Jimenez, Executive Team Assistant</p> <p><u>STAFF ABSENT:</u> Ms. Judy Wada, Chief Financial Officer Ms. Mary Hernandez, Director of Case Management Support Services</p> <p><u>GUESTS:</u> Ms. Kathy Sanders Platnick, HRC Parent Ms. Dana Simon Mr. Scott M Ms. Jamie Van Dusen, DDS Ms. Hilda Jimenez, DDS</p>
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CALL TO ORDER

Mr. Czarske called the Board to order at 6:30 p.m.

PRESIDENT’S REPORT

Mr. Czarske welcomed Board members, guest and staff and took roll call of Board Members and HRC Staff.

Mr. Czarske reviewed with visitors the various zoom instructions and how to use the interpretation feature.

Mr. Czarske encouraged any visitors who wish to address the Board at the end of our meeting during the time we have set aside for public comment to please made a request through the Chat.

Mr. Czarske announced that tonight is our Annual Board Meeting and we will have an ‘election of officers’ for fiscal year 2021-2022 and an ‘election of two new board members’ who will serve a two year term. Board Members have received two links to vote through the chat feature. For the Slate of Officers for fiscal year 2021-22, the Board Members will vote yes, no or abstain for the following members:

Chris Patay	President
Ann Lee	Vice President
Ron Bergmann	Secretary
Fu-Tien Chiou	Treasurer

For the election of two new Board Members whose term will be for two-years beginning July 1, 2021 through June 30, 2023. The Board Members will vote yes, no or abstain for the following candidates:

Jacquelyn Solorio	Board Member Candidate
Dr. Marco Garcia	Board Member Candidate

Mr. Czarske advised Board Members experiencing any difficulty in accessing the link to ask IT Staff for assistance. Mr. Czarske informed that the results of the re-election will be forwarded to the Board President once balloting is completed and the Board Development Committee Chairperson will report on the results of the re-election during the reporting of the Committee Reports.

Mr. Czarske advised that we will now proceed with our regular agenda.

PRESENTATION OF MINUTES

Mr. Chiou presented the minutes of the March 16, 2021 meeting of our Board which were included in the board packet provided to all Board members and posted for the general public on the HRC website.

The MINUTES OF THE MARCH 16, 2021 BOARD MEETING were received and filed.

PRESENTATION OF FINANCIALS

Mr. Bergmann reviewed the following financial statements, which were received and filed:

Harbor Regional Center Monthly Financial Report Fiscal Year 2020-21, dated February 2021; Harbor Regional Center Functional Expenditures, dated February 2021; Harbor Regional Center Line Item Report, dated February 2021; Harbor Regional Center POS Contract Summary, February 2021; Harbor Regional Center Monthly Financial Report Fiscal Year 2020-21, dated March 2021; Harbor Regional Center Functional Expenditures, dated March 2021; Harbor Regional Center Line Item Report, dated March 2021 and the Harbor Regional Center POS Contract Summary, dated March 2021 and the Harbor Developmental Disabilities Foundation Harbor Help Fund Statement of Activities Fiscal Year 2020-21.

EXECUTIVE REPORT

1. CORONA VIRUS UPDATES:

Mr. Ruppe announced that COVID numbers have been declining across all categories and indicated that there has been a dramatic decrease in new cases being reported. Mr. Ruppe noted that in reviewing the various tables and charts of current data compiled and provided to the Department of Developmental Services (DDS) by the 21 regional centers we find that certain communities continue to be impacted disproportionately by COVID 19. For Harbor Regional Center (HRC) clients, the number of individuals in the Hispanic community that have tested positive is greater than their percentage of HRC clients, which is 49% versus 40% of HRC clients. Mr. Ruppe indicated that HRC has continued to take a leadership role in the community by offering our Torrance office as a COVID 19 Vaccination site and we are listed on the MY TURN website. Mr. Ruppe announced that to date HRC has provided our community with over 2,000 doses of the Moderna vaccine.

2. POS EXPENDITURE PROJECTION (PEP) FY 2020-21 UPDATE:

Mr. Ruppe updated the Board on the most recent PEP report for fiscal year 2020-21 which indicates that the projection for Statewide purchase of service expenditures continues to show a maximum projected deficit of \$34 million dollars this year.

3. CONTRACTS – PURCHASE OF SERVICE (THE COLUMBUS ORGANIZATION):

Mr. Ruppe advised that the Lanterman Act requires any regional center contract which exceeds \$250,000 be approved by the regional center board. Typically, our clinical services is in excess of \$250,000, thus the Executive Committee is recommending a motion to approve the following purchase of service contract: The Columbus Organization: \$1,750,000. The Columbus Organization provides clinical consultation services to HRC staff and providers regarding client specific issues. This contract includes the services of three (3) psychologists, three (3) registered nurses, one (1) pharmacist, one (1) forensic specialist and one (1) occupational therapist.

Description of Services: Professional Services

Ray of payment: Hourly rate based on position

Pricing includes salaries, taxes, benefits and indirect costs

Current Positions include (average bill rate):

Nurses-R.N. (3 FTE)	\$88.07
Psychologists (2 FTE)	\$92.62
Bi-Lingual Psychologist (1 FTE)	\$96.00
Forensic Specialist (1 FTE)	\$72.59
Pharmacist (1 FTE)	\$107.63
Occupational Therapist (1 FTE)	\$95.68

Original Contract period: July 1, 2021 to June 30, 2023

Projected Annual Amount: not to exceed \$1,750,000

Mr. Chiou moved to approve the Purchase of Service Contract for Professional Services, in the projected annual amount and not to exceed \$1,750,000 and Ms. Lee seconded the motion which was unanimously approved by the Board.

4. CONTRACTS – TRANSPORTATION (ROUND TRIP):

Mr. Ruppe advised that the Lanterman Act requires that any regional center contract which exceeds \$250,000 be approved by the Board of Trustees. Typically, our transportation services contracts are in excess of \$250,000. These agencies provide transportation services to HRC clients. The rate of payment is per client, per one-way trip and the rate varies by zones. The Executive Committee is recommending a motion to approve the following transportation contract:

Description of Services: Transportation Services

Projected annual amount: approximately \$8,200,000 to \$9,800,000 total for all contract transportation providers

Rate of payment: Per client, per one-way trip, rate varies by zone

- Current Range: \$14.34 to \$21.83 per trip (includes SB 81 Supplemental Rate Increase effective 1/1/2020)
- Anticipated Range: \$13.25 to \$20.18 per trip (SB 81 is currently set to expire on 12/31/2021)
- Fuel Surcharge for gas price in excess of \$3.00/gallon, for diesel in excess of \$3.75/gallon
- Contract period: July 1, 2021 to June 30, 2024; may be extended for two (2) additional one-year

Mr. Bergmann moved to approve the Round Trip Transportation Contract for Transportation Services, in the projected annual amount: approximately \$8,200,000 to \$9,800,000 total for all contract transportation providers and Ms. Vuong seconded the motion which was unanimously approved by the Board.

5. CONTRACTS – TRANSPORTATION (IDEAL TRANSIT):

Mr. Ruppe advised that the Lanterman Act requires that any regional center contract which exceeds \$250,000 be approved by the Board of Trustees. Typically, our transportation services contracts are in excess of \$250,000. These agencies provide transportation services to HRC clients. The rate of

payment is per client, per one-way trip and the rate varies by zones. The Executive Committee is recommending a motion to approve the following transportation contract:

Description of Services: Transportation Services

Projected annual amount: approximately \$8,200,000 to \$9,800,000 total for all contract transportation providers

Rate of payment: Per client, per one-way trip, rate varies by zone

- Current Range: \$14.42 to \$23.74 per trip (includes SB 81 Supplemental Rate Increase effective 1/1/2020)
- Anticipated Range: \$13.33 to \$21.94 per trip (SB 81 is currently set to expire on 12/31/2021)
- Fuel Surcharge for gas price in excess of \$3.00/gallon, for diesel in excess of \$3.75/gallon
- Contract period: July 1, 2021 to June 30, 2024; may be extended for two (2) additional one-year

Ms. Vuong moved to approve the Ideal Transit Transportation Contract for Transportation Services, in the projected annual amount: approximately \$8,200,000 to \$9,800,000 total for all contract transportation providers and Ms. Sifuentes seconded the motion which was unanimously approved by the Board.

6. CONTRACTS – TRANSPORTATION (COMFORT):

Mr. Ruppe advised that the Lanterman Act requires that any regional center contract which exceeds \$250,000 be approved by the Board of Trustees. Typically, our transportation services contracts are in excess of \$250,000. These agencies provide transportation services to HRC clients. The rate of payment is per client, per one-way trip and the rate varies by zones. The Executive Committee is recommending a motion to approve the following transportation contract:

Description of Services: Transportation Services

Projected annual amount: approximately \$8,200,000 to \$9,800,000 total for all contract transportation providers

Rate of payment: Per client, per one-way trip, rate varies by zone

- Current Range: \$14.30 to \$21.83 per trip (includes SB 81 Supplemental Rate Increase effective 1/1/2020)
- Anticipated Range: \$13.22 to \$20.18 per trip (SB 81 is currently set to expire on 12/31/2021)
- Fuel Surcharge for gas price in excess of \$3.00/gallon, for diesel in excess of \$3.75/gallon
- Contract period: July 1, 2021 to June 30, 2024; may be extended for two (2) additional one-year

Mr. Gauthier moved to approve the Comfort Transportation Contract for Transportation Services, in the projected annual amount: approximately \$8,200,000 to \$9,800,000 total for all contract transportation providers and Mr. Gates seconded the motion which was unanimously approved by the Board.

7. CONTRACTS – TRANSPORTATION (RELIABLE):

Mr. Ruppe advised that the Lanterman Act requires that any regional center contract which exceeds \$250,000 be approved by the Board of Trustees. Typically, our transportation services contracts are in excess of \$250,000. These agencies provide transportation services to HRC clients. The rate of payment is per client, per one-way trip and the rate varies by zones. The Executive Committee is recommending a motion to approve the following transportation contract:

Description of Services: Transportation Services

Projected annual amount: approximately \$8,200,000 to \$9,800,000 total for all contract transportation providers

Rate of payment: Per client, per one-way trip, rate varies by zone

- Current Range: \$14.94 to \$22.80 per trip (includes SB 81 Supplemental Rate Increase effective 1/1/2020)
- Anticipated Range: \$13.81 to \$21.07 per trip (SB 81 is currently set to expire on 12/31/2021)
- Fuel Surcharge for gas price in excess of \$3.00/gallon, for diesel in excess of \$3.75/gallon
- Contract period: July 1, 2021 to June 30, 2024; may be extended for two (2) additional one-year

Mr. Patay moved to approve the Reliable Transportation Contract for Transportation Services, in the projected annual amount: approximately \$8,200,000 to \$9,800,000 total for all contract transportation providers and Mr. Flores seconded the motion which was unanimously approved by the Board.

8. BORROWING RESOLUTION (PACIFIC PREMIER BANK LINE OF CREDIT)

Mr. Ruppe advised that it is necessary for HRC to have a line of credit in place in the event we have insufficient cash to ensure that our service providers are paid timely and to meet other obligations. HRC has renewed its line of credit with Pacific Premier Bank. The current agreement was dated May 27, 2020. The termination date will be extended from June 30, 2021 to June 30, 2022. The principal amount of \$41,000,000 is being increased to \$43,000,000 and will become available beginning July 1, 2021 to manage case flow requirements as needed. Below you will find a borrowing resolution that will require adoption by the Board.

BORROWING RESOLUTION: RESOLVED that Harbor Regional Center renew its line of credit with Pacific Premier Bank from \$41,000,000 to \$43,000,000 to manage cash flow requirements as needed.

Mr. Gates moved to adopt the borrowing resolution as noted above and Ms. Sifuentes seconded the motion which was unanimously approved by the Board.

9. BORROWING RESOLUTION (RETIREMENT PLAN SUPPLEMENTAL CONTRIBUTION)

Mr. Ruppe informed that Harbor Regional Center has a Prototype Profit Sharing Plan with a 401(k) Feature (“the Retirement Plan”) managed by TIAA. HRC makes contributions to each active staff persons account. These contributions are in lieu of contributions to Social Security. At this time, HRC has an operations surplus and is proposing to utilize operations funds to make a one-time supplemental contribution to the Retirement Plan. The supplemental contribution to the Retirement Plan will be paid to each active employee as of May 14, 2021 in the amount of 1.00% of the regular salary paid during the one year period to and including that date (twenty-six (26) pay periods). Below you will find a borrowing resolution that will require adoption by the Board.

BORROWING RESOLUTION: RESOLVED that Harbor Regional Center make a supplemental contribution to the Harbor Regional Center Prototype Profit Sharing Plan with a 401(k) Feature (“the Retirement Plan”) utilizing operations funds in the Fiscal Year 2020-21. The supplemental contribution to the Retirement Plan will be paid to each active employee as of May 14, 2021 in the amount of 1.00% of the regular salary paid during the one year period to and including that date (twenty-six (26) pay periods).

Mr. Chiou moved to adopt the borrowing resolution as noted above and Mr. Gauthier seconded the motion which was unanimously approved by the Board.

10. FY 2021-22 BUDGET

Mr. Ruppe reported on the budget for fiscal year 2021-22 and indicated that overall the budget in the May Revise shows no decreases noted for all of the regional centers. Specifically for fiscal year 2021-22, there is an increase of 7.8% for operations and a 9% increase in purchase of service funds.

11. MAY REVISE HIGHLIGHTS

Mr. Ruppe informed that the revised budget eliminates the Uniform Holiday Schedule and the sunset clause for the providers 8.2% supplemental rate increase, which will only benefit our clients and provider community. The elimination of the rate sunset will allow providers to accurately manage their business needs past 12/31. Mr. Ruppe then summarized the various May Revise Highlights.

12. CASELOAD GROWTH

Mr. Ruppe called the Board's attention to one area of concern in the budget which is the projected caseload growth for the coming fiscal year and summarized the key concerns.

13. BYLAWS

Mr. Ruppe advised that Harbor's corporate bylaws needed to be revised to ensure that they reflect current laws and regulations. The bylaws for the Harbor Developmental Disabilities Foundation were last revised in 2016 (Sixth Re-Statement). Since 2016 many changes have occurred in the Lanterman Act and in laws and regulations that oversee corporations in California. The proposed revisions for the bylaws were reviewed with the entire Board of Trustees at the April Board training meeting. Further, the Executive Committee has reviewed the bylaws and recommends that the Seventh Re-Statement of bylaws of Harbor Developmental Disabilities Foundation dba Harbor Regional Center be approved.

Mr. Flores moved to adopt the draft seventh restatement of the bylaws as revised for Harbor Regional Center and Mr. Chiou seconded the motion, which was unanimously approved by the Board.

14. PRESENTATION ON 'PREPARING FOR ADULTHOOD'

Mr. Ruppe called the Board's attention to a new video just produced for Harbor Regional Center on how we prepare individuals for transition. Mr. Ruppe referred the Board to Ms. Nancy Spiegel, Director of Information and Development who will share a clip from the video regarding transitioning to adulthood. The video has been produced in English and Spanish and has been posted to our website.

15. PRESENTATION ON THE SELF-DETERMINATION PROGRAM

Mr. Ruppe informed that Ms. Antoinette Perez, Director of Children's Department will give a brief presentation on the Self-Determination Program, which will be going live in June. We are excited to share the latest progress we have made in implementing the program.

COMMITTEE REPORTS

A. ARCA

Mr. Czarske advised that we have been kept advised of all of the various activities taking place in Sacramento by the ARCA staff, specifically the Budget and May Revise which has been the focus of the last couple of meetings.

B. AUDIT

Mr. Gates reported that the 990 has been filed.

C. BOARD DEVELOPMENT

Mr. Bergmann reported that the Board Development Committee met on April 14th via zoom and interviewed two candidates for board recruitment and also developed a slate of officers' ballots for this annual May meeting. Tonight, board members voted to elect two new Board members, who are Ms. Jacquelyn Solorio and Dr. Marco Garcia who are eligible to serve on the board for a two year term. The Board members also voted on the Slate of Officers for FY 2021-22.

D. BOARD PLANNING

Mr. Czarske informed that there has been no meeting of the Board Planning Committee since the last Board Meeting.

E. CLIENT ADVISORY

Mr. Czarske informed that there has been no meeting of the Client Advisory Committee since the last Board meeting.

F. CLIENT SERVICES

Ms. Blair advised that the Client Services Committee met on March 23rd via zoom and had a discussion about client sensitivity by Dr. Denise Godfrey-Pinn, HRC's consulting psychologist. Ms. Blair also reported how the Committee was advised that HRC is working on creating a list of trainings that can be offered to the community and support groups.

G. COMMUNITY RELATIONS

Mr. Czarske informed that there has been no meeting of the Community Relations Committee since the last Board Meeting.

H. RETIREMENT

Mr. Chiou reported on the Retirement Plan Balances as of March 31, 2021.

I. SELF-DETERMINATION ADVISORY

Ms. Perez advised the Board that the Self-Determination Advisory continues to meet monthly via zoom and provided an update on the March and April meetings.

J. SERVICE PROVIDER ADVISORY

Mr. Quiroz reported that the Service Provider Advisory Committee met on April 6th via zoom and were provided information on the following topics: update on COVID-19, budget and rates, alternative service rates (ASDM), updates on Self-Determination, on Re-Engagement planning for Day Programs, HCBS updates, HRC Disparity funding, community engagement and lastly on the importance of Grass Roots Day.

PUBLIC COMMENT

Mr. Czarske advised that public input was next on the agenda. Mr. Czarske stated that he will call upon each person who has asked to address the Board and requested that he or she limit their comments to two minutes in order to accommodate everyone.

Mr. Czarske indicated that we had no attendees request to address the Board through the Zoom Chat this evening.

EXECUTIVE SESSION

Mr. Czarske advised that there will be no executive session tonight.

ADJOURNMENT

Mr. Czarske thanked all those who participated in our Board meeting tonight. Mr. Czarske reminded Board members that our next Board meeting on June 15, 2021 will be a training meeting via zoom and our next regular business meeting will be a zoom webinar on July 20, 2021.

Submitted by: _____

Fu-Tien Chiou, Secretary
Board of Trustees
Harbor Developmental Disabilities Foundation



Fu-Tien Chiou

FINANCIAL STATEMENTS

**HARBOR REGIONAL CENTER
MONTHLY FINANCIAL REPORT
FISCAL YEAR 2020-21
Apr-21**

	B-3 Allocation	Month Exp	Y-T-D Expenses	Proj. Annual Expenses*	Proj. Funds Available
Operations					
Salaries & Benefits	\$27,934,023	\$2,000,861	\$22,211,624	\$27,934,023	\$0
Operating Expenses	\$9,052,607	\$743,287	\$6,121,527	\$9,052,607	\$0
less other income**	<u>(\$927,716)</u>	<u>(\$32,668)</u>	<u>(\$451,956)</u>	<u>(\$927,716)</u>	<u>\$0</u>
Total Operations	\$36,058,914	\$2,711,480	\$27,881,196	\$36,058,914	\$0
Purchase of Service					
Regular*	\$254,637,082	\$21,523,924	\$194,723,299	\$244,498,457	\$10,138,625
Compliance with HCBS Regulations	\$514,360	\$0	\$0	\$514,360	\$0
less other income	<u>(\$3,050,401)</u>	<u>(\$215,992)</u>	<u>(\$2,412,727)</u>	<u>(\$3,050,401)</u>	<u>\$0</u>
Subtotal Regular	\$252,101,041	\$21,307,931	\$192,310,572	\$241,962,416	\$10,138,625
CPP/CDRP	<u>\$760,969</u>	<u>\$5,832</u>	<u>\$83,733</u>	<u>\$760,969</u>	<u>\$0</u>
Total Purchase of Service	\$252,862,010	\$21,313,763	\$192,394,306	\$242,723,385	\$10,138,625
TOTAL	\$288,920,924	\$24,025,243	\$220,275,501	\$278,782,299	\$10,138,625
% of Budget	100.00%	8.32%	76.24%	96.49%	

* The Projected Annual Expenses for Regular POS is based on actual expenditures through April and estimated costs of new programs, growth, and pending service provider rate changes. POS includes an offset for other income for ICF SPA expenditures. ICF SPA expenditures are not funded through the contracted with DDS but billed separately. The Projected Expenses increased by approximately \$740,000 from the prior month financial report.

** In November 2020, HRC submitted an application to the federal Department of Health and Human Services for a distribution from the CARES Act Provider Relief Fund. On April 6, 2021, HRC received approximately \$552,000. The Provider Relief Fund payment can only be used to prevent, prepare for, and respond to COVID-19 and must be expended no later than June 30, 2021.

*** The intent letter for B-3 Amendment was received on May 20, 2021. The allocation included approximately \$192,000 in Diversity funding; \$514,000 in HCBS compliance funding; \$650,000 in CPP/CRDP Start Up; and \$7.57 million in Regular POS funds.

**HARBOR REGIONAL CENTER
FUNCTIONAL EXPENDITURES
Apr-21**

	B-3 Allocation	Purchase of Service Month Y-T-D		Salaries & Benefits Month Y-T-D		Operating Expenses Month Y-T-D		Total Expended Month Y-T-D	
PROGRAM SERVICES									
Intake	6,235,946			337,345	3,744,880	125,318	1,032,090	462,663	4,776,969
Case Management	23,989,528			1,297,759	14,406,459	482,096	3,970,423	1,779,855	18,376,882
Program Development	821,103			44,419	493,098	16,501	135,898	60,920	628,996
Other Client Services	1,867,825			101,043	1,121,687	37,536	309,137	138,579	1,430,824
Out-of-Home Living *	105,191,367	8,889,201	82,913,142					8,889,201	82,913,142
Day Programs	76,502,710	6,647,907	59,822,407					6,647,907	59,822,407
Transportation	9,172,600	350,670	6,620,134					350,670	6,620,134
Other Services	46,773,662	3,492,151	31,767,615					3,492,151	31,767,615
COVID-19 Related	16,996,743	2,143,995	13,600,001					2,143,995	13,600,001
CPP/CDRP, Other	760,969	5,832	83,733					5,832	83,733
Total Program Services	288,312,453	21,529,756	194,807,032	1,780,566	19,766,124	661,451	5,447,547	23,971,773	220,020,704
SUPPORTING SERVICES									
Administration	4,072,228			220,295	2,445,500	81,836	673,980	302,131	3,119,480
SUBTOTAL	292,384,681	21,529,756	194,807,032	2,000,861	22,211,624	743,287	6,121,527	24,273,904	223,140,184
Revenue								(248,661)	(2,864,683)
TOTAL	288,406,564							24,025,243	220,275,501

*Net of Client Support

**HARBOR REGIONAL CENTER
LINE ITEM REPORT
Apr-21**

	FY 2020-21 B-3 Allocation	Net Expended Month	Y-T-D	Projected Expenses	Proj Annual Expenses	Proj. Funds Available
PURCHASE OF SERVICE						
Regular						
320** Out-of-Home	105,191,367	8,889,201	82,913,142	18,089,928	101,003,070	4,188,297
430** Day Programs	76,502,710	6,647,907	59,822,407	13,634,273	73,456,680	3,046,030
6505* Transportation	9,172,600	350,670	6,620,134	2,187,250	8,807,384	365,216
650** Other Services	46,773,662	3,492,151	31,767,615	13,143,707	44,911,322	1,862,340
Various COVID-19 Related	16,996,743	2,143,995	13,600,001	2,720,000	16,320,001	676,742
TBD HCBS Compliance	<u>514,360</u>	<u>0</u>	<u>0</u>	<u>514,360</u>	<u>514,360</u>	<u>0</u>
Subtotal Regular POS	255,151,442	21,523,924	194,723,299	50,289,518	245,012,817	10,138,625
Community Placement & Program Development						
32010 Start Up	650,000	0	0	650,000	650,000	0
6507* Surge Capacity Start Up	103,433	5,832	83,733	19,700	103,433	0
65*** Placement/Assessment	<u>7,536</u>	<u>0</u>	<u>0</u>	<u>7,536</u>	<u>7,536</u>	<u>0</u>
Subtotal CPP/CDRP	760,969	5,832	83,733	677,236	760,969	0
Revenue						
20090 ICF SPA Income	-3,050,401	(215,992)	(2,412,727)	(637,674)	(3,050,401)	0
TOTAL PURCHASE OF SERVICE	252,862,010	21,313,763	192,394,306	50,329,079	242,723,385	10,138,625
OPERATIONS						
Salaries & Benefits						
2501- Salaries and Wages	21,598,365	1,548,414	17,180,799	4,417,566	21,598,365	0
2503- Benefits	<u>6,335,658</u>	<u>452,448</u>	<u>5,030,826</u>	<u>1,304,832</u>	<u>6,335,658</u>	<u>0</u>
Subtotal Salaries & Benefits	27,934,023	2,000,861	22,211,624	5,722,399	27,934,023	0
Operating Expenses						
30020 Equipment Maint	418,490	67,908	256,248	162,242	418,490	0
30030 Facility Rental	4,712,364	379,806	4,123,332	589,032	4,712,364	0
30035 Facility Rent Subleases	247,002	19,385	218,279	28,723	247,002	0
30040 Facility Maint	383,794	16,520	155,367	228,427	383,794	0
30050 Communication	528,223	29,074	360,591	167,632	528,223	0
30060 General Office Exp	151,725	10,979	69,906	81,819	151,725	0
30070 Printing	126,592	5,775	52,162	74,430	126,592	0
30080 Insurance	183,924	9,980	178,924	5,000	183,924	0
30090 Utilities	12,894	692	8,472	4,422	12,894	0
30110 Data Processing Maint	57,860	7,100	49,994	7,866	57,860	0
30123 Interest/Bank Expense	12,593	16	9,927	2,666	12,593	0
30140 Legal Fees	19,653	6,195	14,710	4,944	19,653	0
30150 Board of Dir. Exp	5,000	91	864	4,136	5,000	0
30160 Accounting Fees	55,800	0	41,200	14,600	55,800	0
30170 Equipment Purchases	185,759	69,056	142,372	43,387	185,759	0
30180 Contr/Consult Services	76,205	4,000	10,460	65,745	76,205	0
30184 Clinical Services	103,646	14,396	83,447	20,199	103,646	0
30185 Employee Conferences	22,260	150	3,258	19,002	22,260	0
30220 Travel in State	12,354	7	435	11,919	12,354	0
30223 Staff Mileage	10,000	162	792	9,208	10,000	0
30230 ARCA Dues	86,492	80,458	80,458	6,034	86,492	0
30240 General Expenses	788,857	12,880	148,221	640,636	788,857	0
30241 Diversity Funding	192,473	0	0	192,473	192,473	0
30247 General Exp C19 SOE	<u>552,273</u>	<u>8,659</u>	<u>112,109</u>	<u>440,164</u>	<u>552,273</u>	<u>0</u>
Subtotal Operating Expenses	9,052,607	743,287	6,121,527	2,931,080	9,052,607	0
Other Revenue						
20040 Interest Income	-127,335	(4,160)	(109,425)	(17,910)	(127,335)	0
20050 Other Income	-8,483	(100)	(4,585)	(3,898)	(8,483)	0
20055 Other Income-Subleases	-186,900	(16,027)	(174,924)	(11,976)	(186,900)	0
20100 ICF SPA Admin Fee	-52,725	(3,722)	(50,913)	(1,812)	(52,725)	0
TBD Provider Relief Fund	<u>-552,273</u>	<u>(8,659)</u>	<u>(112,109)</u>	<u>(440,164)</u>	<u>(552,273)</u>	<u>0</u>
Subtotal Other Revenue	-927,716	(32,668)	(451,956)	(475,760)	(927,716)	0
TOTAL OPERATIONS	36,058,914	2,711,480	27,881,196	8,177,718	36,058,914	0
TOTAL	288,920,924	24,025,243	220,275,501	58,506,798	278,782,299	10,138,625
% of Budget	1	8.32%	76.24%	20.25%	96.49%	3.51%

**HARBOR REGIONAL CENTER
POS CONTRACT SUMMARY
Apr-21**

Fiscal Year	Contract	Fund	POS Budget	POS Claimed	Current Balance/ (Deficit)	Projected Expenses	Projected Balance/ (Deficit)
2020-21	B-3	Reg POS	\$251,586,681	\$192,274,734	\$59,311,947	\$49,173,322	\$10,138,625
		CPP/CDRP	\$760,969	\$83,733	\$677,236	\$677,236	\$0
		HCBS Compliance	<u>\$514,360</u>	<u>\$0</u>	<u>\$514,360</u>	<u>\$514,360</u>	<u>\$0</u>
		TOTAL	\$252,862,010	\$192,358,468	\$60,503,542	\$50,364,917	\$10,138,625
2019-20	A-7	Reg POS	\$223,767,932	\$219,497,246	\$4,270,686	\$454,827	\$3,815,859
		CPP	\$2,452,990	\$953,462	\$1,499,528	\$1,499,528	\$0
		HCBS Compliance	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
		TOTAL	\$226,220,922	\$220,450,708	\$5,770,214	\$1,954,355	\$3,815,859
2018-19	E-5	Reg POS	\$197,513,157	\$195,696,919	\$1,816,238	\$9,462	\$1,806,776
		PDF	\$77,443	\$0	\$77,443	\$77,443	\$0
		CPP	\$3,299,838	\$2,904,919	\$394,919	\$394,919	\$0
		HCBS Compliance	<u>\$50,001</u>	<u>\$49,750</u>	<u>\$251</u>	<u>\$251</u>	<u>\$0</u>
		TOTAL	\$200,940,439	\$198,651,588	\$2,288,851	\$482,075	\$1,806,776

**HARBOR REGIONAL CENTER
MONTHLY FINANCIAL REPORT
FISCAL YEAR 2020-21
May-21**

	B-3 Allocation	Month Exp	Y-T-D Expenses	Proj. Annual Expenses*	Proj. Funds Available
Operations					
Salaries & Benefits	\$27,634,023	\$2,165,703	\$24,377,327	\$27,634,023	\$0
Operating Expenses	\$9,374,607	\$650,663	\$6,772,191	\$9,374,607	\$0
less other income**	<u>(\$949,716)</u>	<u>(\$73,504)</u>	<u>(\$525,460)</u>	<u>(\$949,716)</u>	<u>\$0</u>
Total Operations	\$36,058,914	\$2,742,862	\$30,624,058	\$36,058,914	\$0
Purchase of Service					
Regular*	\$254,607,845	\$21,086,493	\$215,809,792	\$245,382,944	\$9,224,901
Compliance with HCBS Regulations	\$514,360	\$0	\$0	\$514,360	\$0
less other income	<u>(\$3,021,164)</u>	<u>(\$242,541)</u>	<u>(\$2,655,267)</u>	<u>(\$3,021,164)</u>	<u>\$0</u>
Subtotal Regular	\$252,101,041	\$20,843,952	\$213,154,524	\$242,876,140	\$9,224,901
CPP/CDRP	<u>\$760,969</u>	<u>\$0</u>	<u>\$83,733</u>	<u>\$760,969</u>	<u>\$0</u>
Total Purchase of Service	\$252,862,010	\$20,843,952	\$213,238,258	\$243,637,109	\$9,224,901
TOTAL	\$288,920,924	\$23,586,815	\$243,862,316	\$279,696,023	\$9,224,901
% of Budget	100.00%	8.16%	84.40%	96.81%	

* The Projected Annual Expenses for Regular POS is based on actual expenditures through May and estimated costs of new programs, growth, and pending service provider rate changes. POS includes an offset for other income for ICF SPA expenditures. ICF SPA expenditures are not funded through the contracted with DDS but billed separately. The Projected Expenses increased by approximately \$914,000 from the prior month financial report.

** In November 2020, HRC submitted an application to the federal Department of Health and Human Services for a distribution from the CARES Act Provider Relief Fund. On April 6, 2021, HRC received approximately \$552,000. The Provider Relief Fund payment can only be used to prevent, prepare for, and respond to COVID-19. The original spending deadline of June 30, 2021 has been extended to June 30, 2022.

*** The intent letter for B-3 Amendment was received on May 20, 2021. The allocation included approximately \$192,000 in Diversity funding; \$514,000 in HCBS compliance funding; \$650,000 in CPP/CRDP Start Up; and \$7.57 million in Regular POS funds.

**HARBOR REGIONAL CENTER
FUNCTIONAL EXPENDITURES
May-21**

	B-3	Purchase of Service		Salaries & Benefits		Operating Expenses		Total Expended	
	Allocation	Month	Y-T-D	Month	Y-T-D	Month	Y-T-D	Month	Y-T-D
PROGRAM SERVICES									
Intake	6,239,655			365,138	4,110,017	109,702	1,141,791	474,839	5,251,809
Case Management	24,003,797			1,404,675	15,811,134	422,020	4,392,443	1,826,695	20,203,577
Program Development	821,592			48,079	541,177	14,445	150,343	62,523	691,519
Other Client Services	1,868,936			109,368	1,231,055	32,858	341,996	142,226	1,573,051
Out-of-Home Living *	104,681,845	8,213,750	91,126,892					8,213,750	91,126,892
Day Programs	76,296,144	6,076,238	65,898,644					6,076,238	65,898,644
Transportation	8,911,211	789,464	7,409,598					789,464	7,409,598
Other Services	45,459,751	2,592,691	34,360,306					2,592,691	34,360,306
COVID-19 Related	19,258,894	3,414,350	17,014,351					3,414,350	17,014,351
CPP/CDRP, Other	760,969	0	83,733					0	83,733
Total Program Services	288,302,794	21,086,493	215,893,525	1,927,259	21,693,383	579,025	6,026,572	23,592,777	243,613,481
SUPPORTING SERVICES									
Administration	4,074,650			238,444	2,683,944	71,638	745,618	310,082	3,429,562
SUBTOTAL	292,377,444	21,086,493	215,893,525	2,165,703	24,377,327	650,663	6,772,191	23,902,859	247,043,043
Revenue	(3,970,880)							(316,044)	(3,180,727)
TOTAL	288,406,564							23,586,815	243,862,316

*Net of Client Support

**HARBOR REGIONAL CENTER
LINE ITEM REPORT
May-21**

	FY 2020-21 B-3 Allocation	Net Expended Month	Y-T-D	Projected Expenses	Proj Annual Expenses	Proj. Funds Available
PURCHASE OF SERVICE						
Regular						
320** Out-of-Home	104,681,845	8,213,750	91,126,892	9,762,141	100,889,033	3,792,812
430** Day Programs	76,296,144	6,076,238	65,898,644	7,633,153	73,531,797	2,764,347
6505* Transportation	8,911,211	789,464	7,409,598	1,178,744	8,588,342	322,869
650** Other Services	45,459,751	2,592,691	34,360,306	9,452,356	43,812,662	1,647,089
Various COVID-19 Related	19,258,894	3,414,350	17,014,351	1,546,759	18,561,110	697,784
TBD HCBS Compliance	<u>514,360</u>	<u>0</u>	<u>0</u>	<u>514,360</u>	<u>514,360</u>	<u>0</u>
Subtotal Regular POS	255,122,205	21,086,493	215,809,792	30,087,512	245,897,304	9,224,901
Community Placement & Program Development						
32010 Start Up	650,000	0	0	650,000	650,000	0
6507* Surge Capacity Start Up	103,433	0	83,733	19,700	103,433	0
65*** Placement/Assessment	<u>7,536</u>	<u>0</u>	<u>0</u>	<u>7,536</u>	<u>7,536</u>	<u>0</u>
Subtotal CPP/CDRP	760,969	0	83,733	677,236	760,969	0
Revenue						
20090 ICF SPA Income	(3,021,164)	(242,541)	(2,655,267)	(365,897)	(3,021,164)	0
TOTAL PURCHASE OF SERVICE	252,862,010	20,843,952	213,238,258	30,398,851	243,637,109	9,224,901
OPERATIONS						
Salaries & Benefits						
2501- Salaries and Wages	21,398,365	1,702,122	18,882,921	2,515,444	21,398,365	0
2503- Benefits	<u>6,235,658</u>	<u>463,581</u>	<u>5,494,406</u>	<u>741,252</u>	<u>6,235,658</u>	<u>0</u>
Subtotal Salaries & Benefits	27,634,023	2,165,703	24,377,327	3,256,696	27,634,023	0
Operating Expenses						
30020 Equipment Maint	418,490	29,157	285,405	133,085	418,490	0
30030 Facility Rental	4,712,364	379,806	4,503,137	209,227	4,712,364	0
30035 Facility Rent Subleases	247,002	19,385	237,663	9,339	247,002	0
30040 Facility Maint	383,794	21,800	177,167	206,627	383,794	0
30050 Communication	528,223	43,227	403,818	124,405	528,223	0
30060 General Office Exp	151,725	9,399	79,305	72,420	151,725	0
30070 Printing	126,592	23,405	75,566	51,026	126,592	0
30080 Insurance	183,924	0	178,924	5,000	183,924	0
30090 Utilities	12,894	724	9,196	3,698	12,894	0
30110 Data Processing Maint	57,860	1,350	51,344	6,516	57,860	0
30123 Interest/Bank Expense	16,093	3,194	13,121	2,972	16,093	0
30140 Legal Fees	39,653	16,030	30,740	8,913	39,653	0
30150 Board of Dir. Exp	2,000	0	864	1,136	2,000	0
30160 Accounting Fees	55,800	7,800	49,000	6,800	55,800	0
30170 Equipment Purchases	260,759	23,031	165,403	95,356	260,759	0
30180 Contr/Consult Services	76,205	0	10,460	65,745	76,205	0
30184 Clinical Services	103,646	6,096	89,543	14,103	103,646	0
30185 Employee Conferences	7,260	0	3,258	4,002	7,260	0
30220 Travel in State	6,354	0	435	5,919	6,354	0
30223 Staff Mileage	15,000	0	792	14,208	15,000	0
30230 ARCA Dues	80,458	0	80,458	0	80,458	0
30240 General Expenses	1,037,391	22,741	170,962	866,429	1,037,391	0
30241 Diversity Funding	192,473	0	0	192,473	192,473	0
30247 General Exp C19 SOE	<u>552,273</u>	<u>43,520</u>	<u>155,629</u>	<u>396,644</u>	<u>552,273</u>	<u>0</u>
Subtotal Operating Expenses	9,374,607	650,663	6,772,191	2,602,416	9,374,607	0
Other Revenue						
20040 Interest Income	(117,335)	(3,706)	(113,131)	(4,204)	(117,335)	0
20050 Other Income	(5,483)	0	(4,585)	(898)	(5,483)	0
20055 Other Income-Subleases	(186,900)	(9,672)	(184,596)	(2,304)	(186,900)	0
20100 ICF SPA Admin Fee	(87,725)	(16,606)	(67,519)	(20,207)	(87,725)	0
TBD Provider Relief Fund	<u>(552,273)</u>	<u>(43,520)</u>	<u>(155,629)</u>	<u>(396,644)</u>	<u>(552,273)</u>	<u>0</u>
Subtotal Other Revenue	(949,716)	(73,504)	(525,460)	(424,256)	(949,716)	0
TOTAL OPERATIONS	36,058,914	2,742,862	30,624,058	5,434,856	36,058,914	0
TOTAL	288,920,924	23,586,815	243,862,316	35,833,707	279,696,023	9,224,901
% of Budget	100.00%	8.16%	84.40%	12.40%	96.81%	3.19%

**HARBOR REGIONAL CENTER
POS CONTRACT SUMMARY
May-21**

Fiscal Year	Contract	Fund	POS Budget	POS Claimed	Current Balance/ (Deficit)	Projected Expenses	Projected Balance/ (Deficit)
2020-21	B-3	Reg POS	\$251,586,681	\$213,118,687	\$38,467,994	\$29,243,093	\$9,224,901
		CPP/CDRP	\$760,969	\$83,733	\$677,236	\$677,236	\$0
		HCBS Compliance	<u>\$514,360</u>	<u>\$0</u>	<u>\$514,360</u>	<u>\$514,360</u>	<u>\$0</u>
		TOTAL	\$252,862,010	\$213,202,420	\$39,659,590	\$30,434,689	\$9,224,901
2019-20	A-7	Reg POS	\$223,767,932	\$219,514,954	\$4,252,978	\$454,827	\$3,798,151
		CPP	\$2,452,990	\$953,462	\$1,499,528	\$1,499,528	\$0
		HCBS Compliance	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
		TOTAL	\$226,220,922	\$220,468,416	\$5,752,506	\$1,954,355	\$3,798,151
2018-19	E-5	Reg POS	\$197,513,157	\$195,695,644	\$1,817,513	\$9,462	\$1,808,051
		PDF	\$77,443	\$0	\$77,443	\$77,443	\$0
		CPP	\$3,299,838	\$2,905,590	\$394,248	\$394,248	\$0
		HCBS Compliance	<u>\$50,001</u>	<u>\$49,750</u>	<u>\$251</u>	<u>\$251</u>	<u>\$0</u>
		TOTAL	\$200,940,439	\$198,650,985	\$2,289,454	\$481,404	\$1,808,051



Patrick Ruppe

EXECUTIVE REPORT

July 20, 2021

COVID-19 UPDATE

JULY 2021

➤ TRENDS

- ❖ State
- ❖ Harbor Regional Center

➤ VACCINES

- ❖ Anchor/Oxford HealthCare Home Health Agency



New and cumulative C19+, deaths and hospitalizations by Regional Center, 6/25/2021

Regional Center	COVID-19 Positive Consumers		Hospitalizations of COVID-19 Positive Consumers		Deaths of COVID-19 Positive Consumers		
	Weekly Increase	Cumulative Total	Weekly New Hospitalized Cases	Current Weekly Total	Weekly New Deceased Cases	Cumulative Total	Total Consumers
ACRC	3	807	1	4	0	31	26,060
CVRC	1	1,169	0	3	0	57	22,114
ELARC	1	845	0	28	0	38	12,493
FDLRC	1	699	0	28	0	21	10,875
FNRC	0	335	0	8	0	11	8,135
GGRC	0	359	0	18	0	15	9,551
HRC	0	523	0	0	0	28	15,383
IRC	4	2,190	1	86	0	82	38,562
KRC	2	501	0	18	0	28	10,512
NBRC	1	360	1	19	0	11	9,248
NLACRC	1	1,222	0	6	0	50	27,392
RCEB	1	607	0	36	0	15	22,147
RCOC	1	1,146	0	39	0	61	22,667
RCRC	0	94	0	3	0	3	4,064
SARC	2	894	1	6	0	28	17,503
SCLARC	0	1,240	0	7	0	41	17,919
SDRC	4	1,587	0	40	0	39	32,620
SGPRC	0	1,177	0	45	0	54	13,792
TCRC	0	905	0	18	0	30	15,578
VMRC	1	712	0	42	0	44	15,921
WRC	1	448	0	21	0	12	9,157
Statewide	24	17,820	4	475	0	699	361,693

Share of total consumers reported as COVID-19+, by Regional Center and ethnicity, 6/25/21

REGIONAL CENTER	ETHNICITY AS A SHARE OF EACH REGIONAL CENTER'S CONSUMERS REPORTED AS COVID-19 POSITIVE						COVID-19 POSITIVE CONSUMERS BY REGIONAL CENTER
	Asian	Black/African American	Hispanic	Other ¹	White	All Ethnicities	
ACRC	6%	11%	20%	9%	55%	100%	807
CVRC	3%	4%	55%	6%	32%	100%	1,169
ELARC	6%	2%	78%	2%	12%	100%	845
FDLRC	6%	7%	50%	2%	35%	100%	699
FNRC	2%	2%	10%	5%	80%	100%	335
GGRC	11%	11%	29%	7%	42%	100%	359
HRC	6%	9%	50%	7%	28%	100%	523
IRC	2%	8%	47%	9%	33%	100%	2,190
KRC	2%	8%	50%	7%	33%	100%	501
NBRC	5%	8%	26%	10%	51%	100%	360
NLACRC	4%	7%	46%	4%	38%	100%	1,222
RCEB	10%	17%	25%	10%	38%	100%	607
RCOC	7%	3%	38%	8%	44%	100%	1,146
RCRC	0%	2%	11%	13%	74%	100%	94
SARC	8%	2%	47%	6%	37%	100%	894
SCLARC	1%	16%	73%	4%	5%	100%	1,240
SDRC	4%	4%	51%	11%	30%	100%	1,587
SGPRC	5%	6%	53%	5%	31%	100%	1,177
TCRC	2%	2%	48%	6%	43%	100%	905
VMRC	5%	7%	33%	9%	46%	100%	712
WRC	3%	20%	39%	8%	30%	100%	448
All Regional Centers	4%	7%	47%	7%	35%	100%	--
COVID-19 POSITIVE CONSUMERS BY ETHNICITY	772	1,272	8,385	1,219	6,172	--	17,820

HOME & COMMUNITY BASED SERVICE (HCBS) GRANTS

PROVIDER	SERVICE CODE	VENDOR NUMBER	20/21 APPROVED FUNDING	COMMENT
Brighter Outlook, Inc.	890 915	HH1507 PH1508	\$64,900	May fund up to the approved amount for a vehicle ² to increase opportunities for participants in need of accessible transportation.
Green Meadows Home II	915	HH2255	\$49,500	May fund up to the approved amount for a vehicle ² to increase opportunities for participants in need of accessible transportation.
Hi-Hopes, Inc.	113 890	PH1858 PH1859 PH1860 PH0532	\$209,980	May fund up to the approved amount for vehicles ² to increase opportunities for participants in need of accessible transportation. Funds may also be used for bathroom and ramp modifications to support accessibility for residents who are aging in place.
Prime Options Care, Inc.	113 890	PH2171 PH2245	\$94,990	May fund up to the approved amount for vehicles ² to increase opportunities for participants in need of accessible transportation. Funds may also be used for bathroom and ramp modifications to support accessibility for residents who are aging in place.
South Bay Circle of Friends	113	PH2220	\$94,990	May fund up to the approved amount for vehicles ² to increase opportunities for participants in need of accessible transportation. Funds may also be used for bathroom and ramp modifications to support accessibility of residents who are aging in place.
Total			\$514,360	

CPP/CRDP AWARDS



DEVELOPMENT TIME	APPROVED PROVIDER START UP FUNDS	FY 20/21 APPROVED TOTAL	PROEJCTED CAPACITY TOTAL	DESCRIPTION
Site Based Infant Program	\$350,000	\$350,000	50	Early Intervention Site based program to serve up to 50 children from ages 18months – 3 years in the North Eastern part of the HRC Service Area. This program will provide an infant development program to Early Start children identified with moderate to severe developmental delays. Staff will have language capacity to serve Spanish speaking families.
Dental Services	\$150,000	\$150,000	0	Dental Project to serve HRC children and adults who are without adequate access to dental health resources. The project will use funding to partner with a local health clinic or dental group to provide dental sensitivity training, non-anesthesia dental services and periodontal maintenance for HRC clients.
Residential/ Supported Living Services	\$150,000	\$150,000	15	A specialized Supported Living Services that will assist individuals transitioning from Porterville Secure Treatment Program or those requiring a community living alternative for deflection due to involvement with the criminal justice system and or impacted by repeated psychiatric hospitalizations. Startup will include extensive transition services and specialized training for staff to support individuals who may have co-occurring mental health issues or present with serious behavioral challenges that jeopardize living arrangements and can result in homelessness.
Technology	\$1,050,000 (WRC) \$150,000 (HRC)	\$1,050,000 (WRC) \$150,000 (HRC)	0	During the ongoing pandemic, it has become evident that a portion of individuals and families served by the LAC RCs have been unable to meet with their RC SC's due to lack of technology to do so, let alone take advantage of remote services that are being offered as providers innovate alternative and remote ways to deliver services such as ABA, ILS, Early Start Services and other day services. The LA Co RCs provide services and supports to highly diverse populations totaling nearly 110,000 individuals and have identified individuals who are in need of this service to stay engaged with their support services, as well as with their RC. As the LAC RC continue to strive to provide equitable services across a diverse populations, it is envisioned that equipping those who may be most in need of access to technology will help insure the most appropriate and person centered services for each individual receiving services.



Contracts Requiring Board Approval **PURCHASE OF SERVICE**

The Lanterman Act requires that any regional center contract which exceeds \$250,000 be approved by the Board of Trustees. Typically, our clinical services is in excess of \$250,000, thus the Executive Committee is recommending a motion to approve the following purchase of service contract:

Apple Tree Early Intervention Program: \$350,000

Apple Tree Early Intervention Program is a global infant program serving children 0-3 in the north eastern section of our service area. Funds can be used for the following items/activities: *Lease, renovate, equip and furnish one site, minimum of 3,500 sq. ft., minimum of 5 classrooms, office space and conference room; ADA Restrooms; OT/PT gym equipment; snack room; space for parent meetings/trainings; developmentally appropriate supplies, such as games, books, toys, music and art supplies; adaptive equipment specialized for the needs of the clients to address all developmental domains.*

CONTRACTS REQUIRING BOARD APPROVAL

Harbor Regional Center
Meeting of the Board of Trustees
July 20, 2021

PURCHASE OF SERVICE

805 Infant Program

Apple Tree Early Intervention Program

7300 La Palma Avenue
Buena Park, CA 90620

Description of Services: Site Based Global Infant Program

Rate of payment: Payment will be based upon completion of the following milestones:

1.	Fully Executed Contract	\$70,000
2.	HRC Approved Service Design	\$30,000
3.	HRC Approved Site Signed Lease	\$50,000
4.	HRC and City Planning Approved Renovations	\$50,000
5.	HRC Approved Director & Clinical Staff/Consultants Hired	\$50,000
6.	DDS Cost Statement Approved	\$50,000
7.	Vendorization of Program by HRC	\$50,000

Total CPP/CRD start-up funds not to exceed \$350,000.00.

CERTIFICATION

I, the undersigned, _____ of Harbor Regional Center do certify that the foregoing is a true, exact and correct copy of the contract(s) presented to and approved by the Board of Trustees on the _____ day of _____, _____.

Signature: _____

Date: _____



2021 HDDDF BOARD COMMITTEES

AUDIT COMMITTEE

(HDDDF Bylaws Section 6.3)

Chairperson	<u>LaVelle Gates</u>
Board	Joe Czarske
Board	Paul Quiroz
Staff	Ute Czemmel, Controller
Staff	Judy Wada, CFO



2021 HDDF BOARD COMMITTEES

BOARD DEVELOPMENT

COMMITTEE

(HDDF Bylaws Section 6.5)

Chairperson	<u>Joe Czarske</u>
Board	Ron Bergmann
Board	LaVelle Gates
Board	Chris Patay
Board	Paul Quiroz
Board	Ann Lee, Ph.D.
Staff	Patrick Ruppe, Executive Director



2021 HDDF BOARD COMMITTEES

BOARD PLANNING COMMITTEE

(HDDF Bylaws Section 6.6)

Chairperson	<u>Kim Vuong</u>
Board	Ron Bergmann
Board	Jackie Solorio
Provider	April Stover
Staff	Nancy Spiegel,
Director of Information & Dev.	
Staff	Open



**Harbor Developmental
Disabilities Foundation DBA:
Harbor Regional Center
INSURANCE SCHEDULE
As of July 12, 2021**

HARBOR DEVELOPMENTAL DISABILITIES FOUNDATION DBA: HARBOR REGIONAL CENTER

INSURANCE SCHEDULE AS OF JULY 12, 2021

COMPANY & POLICY NUMBER	POLICY PERIOD	COVERAGE LIMITS	TYPE OF COVERAGE	ANNUAL PREMIUM
Philadelphia Indemnity Insurance Co. # PHPK2295733 (Best's Key Rating 2021: A++; XV) Admitted	7/1/2021 to 7/1/2022		<u>Package</u> Location #1: 21231 Hawthorne Blvd., Torrance, CA 90503 Location #2: 21307 Hawthorne Blvd., Torrance, CA 90503 Location #3: 1155 E. San Antonio Dr., Long Beach, CA 90807 Location #4: 21311 Hawthorne Blvd., Ste. 100, 101, 102, 103, 105, 106, 107, 108, 109, 200, 210, 220, 230, 250, 300, 320, 325 & 330 Torrance, CA 90503 Location #5: 15415 W. Pioneer Blvd., Norwalk, CA 90650 Location #6: 12222 Cuesta Dr., Cerritos, CA 90703	\$50,493.00
			<u>Property Coverages</u> See Attached Summary of Values Total Insured Values Deductible: \$1,000 Business Personal Property & EDP \$1,000 Valuable Papers	Included
		\$25,052,284		
			<u>Crime</u> Employee Dishonesty Deductible: \$5,000	Included
		\$500,000		

HARBOR DEVELOPMENTAL DISABILITIES FOUNDATION DBA: HARBOR REGIONAL CENTER (See Named Insured Schedule)
 INSURANCE SCHEDULE
 JULY 12, 2021

COMPANY & POLICY NUMBER	POLICY PERIOD	COVERAGE LIMITS	TYPE OF COVERAGE	ANNUAL PREMIUM
			Healthcare General / Professional Liability	\$75,770.00
Philadelphia Indemnity Insurance Co. # PHPK2295733 (Best's Key Rating 2021: A++; XV) Admitted	7/1/2021 to 7/1/2022	\$3,000,000 \$3,000,000 \$1,000,000 \$1,000,000 \$100,000 \$5,000 \$1,000,000 \$3,000,000	General Liability: (Claims-Made) General Aggregate Products/Completed Operations Personal & Advertising Injury Each Occurrence Rented to you Limit (any one fire) Medical Expense (any one person) Employee Benefits Liability Employee Benefits Aggregate Deductible Per Occurrence: None Retro Date: 9/30/02 Professional Liability: (Claims-Made) Aggregate Each Occurrence Deductible Per Occurrence: None Retro Date: 9/30/02 Sexual or Physical Abuse or Molestation Aggregate Each Abusive Conduct Limit Deductible Per Occurrence: None Retro Date: 9/30/02	

COMPANY & POLICY NUMBER	POLICY PERIOD	COVERAGE LIMITS	TYPE OF COVERAGE	ANNUAL PREMIUM
Philadelphia Indemnity Insurance Co. # PHPK2295733 <u>(Best's Key Rating 2021: A++; XV)</u> Admitted	7/1/2021 to 7/1/2022	\$1,000,000	<p><u>Major Exclusions:</u></p> <ul style="list-style-type: none"> ▪ Pollution ▪ Asbestos ▪ Dishonesty Acts ▪ Property in Care, Custody and Control ▪ Prior Knowledge of Prior Acts Reasonably Foreseen to Result in a Claim ▪ Professional Services, as respects to General Liability ▪ Medical Payments to Patients ▪ Nuclear ▪ Employment Related Practices <ul style="list-style-type: none"> ▪ Fungus & Bacteria Exclusion ▪ Liquor Liability <p><u>Automobile Liability</u></p> Combined Single Limit Non-Owned and Hired Auto Liability (Only)	\$1,628.00

HARBOR DEVELOPMENTAL DISABILITIES FOUNDATION DBA: HARBOR REGIONAL CENTER (See Named Insured Schedule)
 INSURANCE SCHEDULE
 JULY 12, 2021

COMPANY & POLICY NUMBER	POLICY PERIOD	COVERAGE LIMITS	TYPE OF COVERAGE	ANNUAL PREMIUM
Philadelphia Indemnity Insurance Co. #PHUB775464 (Best's Key Rating 2021: A++; XV) Admitted	07/1/2021	\$3,000,000	<u>Umbrella (Occurrence/Claims-Made)</u>	\$21,432.00
	to	\$3,000,000	General Aggregate Limit	
	7/1/2022	\$3,000,000	Each Occurrence	
			Products / Completed Operations to Aggregate	
			\$2,000,000	
		\$2,000,000	<i>Sublimit for Abuse or Molestation</i> - Each Abusive Conduct / Aggregate	
		\$10,000	Retention	
			Retro Date: 9/30/02	
			Coverage excess over: General Liability \$1,000,000/\$3,000,000; Professional Liability \$1,000,000/\$3,000,000; Employee Benefits Liability \$1,000,000/\$3,000,000; Automobile Liability \$1,000,000 CSL; Employer's Liability \$1,000,000; Sexual or Physical Abuse or Molestation \$1,000,000/\$1,000,000	
			<u>Exclusions:</u>	
			<ul style="list-style-type: none"> ▪ Property in Care, Custody & Control ▪ Pollution ▪ Asbestos ▪ Employment Related Practices ▪ Prior Knowledge of Prior Acts Reasonably Foreseen to Result in a Claim ▪ Fungus & Bacteria ▪ Directors & Officers ▪ Liquor Liability (except Host) 	

HARBOR DEVELOPMENTAL DISABILITIES FOUNDATION DBA: HARBOR REGIONAL CENTER (See Named Insured Schedule)
 INSURANCE SCHEDULE
 JULY 12, 2021

COMPANY & POLICY NUMBER	POLICY PERIOD	COVERAGE LIMITS	TYPE OF COVERAGE	ANNUAL PREMIUM
Hartford Fire Insurance Company #72BDDGN7932 (Best's Key Rating 2021: A+; XV) Admitted	7/1/2019 to 7/1/2022	\$750,000 \$0	Commercial Crime (ERISA Coverage) Employee Theft Coverage (Per Occurrence) Deductible	\$516.00
RSUI Indemnity Company #NPP694404 (Best's Key Rating 2021: A+; XIV) Admitted	7/1/2020 to 7/1/2021	\$2,000,000 \$2,000,000 \$2,000,000 \$2,000,000	Directors & Officers Liability (Primary) Claims-Made Aggregate for all claims Directors & Officers Liability & Company Reimbursement Covg. Part Employment Practices Liability (Combined limit) Employment Practices Liability – Third Party Coverage (Sublimit) Retention: \$0 Each Insured Person \$50,000 Each claim for Directors & Officers Liability with Company Reim. \$100,000 Employment Practices Liability \$100,000 Employment Practices Liability – Third Party	\$56,980.00
Major Endorsements/Exclusions:				
<ul style="list-style-type: none"> ▪ Amended Definition of Loss –Defense Claims for ADA ▪ Amended Settlement Clause 70-30 ▪ California Changes – Cancellation and NonRenewal ▪ Cap On Losses From Certified Acts of Terrorism ▪ Coverage Extension – Healthcare Organization ▪ Coverage Extension – HIPAA - \$25,000 sublimit with \$25,000 retention ▪ Disclosure Pursuant to Terrorism Risk Insurance Act ▪ Exclusion – Amended Bodily Injury and Property Damage 				

COMPANY & POLICY NUMBER	POLICY PERIOD	COVERAGE LIMITS	TYPE OF COVERAGE	ANNUAL PREMIUM
<u>Major Endorsements/Exclusions (continued)</u>				
<ul style="list-style-type: none"> ▪ Exclusion – Malpractice ▪ Exclusion – Prior and/or Pending Litigation Backdated – 12/1/1993 ▪ Exclusion – Sexual Misconduct and Child Abuse ▪ HR Loss Prevention Services Notice ▪ Insuring Agreement A – Separate Limit - \$500,000 ▪ Side A Non-Rescindable Coverage ▪ Third Party Liability Coverage - \$100,000 retention ▪ Regulatory Coverage-\$250,000 sublimit with a \$250,000 Self Insured Retention 				
<u>Workers Compensation</u>				
National Casualty Company #WCC335088A21 <u>(Best's Key Rating 2021: A+; XV)</u> Admitted	7/1/2020	\$1,000,000	Bodily Injury by Accident – Each Accident	\$129,990.00
	to	\$1,000,000	Bodily Injury by Disease – Policy Limit	(\$125,039.00+
	7/1/2021	\$1,000,000	Bodily Injury by Disease – Each Employee	\$4,951.00 California Surcharges & Assessments)

HARBOR DEVELOPMENTAL DISABILITIES FOUNDATION DBA: HARBOR REGIONAL CENTER (See Named Insured Schedule)
 INSURANCE SCHEDULE
 JULY 12, 2021

<u>COMPANY & POLICY NUMBER</u>	<u>POLICY PERIOD</u>	<u>COVERAGE LIMITS</u>	<u>TYPE OF COVERAGE</u>	<u>ANNUAL PREMIUM</u>
Houston Casualty Insurance Co. #H21NPP7024400 <u>(Best's Key Rating, 2021: A++; XV)</u> Non-Admitted	7/1/2021 to 7/1/2022	\$2,000,000 \$2,000,000 \$2,000,000 \$2,000,000 \$250,000 \$50,000 \$50,000 \$2,000,000 \$250,000 \$2,000,000 \$1,000,000 \$25,000 \$2,000,000 \$2,000,000 \$25,000	<u>Security & Privacy Liability</u> Multimedia Liability Security & Privacy Liability Privacy Regulatory Defense & Penalties PCI DSS Liability Bodily Injury Liability Coverage Property Damage Liability Coverage TCPA Defense Cyber Extortion Cyber Crime Breach Response Costs Notification Expenses and Breach Support and Credit Monitoring Expenses Post Breach Remediation Costs Brand Guard Maximum Policy Aggregate Limit of Liability Retention – Each Claim	\$51,958.70 (\$49,765.00 + \$195 Policy Fee + \$375 Broker Fee + \$1,623.70 Surplus Lines Tax and Fees

NAMED INSURED SCHEDULE

Harbor Developmental Disabilities Foundation

dba: Harbor Regional Center

ADDITIONAL INSURED SCHEDULE

- 1) State of California, its Officers, Employees, & Agents, Department of Developmental Services
- 2) Del Amo Associates National Financial Realty LLC - Lessor of:
 - 21311 Hawthorne Blvd., Suites 100-103, 105-109, 200, 210, 220, 230, 250, 300, 320, 325, 330 - Torrance, CA 90503
- 3) Del Harbor Foundation - Lessor of:
 - 21231 & 21307 Hawthorne Blvd. – Torrance, CA 90503
 - 1155 E. San Antonio Drive – Long Beach, CA 90807



STATE BUDGET FY 21-22

- Current Status
- C-Preliminary Allocation
 - Operations: \$31,455,192
 - POS: \$220,058,448
- Total Preliminary Allocation:
\$251,513,640



STATE BUDGET FY 21-22 HIGHLIGHTS

- Elimination of the Uniform Holiday Schedule
- Elimination of Temporary Rate Sunsets
- Provider Rate Increases
- DSP Training & Development
- Bilingual Differential for DSP
- Social/Recreational Programs



STATE BUDGET FY 21-22 **HIGHLIGHTS *continued***

- Performance Incentives
- Enhanced Caseload Ratio for Underserved Clients
- Self-Determination Supports
- Deaf Community Specialist
- Emergency Coordinators
- Community Navigators
- Provisional Eligibility



**PRESENTATION on
'INTAKE ANALYSIS
& OVERVIEW'**

By

**LaWanna Blair, Director of
Early Childhood**

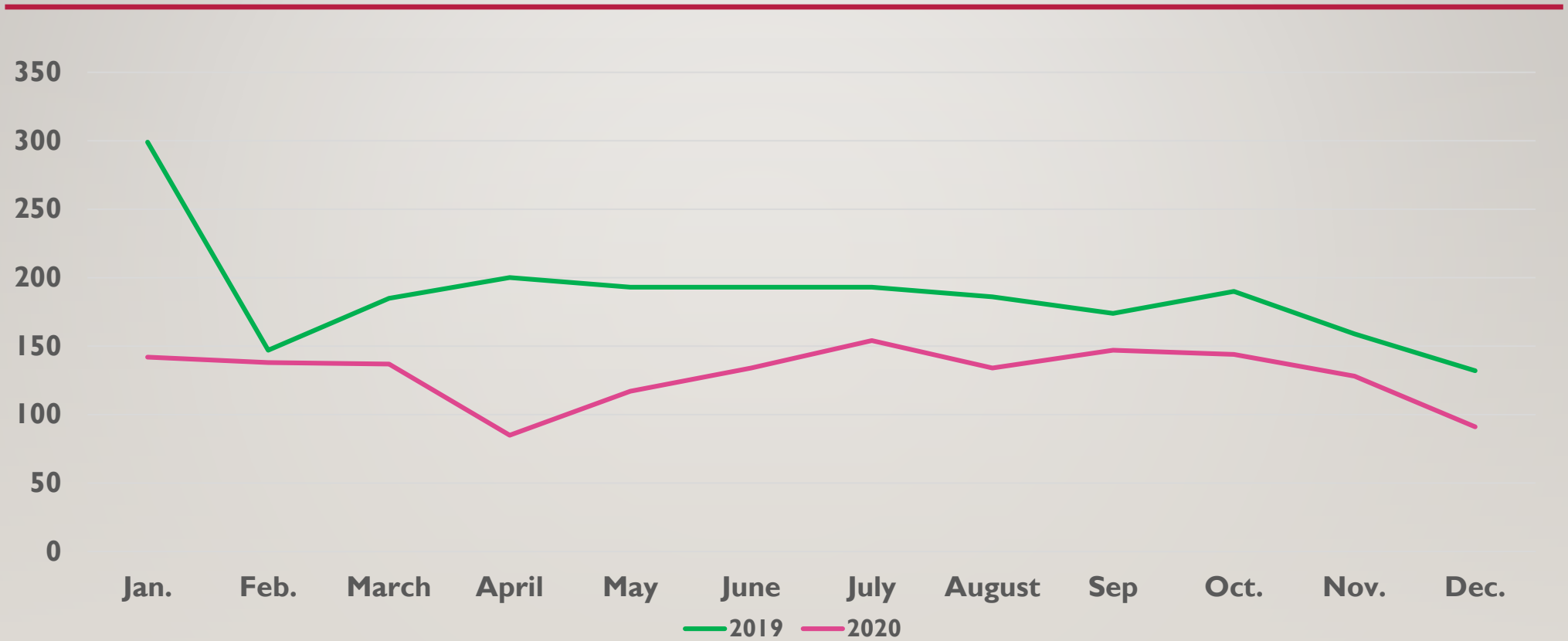


INTAKE ANALYSIS & OVERVIEW

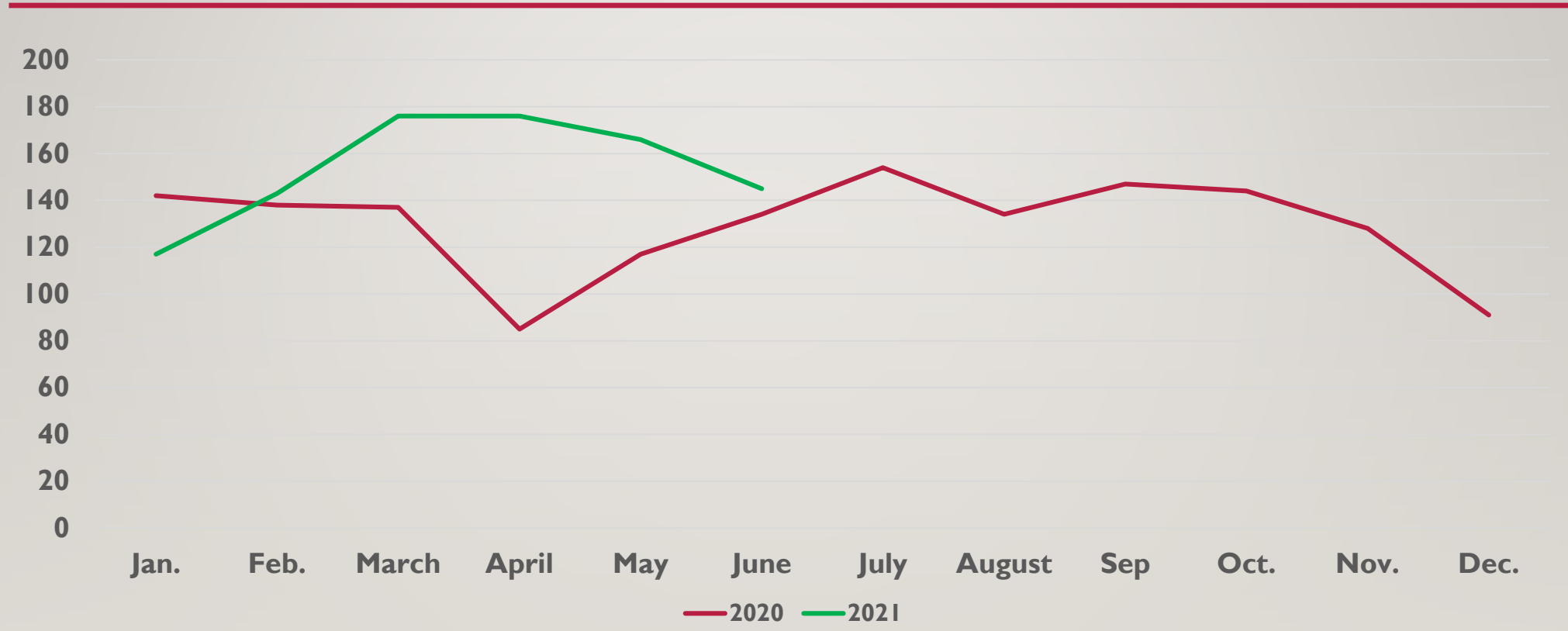
LAWANNA BLAIR, DIRECTOR OF EARLY CHILDHOOD



EARLY START INTAKE (BIRTH TO 3) 2019 VS 2020

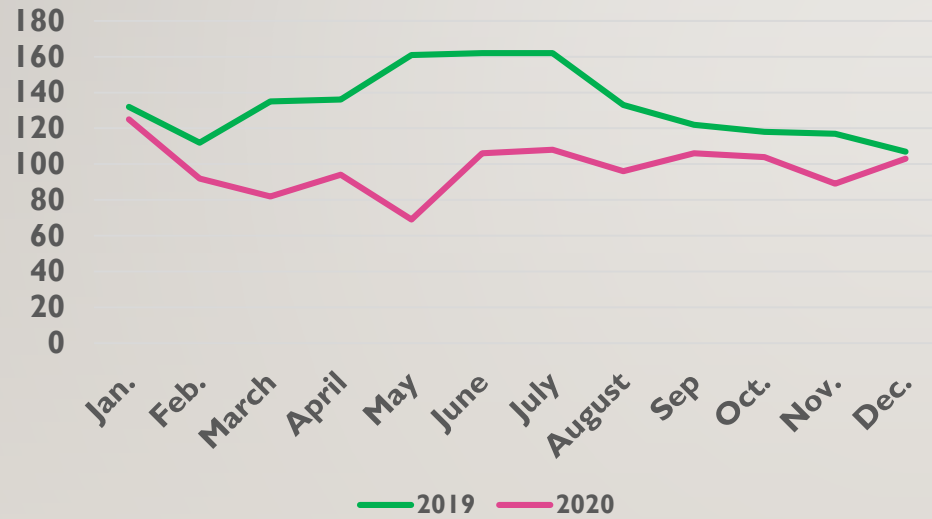


EARLY START INTAKE 2020 VS 2021

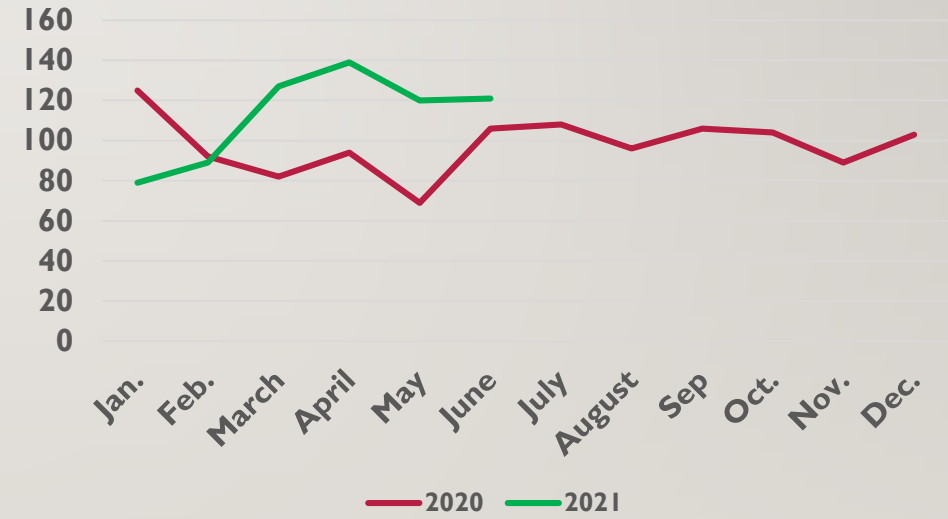


EARLY START ELIGIBLE CASES

2019 VS. 2020



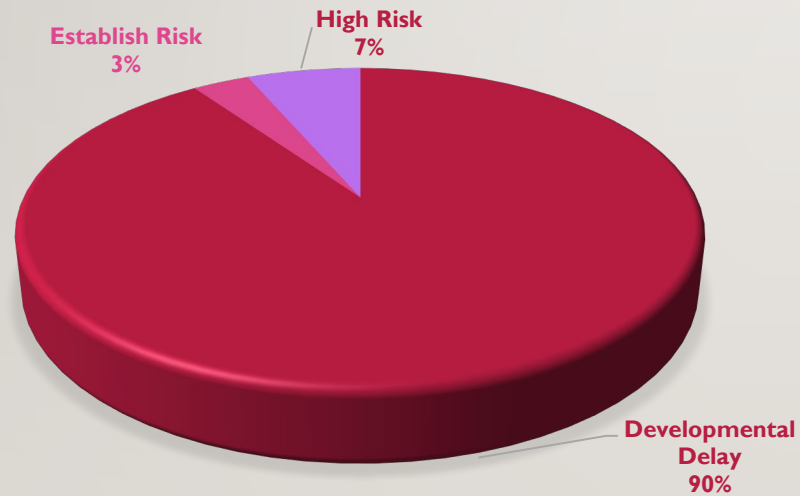
2020 VS. 2021



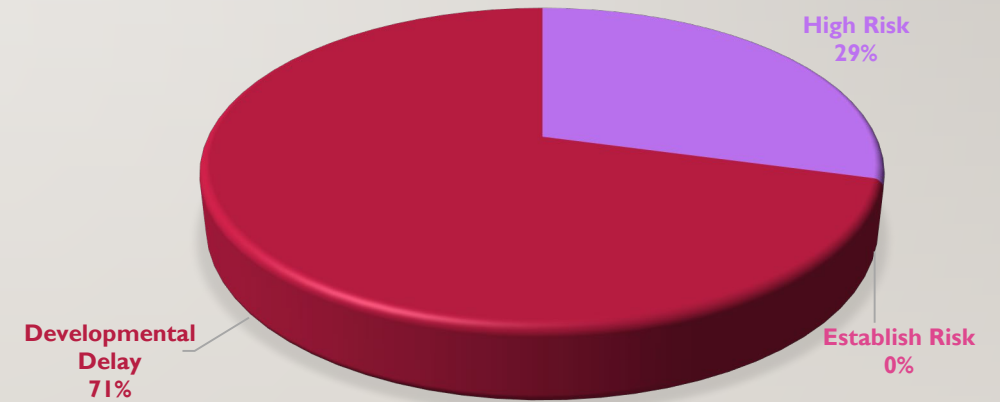
EARLY START ELIGIBILITY CATEGORIES



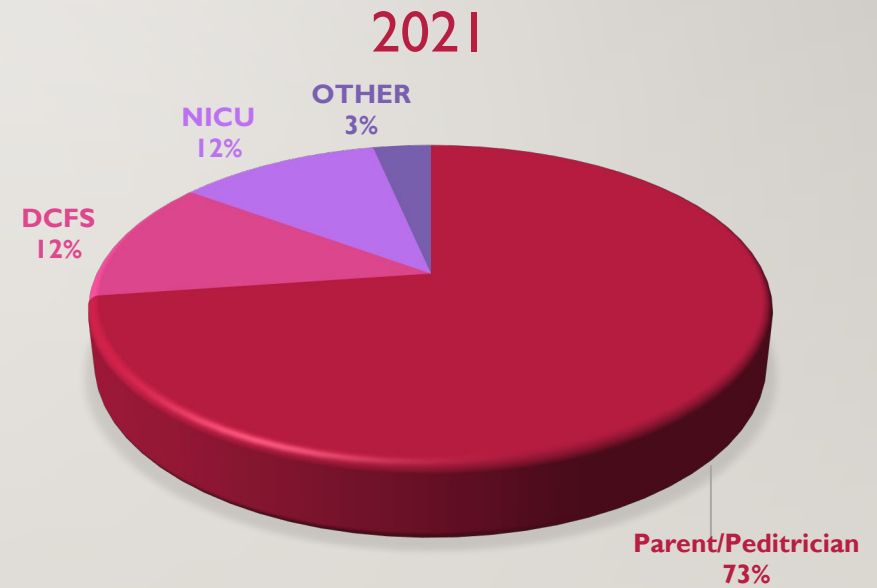
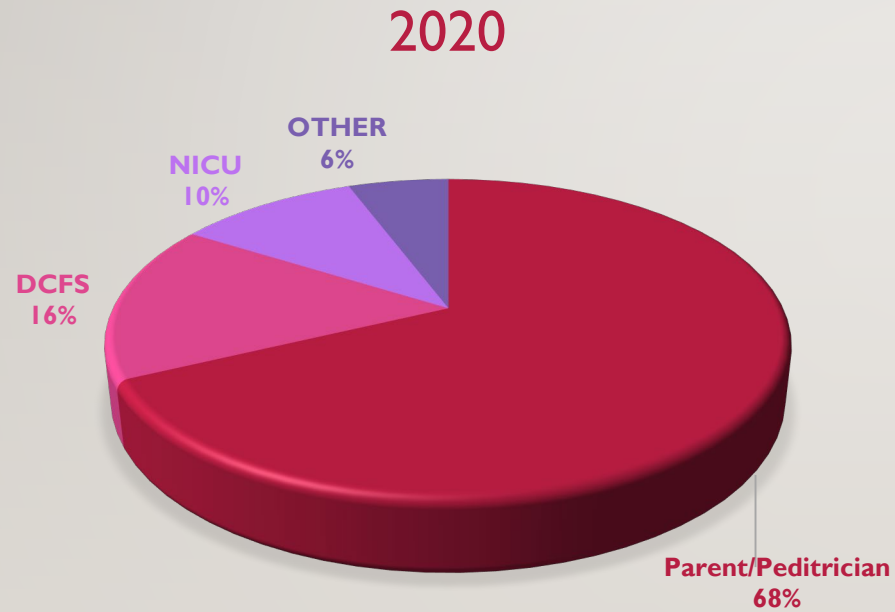
2020



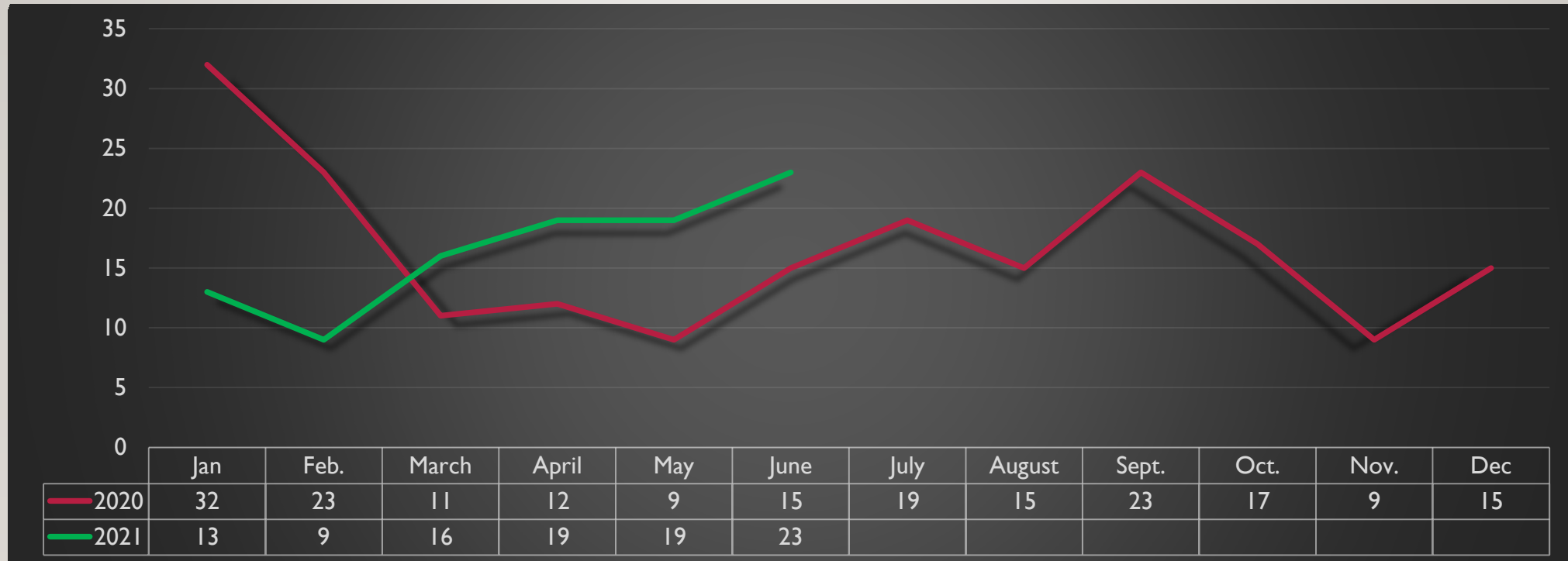
2021



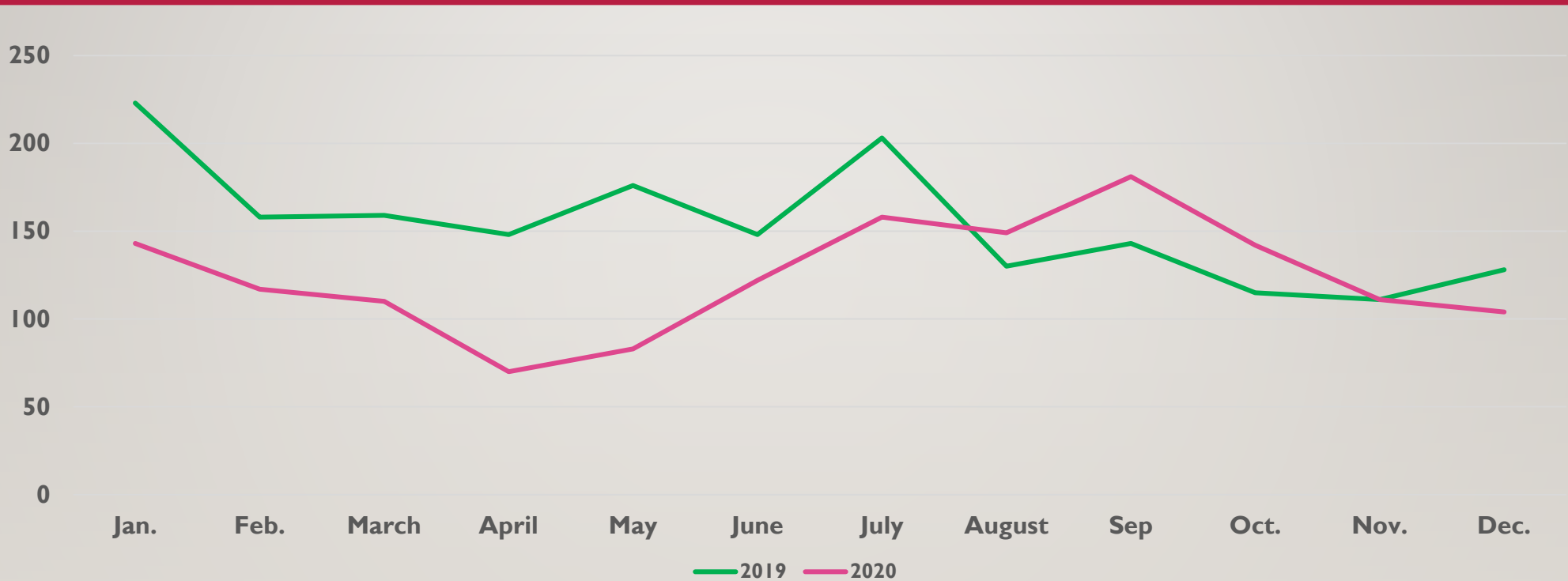
EARLY START REFERRAL SOURCES



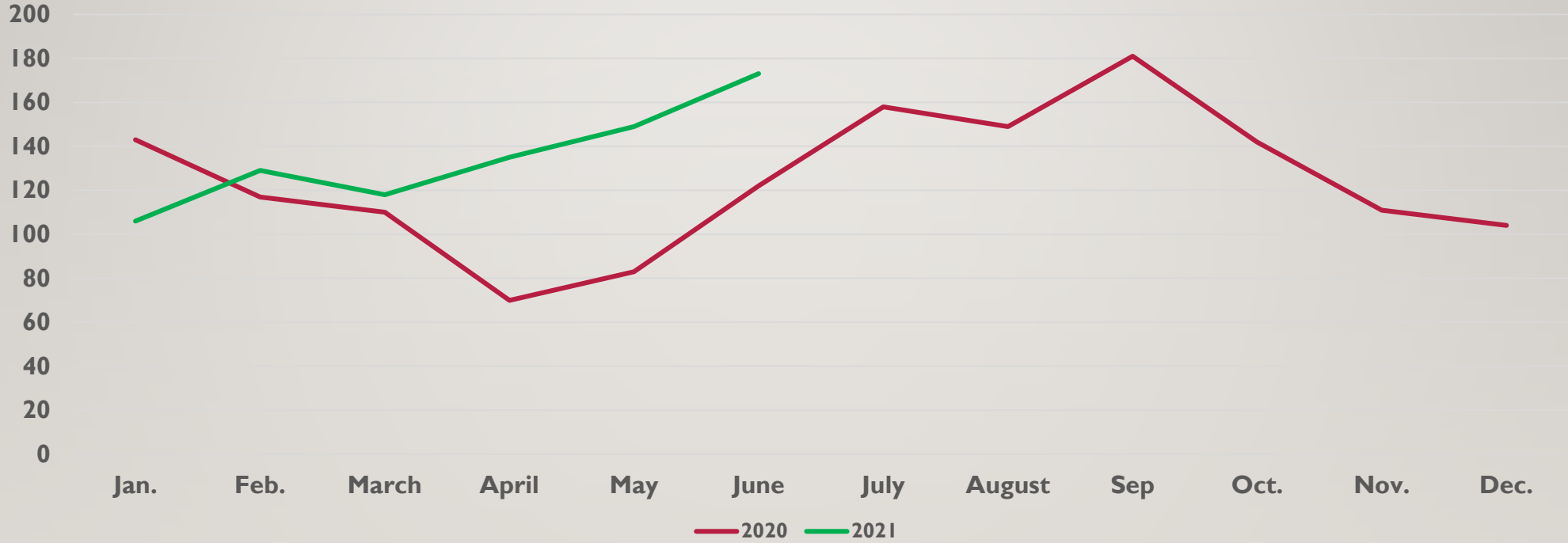
CHILDREN EXITING EARLY START



LANTERMAN INTAKE (OVER 3) 2019 VS 2020



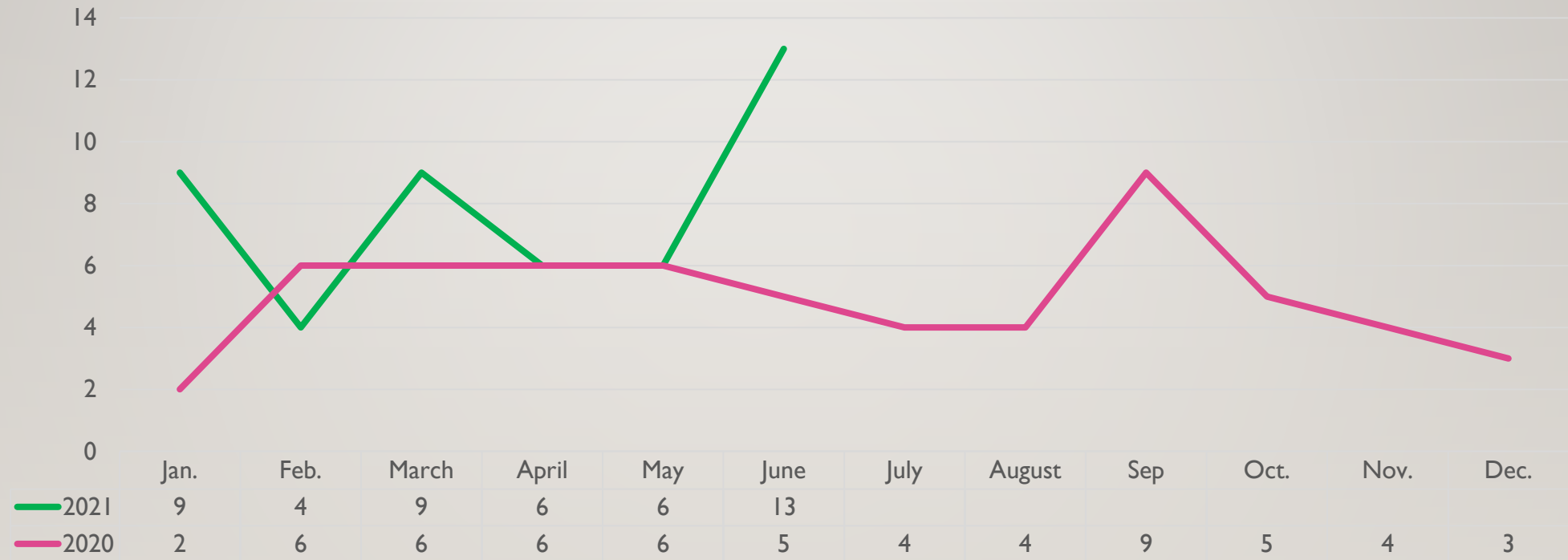
LANTERMAN INTAKE (OVER 3) 2020 VS 2021



CLOSED – NO DEVELOPMENTAL DISABILITY



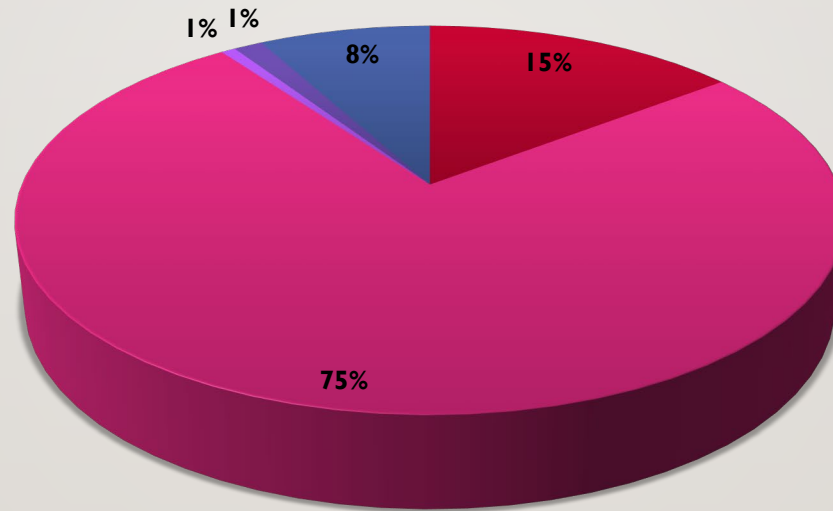
PREVIOUSLY CLOSED – RETURNED TO INTAKE



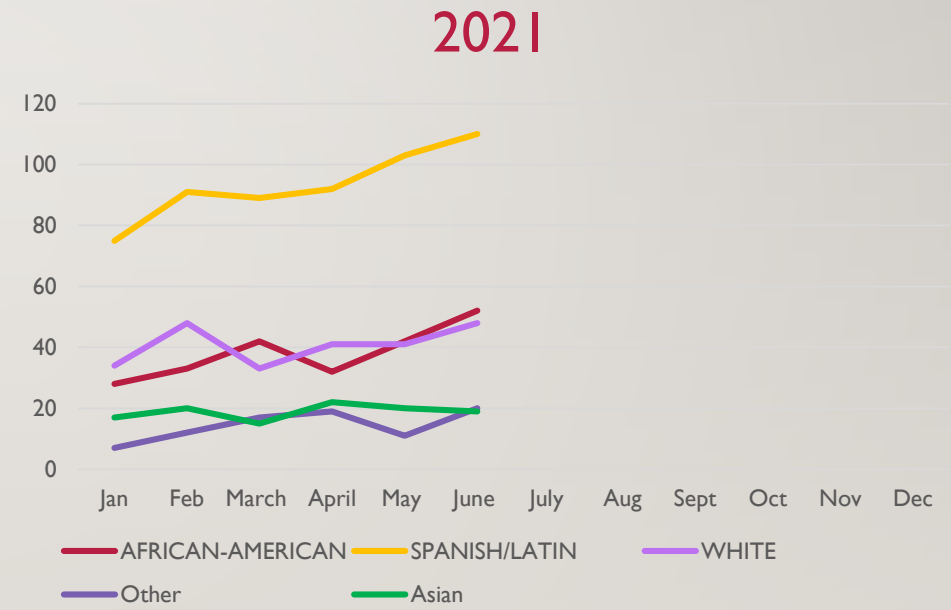
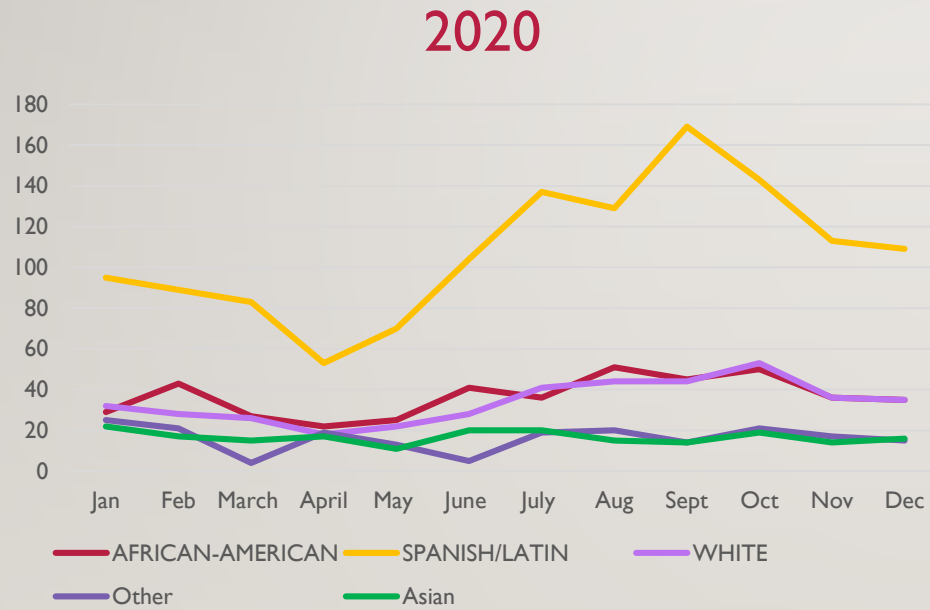
DIAGNOSIS 2021



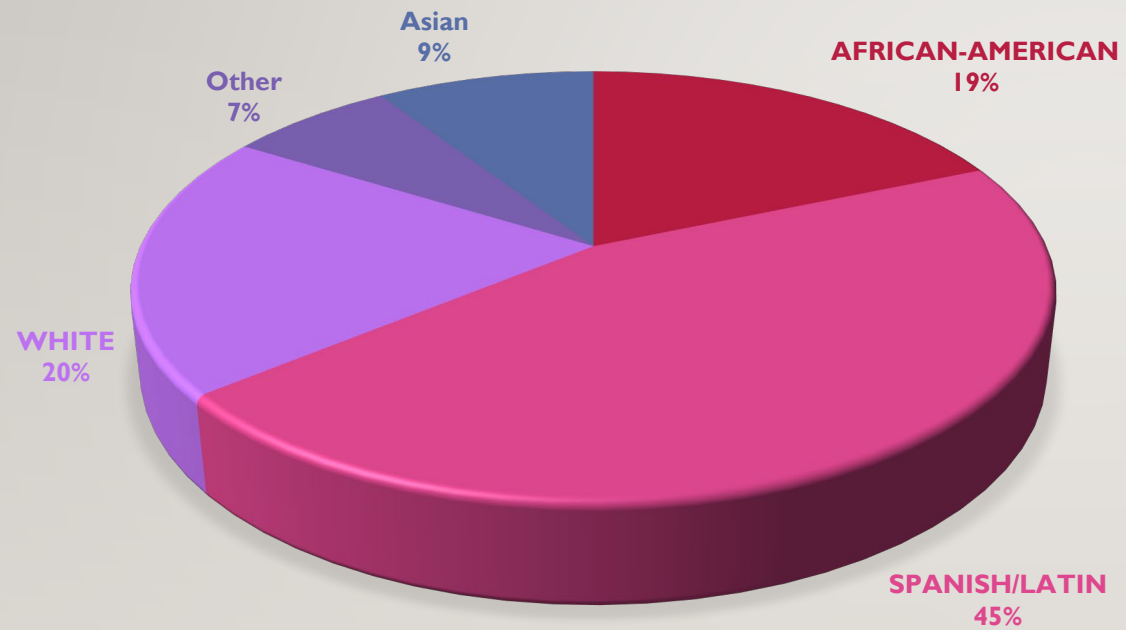
■ ID ■ ASD ■ CP ■ Epilepsy ■ Condition Similar



ETHNICITY – YEARLY COMPARISON



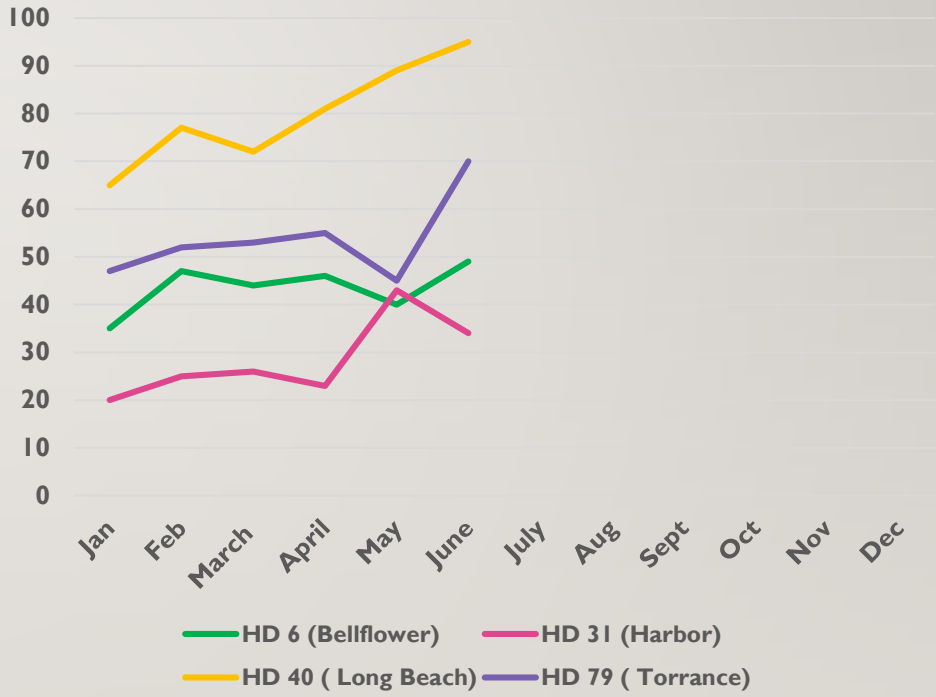
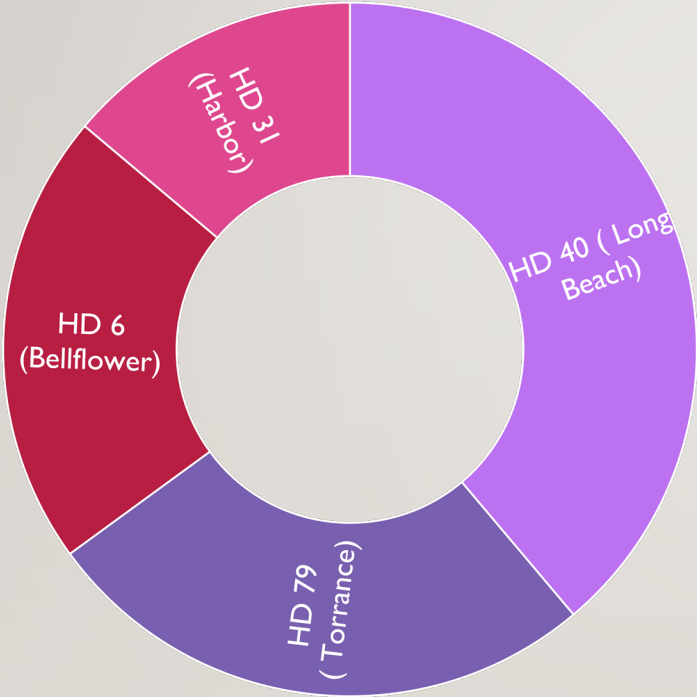
ETHNICITY 2021



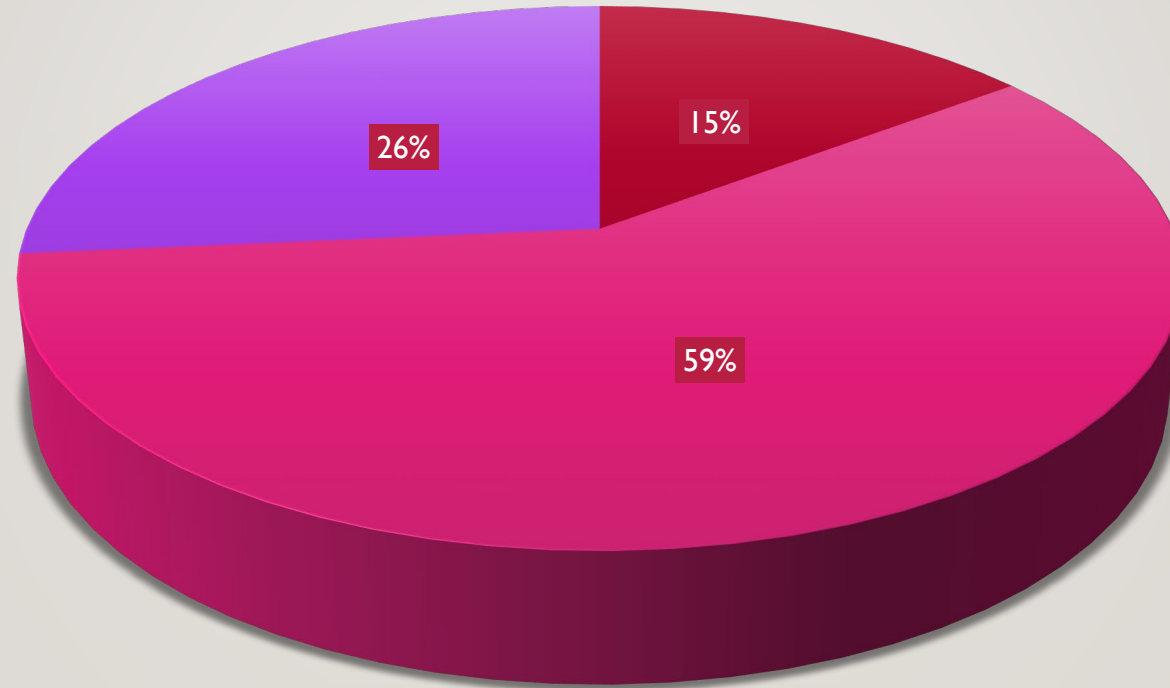
VectorStock®

VectorStock.com/11701

INTAKES BY HEALTH DISTRICT



TRANSFERS FROM INTAKE



■ Lanterman Trans Adults ■ Lanterman Trans Child ■ Lanterman Tran EC



COMMITTEE REPORTS

- ARCA Joe Czarske
- Audit LaVelle Gates
- Board Development Joe Czarske
- Board Planning Kim Vuong
- Client Advisory David Gauthier
- Community Relations Ann Lee
- Retirement Fu-Tien Chiou
- Self-Determination Antoinette Perez
- Service Provider Advisory Paul Quiroz

*Indicates Action Required

Harbor Regional Center
Board Development Committee Meeting

June 9, 2021

Meeting Minutes

In attendance: Ron Bergmann (Chair), Joe Czarske, Chris Patay, Ann Lee, Patrick Ruppe (Executive Director), and Jennifer Lauro (Executive Assistant)

Absent: Paul Quiroz, LaVelle Gates

Minutes:

The Board Development Committee held a meeting on June 9, 2021 at 10:00 am via zoom and reviewed the following:

- Current board term status and action plan for 2021-22
- Current composition and action plan for 2021-22
- Continued Board Recruitment; no new applications at this time.
- Service Provider Advisory Committee Recruitment
- Board Mentor discussion of onboarding new trustees

Other Discussion on the following:

- Upcoming June Board Training on Collective Bargaining Agreement/Union Negotiations
- Upcoming October Board Retreat
- When to resume in person Board meetings; by September and at the Long Beach Office
- Discussion to move meetings to quarterly

Next Meeting: Scheduled for July 14, 2021 then move quarterly to November 10, 2021

**Harbor Regional Center
Board Planning Committee
June 23, 2021**

Committee Members: Kim Vuong, Chair, Client and Board Member; Laurie Zalenski, Parent; April Stover, Senior Director of Programs, Ability First; Nancy Spiegel, Director of Information and Development

Guest Speakers: LaWanna Blair, Director of Early Childhood Services; Robin Hayes, Forensic Specialist; Deaka McClain, HRC Client, DDS Client Advisory Committee

The committee discussed two initiatives at HRC that are currently underway during this time of heightened interest, awareness and concern.

Building Relationships with Law Enforcement Agencies:

Patrick Ruppe has identified a goal for outreach to law enforcement agencies throughout our service area, work proactively to build ongoing channels of communication, provide training on developmental disabilities, and help these agencies to be more aware of regional centers as a resource. HRC staff members LaWanna Blair, Director of Early Childhood Services, and Robin Hayes, Forensic Specialist, joined Patrick to share our efforts with LBPD, TPD and others. Patrick noted that another Board Member and Chair of the Client Advisory Committee, David Gauthier, is also contributing to this effort.

Chairperson Kim Vuong, and the Tichenor Clinic where she is employed, have also reached out to the Long Beach Police Department. Kim shared information about the community forum that they hosted this month with the LBPD Mental Health Evaluation Team. During this forum the MET team shared that they will be working together with HRC for training of LBPD staff.

Some resources were shared, including an online training series that the LBPD has available for their officers on responding to citizens with mental health issues. Be Safe: The Movie (<https://besafethemovie.com/>) is a resource for preparing individuals with disabilities for encounters with police and is available in the HRC Resource Center. Kim shared a proposed outline of topics that could be included in training.

Committee members and guest speakers shared their experiences, concerns, and fears regarding encounters with police, and expressed willingness to support the above efforts.

Training Plans: Diversity, Equity and Inclusion - Patrick Ruppe & LaWanna Blair

Patrick and LaWanna are engaged in planning, with support from consultants, to review our internal systems and develop ongoing training plans for our staff, board, and community. The first stage of this process will begin with our executive leadership team later this summer.

Kim and Deaka volunteered to participate in training and facilitation of sensitivity and awareness training, which they have presented for other organizations in the community.

DDS Grant Award Notification: Promoting Service Access & Equity – Nancy Spiegel

- Bilingual Spanish-Speaking Community Outreach Specialist – HRC received continued funding approval for this position for 2 more years.
- Parent Mentors/Navigators – HRC will continue this program with funding awarded in 2019, and will reapply for additional funds during the upcoming grant cycle later in 2021.

Harbor Regional Center
Client Advisory Committee
May 8th, 2021 via ZOOM

Meeting Notes

***quorum not met to be official meeting**

Members Present: David Gauthier, Deaka McClain, Debbie Howard, Mead Dudley, and Wesley Dale

Guest: Kim Vuong (2nd meeting)

HRC Staff Present: Kris Zerhusen and Erika C. Landeros

Other: Jenelle Reyes, Life Steps Staff

Call to Order & Minutes Approved

Meeting commenced at 1:10 p.m.

The minutes were reviewed (voting/approval not held due to lack of quorum).

Welcome

- CAC members were greeted and everyone checked in with each other.
- Vice-President Wesley apologized for not attending last meeting and shared that he did not have a computer nor a smart phone to participate with. Kris Z. offered to assist him and anyone else in need with identifying a device to log into with moving forward.
- CAC was informed that Mr. David Oster has communicated that he no longer wants to be part of the CAC.

CAC Member Updates

By David: HRC's next board meeting will be held on 05/18/2021, he plans on being present and will update CAC with updates at next meeting.

By Deaka: Shared with CAC that she was part of LA Care Board and was interviewed in March for Developmental Disability Awareness Month. CAC DDS meeting will be held in June of 2021 via ZOOM. Team had an informal meeting in April of 2021 to discuss potential training topics such as Domestic Violence and Safety classes.

Deaka then presented CAC DDS training course "Being Healthy." CAC Team viewed video together then went through the handouts having an interactive discussion on identifying health goals and how to make them happen while remaining kind to one self. CAC agreed to share updates on goals at our next meeting. Erika will be mail forms/package to all CAC Members. Deaka ended her presentation with challenging CAC to work on improving our health

HRC Updates

Kris updated the committee with the Self Determination and explained that it will be available to all HRC clients as of June 7, 2021. HRC currently has 95 clients on the pilot program and 20 of those are "live" already. All staff at HRC is trained with SD as well. Deaka reminded the CAC team that there is a link on HRC's website in case anyone is interested in learning more about SD. The CAC then shared their views and opinions with SD vs traditional services and how it is an individual choice.

Kris then shared that iCan in Long Beach has virtual tours available. Easter Seals is also developing an employment option day program.

HRC Board approved \$30,000 in gift cards earlier this year due to the COVID-19 Pandemic and there is only \$1,000 of that left! This money was used to assist HRC

clients and their family facing financial constraints due to the pandemic. Anyone with a need should contact their Service Coordinator.

DDS has released a new directive stating that HRC staff may reassume in person visits with clients (if desired) as of May 21, 2021. This is only for clients that reside in SNF, all group homes, FHA, SLS and ILS, if desired (while social distancing and wearing appropriate PPE for safety) for their quarterly and/or annual meetings.

Judy Samana Taimi was introduced to CAC as the new Adult Director for HRC.

COMMUNITY

Erika encouraged CAC members to reach out to their respective Service Coordinator's if they found themselves in need of PPE's. Yellow tier in LA County per COVID-19 was discussed by the group, with members sharing tips with each other. COVID-19 Vaccine was discussed and CAC was invited to HRC for vaccine if interested (members referred to schedule with their Service Coordinators and encouraged CAC Members to speak with their medical professionals for medical advice. CAC expressed desire to amend our By Laws to allow participation via ZOOM if and when meetings are resumed in person.

Items for next Meeting being held on 08/14/2021

- All CAC members were encouraged to invite a friend to the next CAC meeting and begin brainstorming how to recruit new members.
- Put CAC meeting on HRC website
- Deaka to present "Making a Budget"
- Develop a new CAC Flyer to commence recruitment at day programs

Adjournment

Meeting at 2:48 p.m.

Next CAC Meeting

HRC Client Advisory Committee Meeting
May 8, 2021

The next CAC meeting will be held on Saturday, August 21, 2021 from 1:00 p.m.- 3:00 p.m. Meeting to be held via ZOOM if the stay at home order within Los Angeles County is still in place; if the county allows in person meetings, then the meeting will be held at the Torrance site with the option to ZOOM as requested by the CAC. Erika Landeros will communicate the location of meeting closer to the day of the meeting.

Harbor Regional Center Community Relations Committee June 8, 2021

Chris Patay, Parent/Board Member; Dee Prescott, Service Provider, Easter Seals Southern California; April Rehrig, Parent; Osvaldo Robles, Parent; Laurie Zalenski, Parent; Nancy Spiegel, HRC Director of Information and Development

Committee Chairperson Monica Sifuentes sent her best wishes to everyone. She is unable to join us for this meeting or continue to participate on this committee.

Vaccine Advocacy and Support

At our previous meeting, we discussed our participation in statewide advocacy efforts to prioritize vaccine access for people with developmental disabilities and their families, and our work inform and assist our clients/families to access the vaccine at various county, city, healthcare provider, and pharmacy clinics. Our staff continue to receive calls for assistance in scheduling and access, from some clients and families, on our vaccine hotline, but the number of individuals needing assistance has reduced. Since our last meeting, vaccine eligibility has gradually been expanded to everyone over age 12, and vaccine clinics are increasingly available in mobile clinics and local communities, which we are publicizing in our HRC communications.

Also since our last meeting, HRC was able to establish a vaccine clinic on our site with Oxford/Anchor Healthcare. Starting with weekly clinics for clients, family members, regional center and service provider staff, and staffed by a combination of Anchor/Oxford Healthcare and HRC staff, these clinics are now open several days a week and serving anyone in the community age 12 and over. Clients and families can receive specialized attention at these clinics if needed for behavioral or sensory issues, including a quiet room or service in their vehicle. Those who have received this service have expressed great appreciation for the care and efficiency provided by the staff.

Legislation and Advocacy

This year our Grass Roots Advocacy Day was held virtually on April 20th. Regional Center teams all over the state held meetings with their legislators, with representation from clients, parents/family members, board and committee members, and service providers.

Dee Prescott and April Rehrig from this committee participated in meetings with their representatives and reported that legislators seemed interested, engaged, and appreciative of hearing client/family and service provider's stories. The committee agreed that holding these meetings virtually had many benefits and that we should continue to use the approach used during this campaign.

Our main advocacy points included:

- elimination of funding sunsets and implementation of Service Provider Rate Increases consistent with the state's own Rate Study;
- elimination of the Uniform Holiday Schedule
- full funding of service coordination staff to meet required staffing ratios

The committee reviewed the most recent news from the Governor and Legislators' respective budget proposals, which held very promising news for the coming year and beyond. The Governor's May Revise included some of our key advocacy points; The Budget Committees of the Senate and Assembly then approved budget proposals that included *all* of our requested supports. The legislature will now be seeking agreement with Governor. Advocacy organizations are coordinating community grass roots support efforts to promote approval of this very positive budget package.

Community Support

Nancy reported that we are in the planning stage for holding a backpack giveaway for our neediest clients, with support from some of our regular donors. HRC will conduct a fundraising campaign for those who wish to contribute to this effort. Committee members will be kept informed so that they can participate as needed.

HARBOR REGIONAL CENTER
Self Determination Advisory Committee
Meeting Minutes
May 5th, 2021

Opening:

The regular meeting of HRC Self Determination Advisory Committee was called to order at 6:05 PM on Wednesday May 5th, 2021 via Zoom. Quorum was established.

Committee Member Present

Linda Chan-Rapp, Parent
Deaka McClain, Client
David Oster, Client
Sunghee Park, Parent (BBT)
Miriam Kang, Parent
Patricia Jordan, Client

Committee Members Absent

Johnanthony Alaimo, Office of Client Rights Advocacy Representative
Julianna Martinez, Parent
Rosalinda Garcia, Parent

HRC Staff Present

LaWanna Blair – Director of Early Childhood Services
Antoinette Perez - Director of Children’s Services
Katy Granados – Client Services Manager
Donna Magana- Client Services Manager
Ashley Brown- Intake Manager
Jessica Guzman- Client Services Manager
Bjoern Petersen- Client Services Manager
Liz Cohen-Zeboulon – Client Services Manager
Josephina Cunningham – Client Services Manager
Patrick Ruppe- Executive Director

SCDD Staff

Brianna Reynoso, Absent

Abbreviations

HRC: Harbor Regional Center	IF: Independent Facilitator
PCP: Person-Centered Plan	ICC: Integrative Community Collaborative
SCDD: State Council on Developmental Disabilities	
SDP: Self-Determination Program	LMS: Learning Management System
ITP: Individualized Transition Plan	DVU: Disability Voices United
BBT: Being Built Together	FMS: Financial Management Service

Visitors

Paul Quiroz	Lucy Paz (Interpreter)	Jamie Van Dusen
Jacqueline J.	Liz Maria O.	Diane Bernstein
Tamra Pauly	Lourdes Gomez	Vianey Gomez
Elizabeth Gomez (ICC)	Fernando Gomez (ICC)	Jordan Feinstock
Shelia Jordan Jones (IF)	Hilda Jimenez	Brenda Smith (IF)
Vivian Salas	Christine Ashley	Elsa Feeny

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Joungim Kim	Jennifer Wilker	Susan Huniu
Laura Ellenberg	Sam Eskandari	Reiko S.
Tina N.	Jeanne Wu	John Ortiz Ortega
Maria Alvarado (IF)	Alma Morales (Aveanna)	Karen Cull
Patricia Rodriguez		
Taleen Khatchadourian (Guidelight Group)		Damaris Ruiz (GT Independence)

Welcome

Introductions of committee members and guests – Via Chat on Zoom

Approval of Minutes

Quorum was established; 6 committee members present during the zoom meeting. Minutes posted and available for viewing on HRC website. Patricia Jordan made the first motion for approval of minutes, second motion was given by Miriam Kang. Deaka and David also voted on the minutes. Minutes were approved. Linda Chan-Rapp had a comment regarding the misspelling of Patrick Ruppe's (HRC Executive Director) name. Antoinette clarified that this amendment was already completed and the updated version is on the website. Minutes approved.

Presentation: Shelia Jordan Jones – Independent Facilitator

Shelia provided an overview of her background and experience as an Independent Facilitator. She received training from State Council. Shelia is also a certified person-centered trainer. Shelia is a retired special educator and she was in this field for 38 years. Shelia also has experience working as a transition administrator. Shelia shared that she has a son with unique needs. Shelia is trained in a variety of methods in relation to person-centered planning.

Shelia shared that she has completed approximately a dozen person-centered plans over the last four and a half years. She believes that PCPs can be used to support all individuals as she completed one for her elderly aunt as well as for a teenager who was afraid of entering high school. Shelia strongly believes in allowing the client to dictate their life plans through having discovery conversations about where the individual's needs fall now as well as in the future. Shelia shared that the individuals that are supportive of the client should work collectively with the individual during person-centered planning. The PCP should clearly address the individual's goals and objectives. Shelia is a strong believer of the positive connection needed between a client and their chosen Independent Facilitator.

Shelia feels that individuals should be open to the challenges brought on by Self Determination Program and approach those challenges collectively as a team.

There were some questions from the group. One question was if Shelia works with all regional centers or if she only works with individuals in the South Bay area. Shelia shared that thus far she has worked with South Central LA Regional Center, Harbor Regional Center, and North LA Regional Center. She then reiterated the topic of the IF being a good match for the client instead of focusing on the location. Linda asked if Shelia primarily works with young adults or older. Shelia shared that the youngest person she has worked with was in second grade. Linda asked how people can contact her, Shelia stated that her contact information is on the state council list. Shelia stated that she would put her contact information in the chat.

Presentation: Taleen Khatchadourian (Guidelight Group – IF)

Linda introduced Taleen from Guidelight Group. Taleen took time to explain her background and experience as an IF with Guidelight. Taleen is an Armenian-speaking IF. Within Guidelight, there are four other IFs and they specifically work with teenagers and adults doing transition services, IF services, and other private work (employment, coaching, etc.). Guidelight will work with the individual on whatever the need is and she explained the importance of positive connection between the client and the IF.

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Taleen has a 17 year-old son with Autism at Lanterman Regional Center. She stated that he has been a regional center client since the age of two and ever since, she has continued with her training in this field and does not intend to stop. Taleen works with Service Coordinators, peer-support at Lanterman, and she is the President of the Armenian Outreach Project.

Guidelight covers about 10 different Regional Centers and she personally works with six different Regional Centers. She shared her positive experience with working with HRC. One question Taleen had was what are some of the coolest things that have happened within SDP. She shared that a client age 24 years thought the IFs were coming in to just speak with his mom. Taleen stated that when he saw his Spending Plan, his face lit up. Speaking about the different parts of his PCP caused him to grow more excited. The client began completing chores and doing things to get ready for his goals and ended up getting healthier and losing weight.

Another question was inquiring about some of the more difficult situations Taleen has encountered within SDP. Taleen explained that there was a family that had conflict with the Regional Center and Taleen was able to reconcile and now the client is flourishing. Linda asked what advice Taleen would give when there are two parties who do not quite see eye-to-eye. Taleen explained that it is important to stop and take a moment to look at things from the other person's perspective. She explained that Regional Center has certain responsibilities so sometimes things have to be explained further to meet in the middle. Taleen is open with everyone. A question in the chat asked Taleen if she has worked with clients who are still in school. Taleen shared that she has and she works with students who are in transition programs.

Taleen clarified that her clients are 15-65 years old. David Oster asked how long has she has been in the practice. He also commented on how awesome Guidelight Group is. Taleen shared that she started in education. She sold her business 3-4 years ago and then she went into advocacy focusing on transitioned-aged youth. At the SDP conference in 2019 she got connected with Guidelight Group. Taleen shared that all different groups of IFs work together to ensure clients are connected to appropriate IFs. They have a great network.

Presentation: Fernando and Elizabeth Gomez (ICC)

Linda introduced Fernando and Elizabeth from ICC who are strong advocates for the Spanish-speaking community. Fernando began the conversation focusing on the macro-perspective of how they work in SDP and Elizabeth would share the micro-perspective.

Fernando shared that they are well-versed in PCP, but they used Guidelight for their son's PCP and their experience was wonderful. Fernando feels SDP is a game changer and although it is a good one, it can come with challenges. Their journey in this field started 14 years ago when their son with Down Syndrome was born. They made sure they were knowledgeable. Throughout the process they realized how important it was to reach out into the community and be a part of the solution. They are also co-founders with DVU. Their focus is in disparities and how different cultures can come together. He views SDP as a celebration of life for the individual to make their own life decisions. Creating PCPs is about relationships and discovering solutions together. SDP gives the individual the ability to flourish, but also assists with bringing about systemic change.

Elizabeth added her perspective. She shared that she is a member of Westside Regional Center advisory committee and she absorbs information and continues her training. Elizabeth reiterated their work within the disparities and they help families within the traditional system as well and it was natural to also begin assisting people within SDP. They stay with families all the way through the process and maintain connections for life. ICC will continue to work with families both in SDP and the traditional system.

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Jordan took the time to share his thoughts and stated that he feels that individuals should enter SDP, but some do not have enough information or a lack of understanding, but there are resources to help.

Deaka shared that she appreciates the fact that ICC assists individuals in both the traditional service delivery system and SDP. She advocates for both sides. Deaka stated that it should be clear that SDP can be hard, but there are individuals who can provide guidance. Deaka feels that individuals should have the option and she thanked Elizabeth and Fernando for assisting both sides.

Taleen also shared that she recognizes the difficulties in SDP and that change is difficult, but that it is okay. She shared that it is important to work together and that it is not an “us vs. them/Regional Center” mentality. Working cohesively is most beneficial.

Presentation: Sunghee Park (BBT)

Linda introduced Sunghee who recently founded BBT to assist the Korean community. Sunghee presented in both English and Korean. Sunghee has a son who is a client at HRC. Sunghee shared that the priority for this year is to assist Korean families with entering SDP. BBT focuses on educating families and providing customized PCP and IF services. BBT empowers families to be more independent in SDP. Sunghee introduced Mrs. Kim who is also a mother of a client who went live in March 2021 to share her experiences.

Miss Kim shared her experience in Korean with Sunghee providing English translation. Miss Kim shared that it is very important to work with a qualified IFs who speaks the same language. She emphasized working as a team, relying on the professional background of the IF to assist the client in the best way. Miss Kim stated that individuals should not attempt to go into SDP alone. Miss Kim shared that she was able to find an agency suited for her son at a rate she agreed with. Miss Kim also decided to keep a few traditional services, so there was a balance. The PCP reflects her son’s needs appropriately and she promotes families to change their PCP as time continues and as they see fit. Miss Kim shared that individuals with language or cultural barriers may feel that SDP may do a disservice, but she stated this is not true and to make sure families have proper guidance.

Linda made a statement that it seemed that the PCP drew heavily on the parent’s perspective instead of the client’s perspective. Sunghee explained that she disagrees and that the reason they spoke heavily on parent involvement is because Miss Kim’s son is not in attendance for the meeting tonight. Sunghee explained that throughout the process, Miss Kim’s son was very involved and they put his needs first. Miss Kim further explained that SDP geared her son towards more independence, but she still did studying on her own. Miss Kim’s son is in college, but has unique needs so she wanted to make sure she was knowledgeable to further explain SDP to her son.

Sunghee provided her contact information via chat.

Letter of Introduction: Danielle Alvarado (IF and HRC Parent), Represented by Linda Chan Rapp

Linda provided a copy of Danielle’s letter in the chat. Danielle has been successful in developing PCPs.

Public Questions for IFs and other Public Comments

- Jordan asked if the PCP, \$2,500 will continue to be paid for when the program opens to everyone in June. Fernando responded and stated at this time no one knows, but they are keeping up to date with directives. Fernando feels that something will happen to accommodate families.

- Christine Ashley asked how many clients each IF has with medical/communication issues that actually rule their daily lives. Shelia stated that she has a couple of clients with limited communication. She stated that these particular clients are going through appropriate therapy such as speech therapy. Shelia stated they

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look at creative ways to assist these clients. Fernando shared that all of the individuals they support (1200 families) have a unique situation with medical/communication challenges. Fernando referred to Miss Kim's story and although he does not speak Korean, they were able to understand her and read her body language to relate to her. Fernando shared that it is not necessarily about the language, but it is about the message. Sunghee shared that people need to hear other cultures and languages with interpretation so individuals can understand that SDP is possible for everyone. Taleen shared that she has clients who are medically involved and the focus is on their abilities. She also has clients with communication concerns and they work with them to find unique ways for them to express their voices.

- Linda asked the IFs to share what advice they would give for individuals who want to enter SDP in June. Fernando shared that whatever decision is made, it is the right decision and SDP is an amazing opportunity. Taleen shared that you do not have to rush and just because it opens in June, you do not have to sign up right away. Taleen also shared that individuals should get all the information they need and to attend the orientation available at their assigned Regional Center. Shelia expressed the importance of networking. She also shared to keep an open mind and open heart to remain receptive to a new program. Sunghee shared that SDP started in 2014 in New York and at first it was confusing, but now everyone does it in New York, so it is hopeful for the future. Miss Kim shared that she was the first one live in SDP at Lanterman Regional Center and Sunghee recommended Miss Kim to be a parent mentor. Elizabeth shared that when the individual is ready, there is always someone to support.

Harbor Regional Center Monthly Update – Antoinette Perez, Director Children's Services

- There are 95 individuals who are part of the SD Program
 - Of the 95: 20 participants are live as of February 1, 2021
 - 26 approved budgets
 - 22 spending plans completed
 - 25 completed PCP plans
 - 2 participants missing SDP orientation
- Currently working on other plans who are close to being live
- Orientations: 2 English and 2 Spanish. They will be offered on Saturdays. The first English Orientation is May 13th, 2021 and the first Spanish Orientation is May 15th, 2021. All of the information is on the website, but contact the Service Coordinator for further information.
- The last informational night was April 21st, 2021 (English) and the last Spanish information is May 19th, 2021.
- Staff and Provider Training is complete. Ongoing training is solidified.
- Fair Hearings and Outcomes: Since the last meeting, there was one outcome, the judge decided in HRC favor.
- Resource Development: The biggest concern is the quality of resources. Although there are a lot of providers interested in being vendored for things that are SDP-related, HRC is being very cautious and we are relying on word-of-mouth.
- HRC Roll out of SDP: Ready to open up in June. There was discussion of SDP being postponed until July, but HRC is ready to begin now. Everyone has been contacted and can sign up for orientation.
- Implementation Funds: Skills4Care will contact the 70 participants who have yet to go live and to see if they are still interested, any barriers, and if they are interested in the coaching program.
- DDS link on directive and extensions has been posted.
- Linda asked for clarification regarding the 25 PCPs in comparison to the 26 approved budgets. Katy explained that there are some participants who chose not to have a PCP and they just have their IPP.

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- SDP orientation with another Regional Center will be honored by HRC, however the process may look different. We will circle back to ensure families have all pertinent details.
- Sunghee asked if there is an information resolution meeting that can happen before going to Fair Hearing. Antoinette explained that there is and the Fair Hearing process is the same as traditional services.
- Antoinette shared that Liz and Katy completed short videos available on the HRC website and social media just explain that SDP is opening in June and what the next steps are.

Skills4Care – Paul Quiroz

- Finishing up the hiring process. They will have a Korean, Spanish, and English speakers.
- Currently going through the calls to identify and provide Antoinette with information.
- No money spent as of yet.

Statewide Updates:

- Statewide Committee- Linda Chan Rapp
 - Link to directives in 8 different languages
- DDS Updated FMS FAQ Page
 - Chart about different options

Office Clients and Rights Advocacy:

- Due to Johnanthony Alaimo's absence, there were no updates provided

State Council Update:

- Due to Brianna's absence, there were no updates provided

Public Comments:

- Suggestion from Patricia (in Spanish): IFs should be paid until clients are accepted into SDP. She had a situation where the IF only did the PCP and did not help with the Spending Plan
- Is there a deadline for approving budgets? Antoinette shared that the other directors at HRC are able to approve budgets. The budgets are approved within 48 hours and the longest may be about 4 to 5 days. Miriam shared that other Regional Centers have this issue, but not at HRC.
- Is there a DDS directive about whether or not other orientations are honored? Antoinette explained that it is up to each Regional Center and it is not up to the state. Antoinette stated we are working on having a uniform statewide orientation.
- **Next meeting:** June 2nd, 2021 via Zoom 6PM-8PM

Adjournment, Conclusion

Meeting was adjourned at 8:04 PM.
Minutes submitted by Ashley Brown.

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Self Determination Advisory Committee
Meeting Minutes
June 2, 2021

Opening:

The regular meeting of HRC Self Determination Advisory Committee was called to order at 6:08 PM on Wednesday June 2, 2021 via Zoom. Quorum was established.

Committee Member Present

Linda Chan-Rapp, Parent
Deaka McClain, Client
David Oster, Client
Sunghee Park, Parent (BBT)
Miriam Kang, Parent
Rosalinda Garcia, Parent
Johnanthony Alaimo, Office of Client Rights Advocacy Representative

Committee Members Absent

Patricia Jordan, Client
Julianna Martinez, Parent

HRC Staff Present

Patrick Ruppe- Executive Director
Judy Taimi- Director of Adult Services
LaWanna Blair- Director of Early Childhood Services
Katy Granados- Client Services Manager
Donna Magana- Client Services Manager
Ashley Brown- Intake Manager
Jessica Guzman-Client Services Manager
Josephina Cunningham-Client Services Manager

HRC Staff Absent

Antoinette Perez - Director of Children's Services
Liz Cohen-Zeboulon – Client Services Manager

SCDD Staff Absent

Brianna Reynoso

Abbreviations

HRC: Harbor Regional Center
IF: Independent Facilitator
PCP: Person-Centered Plan
SCDD: State Council on Developmental Disabilities
SDP: Self-Determination Program
DVU: Disability Voices United
FMS: Financial Management Service

Visitors

Paul Quiroz	Lucy Paz (Interpreter)	Jamie Van Dusen	Ivon Muñiz
Ronda Kopito	Sandra McElwee (IF)	Sam Eskandari	Guadalupe Esquivel
Angela Robles	Leslie Versfelt	Vianey Gomez	SE
Danielle Alvarado (IF)	Diem Ngyuen	Jordan Feinstock	Oanh Vuong

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Jeanne Wu
Maria Zavala

Sue Gavin
Amelia Castellanos

Celia Pena
Brittany Robinson

Welcome

Introductions of committee members and guests – Via Chat on Zoom

Approval of Minutes

Quorum was established; 7 committee members present during the zoom meeting. Minutes posted and available for viewing on HRC website. Deaka McClain made the first motion for approval of minutes, second motion was given by David Oster. After further review Linda Chan-Rapp had a comment regarding edits needed to May minutes, after review of minutes edits made, Deaka McClain made the first motion for approval of minutes, second motion was given by David Oster.

Presentation: Danielle Alvarado – Independent Facilitator

Danielle provided an overview of her background and experience as an Independent Facilitator. Danielle is a parent of 4 sons with Autism, 2 are currently live on SDP. She shared how much SDP has supported her 2 sons in the program. As a PCP planner and IF she has seen many people put together hope and dreams. She has seen people become very creative, she has seen unique things and it is rewarding to see them live their lives fully included. Danielle has been an independent advocate for over 25 years. She works with all ages, all levels and the sky is the limit.

Presentation: Sandra McElwee-Independent Facilitator Effective Person-Centered Planning

Sandra presented on the Benefits of a Person-Centered Plan and examples of creative things people have added to their PCP. Sandra began by sharing the WIC division 4.5. Services for the developmentally disabled [4685] section (k) The IPP team shall utilize the person-centered planning process to develop the IPP for a participant. The IPP shall detail the goals and objectives of the participant that are to be met through the purchase of participant-selected services and supports. The IPP team shall determine the individual budget to ensure the budget assists the participant to achieve the outcomes set forth in his or her IPP and ensures his or her health and safety. The completed individual budget shall be attached to the IPP. Sandra also reviewed the importance of PCP planning which creates goals and determine services needed to achieve their created goals. She also shared the PCP is able to help create the IPP. She explained how the services needed to achieve the goals helps RC create the budget which is also shared with participant. She shared explained the total amount that a participant in SDP will be able to spend per year on needed supports and services. Sandra provided visuals on how the budget is prepared for the 12 months, she provided examples for transitional traditional services to SDP. She also reviewed Unmet Needs, how to identify a need for a service that were not met before or not been addressed in participant prior to IPP. Sandra also discussed change in circumstances and examples of how life changes and have new needs, how unmet needs are identified during IPP. Sandra shared how the budget moves to create the spending plan, however services and items must support goals in the PCP, services must be federally reimbursable, cannot be for rent, food and settings must follow the HCBS Final Rule, (must use generic first). Sandra reviewed in detail the 3 major categories in the Spending Plan: Living arrangements, employment and community participation, and health and safety. Sandra reviewed how to calculate a spending plan for a 12 month period. She also shared possible services accessible within the 3 major categories in the spending plan and unique services participants have accessed. She shared the list of definitions is available in the DDS website for further review. She also reviewed how therapy services are to be accessed through insurance, medi-cal, school district if under 21 years old and reviewed the documents needed in the event service is not covered by the generic entity.

Sandra reminded participants the budget will change as your needs change, services can be added based on change in need and services can be also removed based on changes in need.

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Self-determination services available https://www.dds.ca.gov/wp-content/uploads/2019/05/SDP_serviceDefinitions.pdf
<https://sandramcelwee.com/empower-person-centered-planning-independent-facilitator/empowerpcp@gmail.com>

Carla Lehman –Independent Facilitator, Represented by Linda Chan Rapp

Linda provided a copy of Carla's IF biography in English and Spanish.

<https://drive.google.com/file/d/1lje-s6SO7cxevaN3qmRbrxQbcT-n3sod/view?usp=sharing>

https://drive.google.com/file/d/1W-IDMCArO1-f65-OQ3urSPsTLq9OB_Ap/view?usp=sharing

Public Questions for IFs and other Comments

- Susan Huniu- How do we get our service provider to pay for PCP? Linda shared that PCP planning, may get approved for open enrollment period, but it is not a done deal yet. The decision has not been finalized. Danielle also confirmed that at this time there is no definite as of yet.
- Deaka McClain- How do you deal with clients who do not have the funds in their budget to pay for an IF? And how much does Danielle charge? Danielle shared she bases her support by their individual situation, she does not turn people away. However, she is only able to take so many cases at a time to be able to individualize and focus with each case. If she does come across someone and they need help, 1) if they cannot afford or 2) she does not have the case load room for them: she will not turn them away. She will spend one hour with them, to determine the situation and provide the best advice she can. Encourage them to try the advice she provided and if they need additional support after their attempts she ask they return. Which will allow her time to be able to reduce her case load to support them. Danielle also shared for IF she charges \$125-175 a month, and depending on the level of help provided during the month.
- Linda Chan Rapp- Shared to the new attendees that the advisory committee meetings began to highlight IF that have supported people to be fully enrolled in SDP program at HRC. The minutes from the last meeting are available to review to obtain information of the 5-6 facilitators that presented. The minutes can be found in the SDP Advisory Committee at HRC's website.
- Miriam Kang inquired about the example SDP spending plan Sandra provided. How is We Rock the Spectrum a provided services if it does not fall in line with the HCBS Final Rule? Sandra shared that We Rock the Spectrum a gym that is open to all children, that supports children in the spectrum however open to all children.
- Susan Huniu- I thought an IF is needed to create a PCP. So how come an IF will be needed throughout the year? And is paid throughout the year? Also she has contacted many IF and they do not work with HRC, long wait list, how can she option list. Deaka McClain responded The PCP can be done by anyone (SC, neighbor, someone trained in how to complete PCP) not necessarily an IF. She explained there is no set list and they can obtain the list from State council, DDS website and Sandra shared she can find more information on the Southern California SDP Facebook page for IF. Contact the State Council on Developmental Disabilities for a list of independent facilitators losangeles@scdd.com
- Miriam Kang- For those of us in our second year transitioning out of the school district into adult, what is the recommendation to prepare for the unmet needs. Should parents look for services, Sandra explained that there are workbooks to support the transition. The school district has programs to support the transition if you do not receive diploma. Depending if you receive a diploma or certificate of completion have option to exit the school district by starting individualized program (ex: job coaching).
- Linda Chan Rapp- Encouraged participants to contact State Council to obtain the list of IF/ PCP, because it is a critical piece. HRC is very good at helping people through orientation however there has been a large

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drop of participants completing orientation and then moving onto completing their PCP. Although you do not need a PCP it is such a powerful tool to help refocus ideas and thoughts to what is possible for the individual to have a meaningful life.

- David Oster- Shared he is a part of the state council SDP committee, he is inquiring if he should share the concern of there not being enough IF around HRC and possible increase IF to speak at HRC SDP advisory committee due to the possible increased need? Linda confirmed it was a good idea.
- Miriam Kang- suggested limiting the amount of presentations of IF due to the immense amount of information being provided and went to long, limited time for additional information.
- Jordan Feinstock- Is HRC doing an IF training for those interested? Judy Taimi- shared that at HRC there are no current trainings available. Katy and Judy confirmed State Council is offering IF training and when they become available HRC families are made aware. Linda shared SCLARC is providing training for IF and will share additional information. Also Tamra Pauly is offering IF training, Linda will provide more information.

DDS update on Financial Management Service Models

Linda reviewed in detail the FMS models comparison chart (Bill Payor, Co-Employer and Sole Employer). She reviewed how task and responsibilities are divided between the participant and the FMS provider.

- Comparison Chart: https://www.dds.ca.gov/wp-content/uploads/2021/04/FMSModelsComparisonChart_04272021.pdf
- List of FMS: <https://www.dds.ca.gov/initiatives/sdp/financial-management-service-contact-list/>

2021-2022 Self Determination Implementation Funds Request for Proposal

RC's has been granted funds for 2021-2022 to help support those who want to go into SDP. The purpose would be to support and mentor people into the program. Linda inquired if there was anyone in the committee who would like to work on a proposal for the next advisory meeting.

- Volunteers: Linda Chan Rapp, David Oster, Miriam Kang, Deaka McClain

Election of New Chair for HRC Self-Determination Advisory Committee

Linda Chan Rapp, will be stepping down from SDP Advisory Committee Chair effective July 31, 2021, due to daughter being accepted to a post-secondary program. Linda would like to allow someone who is very active in the SDP program to be chair.

- **Nominations for Chair:**
 - Deaka McClain- Nominated - Rosalinda Garcia for Chair
 - Rosalinda Garcia – Accepted Nomination
 - *Linda initiated motion for Chair.* Deaka M. made the first motion, Miriam Kang seconded the motion.
 - All in Favor: David O. Deaka M.
- **Nominations for Vice Chair:**
 - Deaka McClain- Self nominated for Vice Chair
 - David Oster- Self nominated for Vice Chair
 - *Linda initiated voting for vice Chair: Linda asked for all votes to be sent to her directly via private message on zoom.*
 - Linda confirmed Deaka McClain was elected Vice Chair.

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June 2, 2021

Comments Regarding New Chair Election:

- Rosalinda Garcia- Expressed gratitude for all the support and passion leading the advisory committee.
- Miriam Kang- Also thanked Linda for her leadership during the pandemic and navigating the new platform.
- Deaka McClain- Shared her support and inquired if she will continue to attend the advisory meetings, Linda confirmed her continued attendance.
- David Oster- Thanked Linda for her work and being a great chair.
- Jordan Feinstock- Inquired if the Vice Chair becomes the Chair, who takes over the Vice Chair? Rosalinda G. clarified the Vice Chair is not necessary, it was decided to split the role between Linda and Rosalina. However if anyone would like to be the Vice Chair, they are welcome and can be nominated.
- Oanh Wuong- Nominated- Deaka McClain for Vice Chair. However unable to nominate due to not being on committee.
- Jordan Feinstock- shared the role of the Vice Chair is to step in whenever the Chair is not present.
- Deaka McClain- Asked for clarification regarding the status of vice chair or co-chair. Rosalinda clarified that it is the same just a different name.
- Patrick Ruppe: Clarified only the committee members should be voting.
- Deaka McClain: Asked if Linda can present all members to the meeting. Linda shared the name of all committee members present.

Harbor Regional Center Monthly Update – Katy Granados – Client Services Manager and Judy Taimi, Director Adult’s Services

- There are 95 individuals who are part of the SD Program
 - Of the 95: 21 participants are live as of June 1, 2021 (pending 2 individuals for 6/1 start date)
 - 23 live by the end of the week
 - 26 approved budgets
 - 22 spending plans completed
 - 25 completed PCP plans
- Orientations: 2 English and 2 Spanish held in May, 89 families completed orientation.
- 5 orientations in June (3 English- 2 Spanish), 120 are currently enrolled to participate in June orientations.
- Fair Hearings and Outcomes: no changes since May 2021.
- Resource Development: HRC continues to relying on word-of-mouth from families. Families share resources and who they use to do their PCP. HRC is open to new vendor with new PCP planners and FMS. Not vendor new IF. HRC works directly with clients and families to provide feedback with providers they work well to continue partnerships.
- HRC Roll out of SDP: HRC continues to move forward with the next steps for those who attended orientation. If attending orientations at different RC’s please ensure to notify your SC to ensure you are supported throughout the next steps with SDP.
- Deaka M- Asked for clarification of roll out date, due there being different dates (June 7th and July 1st). Judy T- confirmed start date for all RC’s is June 7th.
- Linda and Miriam shared they also have heard there was change for start date to be July 1st. Patrick Ruppe- shared that there was a possible push back to July 1st however it has not been confirmed if that was effective. As of today (June 2nd the start date continues to be June 7th.)
- Linda Chan Rapp asked Paul Q for clarification information shared during the last meeting in regards to the 70 possible interested clients/ families to participate in the mentor program. Asked for updates if these

HARBOR REGIONAL CENTER
Self Determination Advisory Committee
Meeting Minutes
June 2, 2021

possible participants still interested or not ready yet? Paul confirmed those clients will be contacted in June to obtain an update on their participation in program.

- Linda Chan Rapp- requested update on HRC Resource Development due to counting votes for the vice chair. Judy T. shared HRC continues to relying on word-of-mouth from families. Families share resources and who they use to do their PCP. HRC is open to new vendor with new PCP planners and FMS, to increase resources for families.
- Linda requested there be a list of vendors that have been recommended by families. Judy T. shared there is no list of IF to provide, however will look into list of FMS providers that HRC and PCP planners. Judy will put a list together to share during next meeting. Although HRC vendor with FMS and PCP planners, they are not vetted and it is important for participants/ families to do their research to ensure they know what works best for them.
- Linda requested a list of IF that have supported HRC families when going live on SDP. Shared she has a list that is used to invite IF to the advisory committees. Judy T. shared a majority of IF helping families move forward with SDP have been a part of advisory committee meetings. Linda shared a group that has not been a part of the meeting is Autism Society of LA and Carla Lehman has been success in supporting participants through SDP.

Skills4Care – Paul Quiroz

- Finishing up the hiring process, the finalizing of mentors will be in place by next week.
- Will continue identify families / potential candidates still interested in the mentor program
- Next meeting will provide current data of individuals contacted
- No money spent as of yet.

Statewide Updates:

- Statewide Committee- Linda Chan Rapp
 - June 22 – The State Wide SDP advisory committee meeting will be held. It will be focusing on helping moving forward with the SDP Roll out.
- DDS Updated FMS FAQ Page
 - Chart about different options

Office Clients and Rights Advocacy:

- Will host a training on June 5, 2021 from 11AM- 12:30PM via zoom, focusing on possible upcoming electricity shut off during fire season and how that will impact individuals that require medical equipment.

State Council Update:

- Due to Brianna's absence, there were no updates provided.

Public Comments:

- Sunghee Park inquired if HRC is honoring orientations completed outside of HRC, Katy confirmed HRC is accessing outside orientations. Sunghee would like to encourage Korean speaking families to participate in the RCOC SDP Orientation held in Korean.
- Diem Nguyen- Inquired if there is a way to watch the recording of tonight's meeting? Katy explained the purpose of the recording is to help the minutes being taken.

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- Deaka inquired if recording can be provided to clients on HRC web site, Judy at this time it has not been considered, however Patrick Ruppe shared it can be considered for the future.
- Patrick Ruppe- shared the corrected state council web page link. <https://scdd.ca.gov/losangeles/>
- Patrick Ruppe- shared the limited FMS agencies limiting their available models, if anyone has updated information from other agencies due to that being a barrier for some families. More information with possible updates will be provided during next meeting.
- Sandra shared most companies have all 3 models, all are doing bill payer, few have co employer (4 have good results GT independence, ARCC, Mains'l, Aveanna) (adding more due to few people wanting to add their own workers comp and liability) and most have sole employer. Patrick shared they have initiated contact with Mains'L to join HRC as FMS.
- Sandra encouraged participants to research FMS and try which works best for the family.
- Danielle A- Cambrian has been supportive to the families that have been accessing SDP. Paul Q confirmed Cambrian is under CFMS.
- Deaka M- Asked for clarification regarding Cambrian being an FMS and provider. Paul Q- explained they are two different companies. Inquired about adding 24 hour home care for more FMS information.
- Jordan F- Shared his budget has been certified however is having issues with the FMS model due to a mutual employee and is now paying overtime due to the extended work hours (both participants accessing service during the same work day). Asked if anyone knows how to navigate the scenario for employee using same FMS to sign in time. Is the only way to go about it a sole employer model or 1099? Sandra – cannot use a 1099 due to California employment law. Yes, only way would be the sole employer due the participant being the employer on file. GT has additional supports to make it easier to use sole employer.
- Deaka M asked for clarification regarding being a direct employee for client and how it can possibly conflict if she works for another participant. Jordan clarified sole employer and co employer.
- Patrick Ruppe- Shared information found regarding July 1st, e-mails shared regarding the trailer bill language associated with the \$10.3 million dollars that is in the proposed budget going to the governor. If this is the final version of the trailer bill, then the proposed roll out date is July 1st. We would have to wait to see if the governor signs the proposed trailer language bill to determine the change in roll out date state wide. At this time start date continues to be June 7th until signed, possibly will be pushed back to July 1st.
- Linda Chan Rapp- Shared a Self-Determination Parent – Training hosted by Education Spectrum via zoom. It is a weekly training (2x per week) that began April 22 and will be held through June 26th. Thursday 5:30 – 6:30 PM and Saturdays 10:00-11AM) / Also Disability Voices United has had ongoing trainings on SDP. SCLARC is offering trainings for those interested in becoming IF, information is online if interested. There are many agencies like Guidelight who also provide IF training.
- David O- Proposed Skills4 Care be a pilot project and then it can be proposed for the state RC.
- Deaka added more information to elaborate on David's comment. She explained if HRC could create a pilot to begin mentor program for all RC's. Discuss a possible bill that makes it that all RC's need to have a mentor program.
- Linda proposed it be discussed outside the committee meeting to further review idea.
- **Next meeting:** July 7, 2021 via Zoom 6PM-8PM

Adjournment, Conclusion

Meeting was adjourned at 8:05 PM.

Minutes submitted by Donna Magaña

**Harbor Regional Center
Service Provider Advisory Committee
June 1, 2021 10:00 am
Virtual via Zoom Meeting**

Committee Participants

Member Name	Organization
Paul Quiroz, Chairperson	Cambrian Homecare
Amy Miller	InJOY Life Resources
Catherine Bennage	Integrated Life
Angie Rodriguez	Social Vocational Services
Patricia Flores	Life Steps Foundation
Alex Saldana	Oxford Healthcare
Christine Grant	Dungarvin CA.
Anthony MacConnell	Dungarvin CA.
Jeri Miles	Mentor Network
Letica Woods	Ambitions CA
Donna Gimm	Mentor Network
Ryan Rieger	Mentor Network
Scott Elliott	ICAN California
Lindsey Stone	ICAN California
Jordan Quenun	Ability First
Ben Espitia	Goodwill Industries
Nancy Langdon	Canyon Verde
Harry Van Loon	ARC Long Beach
Brian Lockhart	Aacres CA LLC
Glenda Lang	Options for Birth and Family Services

HRC Staff Participating

Staff Name	Title
Patrick Ruppe	Executive Director
Judy Wada	Chief Financial Officer
Nancy Spiegel	Director of Information and Development
Leticia Mendoza	Community Services Department Assistant
Steve Goclowski	Clinical Services Manager
Mercedes Lowery	Service Provider Relations Manager
LaWanna Blair	Director of Early Childhood Services
Mary Hernandez	Director of Case Management Support Services
Elizabeth Stroh	Manager of Rights & Quality Assurance
Erika Godoy	Client Services Manager Children's South Central
Katy Granados	Client Services Manager Children's East

Ute Czemmell	Controller
Tes Castillo	Assistant Controller

Call to Order

Paul Quiroz called the meeting to order at 10:10 a.m.

Grass Roots Day Update

The Association of Regional Centers Agencies coordinated Zoom meetings between regional center teams and legislators from across the state on April 20, 2021. Holding these meeting via Zoom allowed more clients, family members, board members and service provider constituents to participate in the meetings for their respective districts, and seemed to allow informal, friendly and open conversations regarding our issues and individual stories. HRC service provider representatives included Paul Quiroz, (Cambrian), Angie Rodriguez, (SVS), Dee Prescott, (Easter Seals SoCal), Alex Saldana, (Oxford Services), and Lindsay Stone, (ICAN), with their respective Senators and Assembly Members. In addition, HRC teams also included Board members Kim Vuong and Ron Bergmann, Doug Erber of IDA, parents April Rehrig & Christina Marquez, Nancy Spiegel, (HRC), and representatives from SCLARC and ELARC. Key advocacy points included elimination of the sunset for temporary rates, elimination of the Uniform Holiday schedule, implementation of the rate study, and full funding of regional center service coordination staffing to meet required client ratios. Several of these points have since appeared in the Governor’s May Budget Revision and the Legislators budget proposals.

Executive Director Report

Patrick Ruppe, provided an update regarding the Governor’s Proposed May Revise 2021/2022 budget.

- Elimination of Provider Supplemental rate increases funding sunsets
- Uniform Holiday Schedule elimination
- Expansion of Paid Internship Program and CIEP
- Targeted Service Coordination Enhancement for underserved communities
- Provisional Eligibility for ages 3&4
- DSP Bilingual training

COVID-19 Update

- HRC client numbers are low in comparison to other regional centers
- HRC continues to host vaccination clinics at the Torrance office for service providers, clients, families and staff, in partnership with Oxford HealthCare. Home visits are available to clients if needed.
- HRC appears on the website myturn.ca.gov as a clinic site option.
- DDS has not rolled out plans for re-engagement for service provider programs. HRC is working on the return to work for staff. Program visits by service coordinators soon to follow. HRC plan to re-engage slowly to ensure the safety of clients and that there is enough staff available on site for clients. Number of clients pre-pandemic and after to consider. HRC plans to schedule a meeting with Transportation service providers regarding clients returning to programs and their capacity available and current regulations. OSHA & public health protocols will be followed.

HCBS Update

Mercedes Lowery provided an update of the service providers that received HCBS grant awards for fiscal year 2020/2021 for a \$514,360 total. There are five HRC licensed home service providers that received grants for home modifications for accessibility. Contracts to be signed by June 30, 2021. HCBS final rule site visits were held April & May with providers. HRC is waiting for final report.

DDS Upcoming Audit

Elizabeth Stroh, provided an update on the upcoming DDS Federal programs audit.

- Review Dates July 12, 2021 - July 23, 2021. Remote site visits.
- Review periods for 4/1/20 -3/31/2021
- 57 clients selected
- Client records, Nursing Home Reform, Service Coordinators, Clinical staff, QA and client & parent interviews.
- Sample of records review: IPPs, semi-annual & quarterly reviews, current emergency information, SIRs, Admission agreements, medication administration records, P&I ledgers, first aid certifications for staff.

Budget and Rates

Judy Wada, provided an update the budget for HRC and statewide.

For the current fiscal year budget 2020-21 HRC's budget is summarized as:

- Purchase of Services Expenditure Projection (POS) as of March claims:
 - HRC Total Projected Expenditures \$240,707,700
 - HRC Projected Surplus of \$10,878.981
 - May Revision Fiscal Year 2021-22
 - FY2020-21 \$9.9 billion
 - FY 2021-22 \$10.7 billion
- Caseload
 - Projected 6/30/21 \$352,382
 - Projected 6/30/21 \$386,431

DDS May Revision Fiscal Year 2021-22 Proposed Budget Includes:

- Provider supplemental rate increases and Uniform Holiday schedule elimination of 12/31/21 suspension
- Emergency preparedness resources and RC planning support
- Bilingual Differential for Direct Service Professionals
- CIEP & PIP increase number of payments
- Additional Resources for individuals who are Deaf
- Lanterman Act Provisional Eligibility Ages 3& 4
- Enhanced Service Coordination
- Performance Incentives for Regional Centers

DDS Directives Alternative Services Rates (ASDM)

Judy provided an update regarding alternative services.

Provider Attestations for Absence Payments during COVID-19 are still pending for DDS. Survey Monkey reports continue to be due the 5th business day of the month.

Technology Lending Library Los Angeles County Regional Centers joint RFP

- **FY 2020-21 CPP/CRDP Startup Funds**
- 7 Regional Centers: Westside, East LA, HRC, Lanterman, North LA, San Gab/Pomona, South Central LA
- All providers can apply to serve all, one or a portion of the seven
- \$1.4 million or \$200,00 per regional center
- Expectations:
 - Increase access to remote and virtual services providing eligible participants with tablets and/or laptops and technical support
- Timeline Deadline for proposals is June 15, 2021, 4:00pm
- Information posted on HRC website

HRC Report Updates

Steve Goclowski, provided an update of a new service provider on board with HRC called CBEM. CBEM presented a powerpoint presentation on services available.

- Provides crisis services and resources to clients of all ages
- Crisis hotline
- Safety plans
- Monthly remote drop in clinics for service coordinators
- Service coordinators to send referrals to program for review to HRC psychologist consultant Juan Carlos Aguila

Erika Godoy, provided update on the new HRC crisis response managers on call services for clients/families. Phone calls are screened and routed to appropriate resources.

Intake Update

LaWanna Blair, presented Intake statistics showing the decline in referrals in 2020.

- Intakes were 46% lower in 2020
- 73% Referral sources continue to come from pediatricians & parents
- Ethnicity continues to be higher with the Spanish/Latin groups
- Diagnosis was higher for ASD clients
- Health Districts was larger in Bellflower area, Long Beach health district

HRC is excited to start hosting Psychological Evaluations in-person to families in the Long Beach office and in July in the Torrance office

Self Determination Update

Katy Granados, provided update on the Self Determination Program (SDP) that included the following summary of activities:

- Effective June 7, 2021 SDP program enrollment opened to everyone
- HRC has 95 individuals currently enrolled
- Monthly orientations in English and Spanish were hosted in May & June
- A refresher training for service providers will be coordinated soon

Adjournment

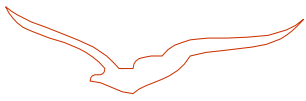
Next committee meeting is scheduled for August 3, 2021

Meeting adjourned at 11:26 a.m.

Public Comment

- We have arrived at the time on our agenda for public comment
- **Participants should now turn the “interpreter” at the bottom of your screen back to English.**
- We will call upon each person who has asked to address the Board through **CHAT**
- We request that you limit your comments to two minutes.





Contact Information

- **To contact the Executive Office/Para contactar la Oficina Ejecutiva:**
 - Patrick Ruppe, Executive Director (310) 543-0630
 - Jennifer Lauro, Executive Assistant (310) 543-0632
 - Jesus Jimenez, Bilingual Executive Office Team Assistant (310) 543-0606
- **To contact our Board**, submit an email to/Para ponerse en contacto con nuestra Junta, envíe un correo electrónico a: publicinput@harborrc.org
- **To locate your Service Coordinator/Para localizar a su Coordinador de servicios:**
<https://www.harborrc.org/post/contact-our-staff>
- **To file a Complaint** about HRC, or one of our employees or service providers, **and/or to request a Fair Hearing**, go to/Para **presentar una queja** sobre HRC, or uno de nuestros empleados o proveedores de servicios, **y/o para solicitar una audiencia imparcial**, vaya a:
<https://www.harborrc.org/complaints>





Next Board Business Meeting - September 21, 2021

ADJOURNMENT

Thank You



HRC Board Meeting
July 20, 2021

