



WELCOME



Harbor Developmental Disabilities Foundation

May 16, 2023



MAY MEETING OF THE BOARD OF TRUSTEES

TUESDAY, May 16, 2023 @ 6:00 p.m.
Via ZOOM Webinar

https://us06web.zoom.us/webinar/register/WN_AFkvTGRZSD6V_wtTeBP-9A

A G E N D A

1. **CALL TO ORDER & INTRODUCTIONS**.....CHRISTOPHER PATAY, President
2. **MINUTES OF THE MARCH 21, 2023 MEETING**.....DAVID GAUTHIER, Secretary
3. **TREASURER'S REPORT**.....DR. JAMES FLORES, Treasurer
4. **EXECUTIVE REPORT**.....PATRICK RUPPE, Executive Director
 - Contracts for Board Approval: Two Operations | Social Recreational Services | California Alliance of Boys and Girls Clubs & YMCA’s
 - Contracts for Board Approval: Two Purchase of Service | The Columbus Organization & Brilliant Corners
 - Resolution for Board Approval: Line of Credit with Pacific Premier Bank
 - Presentation: Self-Determination Program Overview, by Antoinette Perez, Director of Children’s and Adolescent Services
5. **COMMITTEE REPORTS:**
 - a) **ARCA**.....JOE CZARSKE, HRC REPRESENTATIVE
 - b) **AUDIT**.....JOE CZARSKE, CHAIRPERSON
 - c) **BOARD DEVELOPMENT***JOE CZARSKE, CHAIRPERSON
 - **Elections: Trustees and Slate of Officers**
 - d) **BOARD PLANNING**.....KIM VUONG, CHAIRPERSON
 - e) **CLIENT ADVISORY**DAVID GAUTHIER, CHAIRPERSON
 - f) **CLIENT SERVICES**.....PATRICIA JORDAN, CHAIRPERSON
 - g) **COMMUNITY RELATIONS**.....ANN LEE, Ph.D, CHAIRPERSON
 - h) **RETIREMENT**.....DR. JAMES FLORES, CHAIRPERSON
 - i) **SELF-DETERMINATION**.....ANTOINETTE PEREZ, LIAISON
 - j) **SERVICE PROVIDER ADVISORY**.....ANGELA RODRIGUEZ, CHAIRPERSON
6. **PUBLIC INPUT/ANNOUNCEMENTS**
7. **CLOSED SESSION**.....CHRISTOPHER PATAY, President
8. **ADJOURNMENT – 8:00 p.m.**



MINUTES

March 21, 2023



David Gauthier, HDDF Secretary



**MINUTES OF THE MARCH 21, 2023 MEETING OF THE BOARD OF TRUSTEES
OF THE HARBOR DEVELOPMENTAL DISABILITIES FOUNDATION**

<p><u>BOARD PRESENT:</u> Mr. Ron Bergmann, Board Advisor Mr. Gordon Cardona, Board Member Mr. Fu-Tien Chiou, Board Advisor Mr. Joe Czarske, Board Member Dr. James Flores, <i>Treasurer</i> Mr. LaVelle Gates, Board Advisor Mr. David Gauthier, <i>Secretary</i> Mr. Jeffrey Herrera, Board Member Ms. Patricia Jordan, Board Member Ms. Ann Lee, Ph.D, <i>Vice-President</i> Mr. Christopher Patay, <i>President</i> Ms. Jackie Solorio, Board Member Ms. Kim Vuong, Board Member Ms. Laurie Zaleski, Board Member</p>	<p><u>BOARD ABSENT:</u> Dr. Marco Garcia, Board Member Ms. Angie Rodriguez, Board Member</p>
<p><u>STAFF PRESENT:</u> Mr. Patrick Ruppe, Executive Director Ms. Judy Wada, Chief Financial Officer Ms. Thao Mailloux, Director of Information & Development Ms. Heather Diaz, Director of Community Services Ms. LaWanna Blair, Director of Early Childhood Services Ms. Antoinette Perez, Director of Children’s Services Ms. Judy Samana Taimi, Director of Adult Services Ms. Mary Hernandez, Director of Case Management Support Services Mr. Richard Malin, Manager of IT Ms. Jennifer Lauro, Executive Assistant Mr. Jesus Jimenez, Department Assistant Executive Office</p>	<p><u>INTERPRETERS:</u> Ms. Azucena Bustillos, LRA Spanish Interpreter Ms. Jan Seeley, LRA ASL Interpreter</p>

GUESTS:

Ms. Jamie Van Dusen, DDS
Mr. Albert Feliciano, SCDD
Ms. Tiffany De La Torre, Service Provider-24 hr
Ms. Ailym Cabral-Arciga, HRC Service Coordinator
Mr. Andrew Gomez, HRC Service Coordinator
Ms. Angy Garzo, HRC Service Coordinator
Ms. Bobbi Sutton , HRC Service Coordinator
Ms. Caitlin Cooper, HRC Service Coordinator
Ms. Carolina Osorio, HRC Service Coordinator
Ms. Celeste Abernathy, HRC Service Coordinator
Ms. Francica Franco, HRC Service Coordinator
Ms. Gabriela Orozco, HRC Service Coordinator
Ms. Genevi Zamora, HRC Service Coordinator
Mr. Jonathan Lopez Orozco, HRC Service Coordinator
Ms. Karla Gonzalez, HRC Service Coordinator
Ms. Lesly Nuno, HRC Service Coordinator

GUESTS continued:

Mr. Ricardo Galan HRC Service Coordinator
Ms. Sheena Ahn, HRC Service Coordinator
Ms. Stephanie Rojas, HRC Service Coordinator
Mr. Terrance Reaves, HRC Service Coordinator
Ms. Thalia Ledesma, HRC Service Coordinator
Mr. Tyronne Plunkett, HRC Service Coordinator
Ms. Vanessa Estrada, HRC Service Coordinator
Ms. Yeisson Carrillo, HRC Service Coordinator
Ms. Jessica Leos, HRC Client Services Manager

CALL TO ORDER

Mr. Patay called the Board to order at 6:00 p.m.

PRESIDENT’S REPORT

Mr. Patay welcomed Board members, guest and staff; Mr. Patay took roll call of Board Members and HRC Staff and a quorum was established.

Mr. Patay reviewed with visitors the various zoom instructions and how to use the interpretation feature.

Mr. Patay encouraged all visitors who wish to address the Board at the end of our meeting during the time we have set aside for public comment to please make a request through the zoom raise your hand feature.

Mr. Patay reminded the Board Members that our next meeting is a training on April 18, 2023 and not a regular business meeting. The next regular business meeting of the Board will be on May16, 2023.

Mr. Patay announced that our annual Board Retreat will be held on Saturday, October 7, 2023 at HRC Torrance Conference Rooms A1&2 followed by a recognition dinner. Details will be provided as the date approaches.

RE-ELECTIONS

Mr. Patay called the Board's attention to the Re-Election Ballot provided in the Board packet and indicated that prior to moving on to the regular agenda we will have a re-election of board members whose terms end June 30th. Mr. Patay asked the Board members to use the raise your hand feature to denote a vote of the following members:

Joe Czarske (1 year)
David Gauthier (1 year)

Ann Lee, Ph.D. (2 years)
Jackie Solorio (2 years)
Patricia Jordan (2 years)

Mr. Patay called upon each Board member to vote and each member voted to re-elect the above members for the additional terms indicated. All members were in favor.

Mr. Patay advised that we will now proceed with our regular agenda.

PRESENTATION OF MINUTES

Mr. Gauthier presented the draft minutes of the January 17, 2023 meeting of our Board which were included in the board packet provided to all Board members and posted for the general public on the HRC website. **The MINUTES OF THE JANUARY 17, 2023 BOARD MEETING were received and filed.**

PRESENTATION OF FINANCIALS

Dr. Flores reviewed the following financial statements, which were received and filed:

- Harbor Regional Center Monthly Financial Report Fiscal Year 2022-23, dated December 2022
- Harbor Regional Center Functional Expense Summary, dated December 2022
- Harbor Regional Center POS Contract Summary, dated December 2022
- Harbor Regional Center Line Item Report, dated December 2022
- Harbor Regional Center Monthly Financial Report Fiscal Year 2022-23, dated January 2023
- Harbor Regional Center Functional Expense Summary, dated January 2023
- Harbor Regional Center POS Contract Summary, dated January 2023
- Harbor Regional Center Line Item Report, dated January 2023
- Harbor Developmental Disabilities Foundation Harbor Help Fund Statement of Activities Fiscal Year 2022-23

EXECUTIVE REPORT

1. HARBOR REGIONAL CENTER FINAL STRATEGIC PLAN | JULY 1, 2023 TO JUNE 30, 2026:

Mr. Ruppe advised that since our annual Board retreat in October, the Board participated in developing the following focus areas: 1) Improve Individual and Family Experience and Satisfaction; 2) Enhance Service Coordination; 3) Increase Resource Development; and 4) Strengthen Community Engagement.

Mr. Ruppe informed that for each of the four strategic areas, several goals and objectives were identified to track our progress and hold us accountable both internally and to our community over the next three fiscal years. Additionally, the strategic plan is a living document for HRC that is meant to guide us in how to truly live HRC's vision, make progress toward meeting our mission and represent our guiding values in every aspect of our work. As such, Mr. Ruppe advised that we will report our progress to the Board each quarter beginning in October 2023 for the quarter ending July 1 to September 30, 2023.

Mr. Ruppe indicated that collaboration and regular meetings with Consultant Dr. LeeAnn Christian have continued to further define the goals and objectives that moves each focus area forward and called the Board's attention to the final plan as it requires Board approval.

Ms. Jordan moved to approve the Harbor Regional Center Strategic Plan and Mr. Gauthier seconded the motion, which was unanimously approved by the Board.

2. CONTRACT FOR BOARD (RATIFICATION) – OPERATIONS FOR CONSTRUCTION SERVICES:

Mr. Ruppe called the Board's attention to the various Operations Contract from 2016 to 2020 requiring Board review and ratification that were discovered through the recent DDS audit as it appears that they were not presented to the Board for review and approval as required by statute. Mr. Ruppe described each contract for the Board and indicated that all projects followed standard construction guidelines, permits were pulled when required and the projects passed all required city inspections. Mr. Ruppe informed that statute and our contract with the department requires Board approval for contracts that exceed \$250,000 and in addition to his pledge for transparency to the Board, the Executive/Finance Committee and Mr. Ruppe are actively working with our attorneys to review what additional steps can be taken to ensure this issue does not arise again. Mr. Ruppe requested a motion by the Board for ratification.

Mr. Gauthier moved to ratify the Operations Contracts for various construction projects with D'Escoto West, Inc. and Mr. Herrera seconded the motion, which was unanimously approved by the Board with no opposition.

3. CONTRACT FOR BOARD APPROVAL – OPERATIONS FOR FURNITURE PURCHASE AND INSTALLATION:

Mr. Ruppe advised that the Lanterman Act requires any regional center contract which exceeds \$250,000 be approved by the regional center board. Mr. Ruppe indicated that the purchase of furniture is required for the newly leased facilities at 21235 Hawthorne Boulevard, Torrance, CA 90503, Suites 206 & 208, referenced by HRC as Building D. The two suites comprise 5,632 total square feet and will accommodate up to 32 staff. The purchase includes furniture for two private offices, furniture and panels for twenty-one cubicles and nine hybrid/"drop in" work stations, conference room and multipurpose break room. The contract amount is not to exceed \$400,216.00 (initial estimate of \$381,158.00 plus a 5% contingency).

Ms. Vuong moved to approve the Operations Contract with Western Offices Los Angeles for furniture purchase and installation in the amount not to exceed \$400,216.00 and Ms. Solorio seconded the motion, which was unanimously approved by the Board with no opposition.

4. STATEWIDE ISSUES:

Mr. Ruppe summarized information pertaining to the HCBS Final Rule Settlement and HRC’s Compliance Status along with the DDS Directive on the Coordinated Family Support (CFS) Program. Both statewide issues were provided in the Board packet for review.

5. PURCHASE OF SERVICE EXPENDITURE DATA:

Mr. Ruppe reported that the Lanterman Act requires the California Department of Developmental Services (DDS) and all Regional Centers to compile and distribute information regarding the purchase of regional center services by age, diagnosis, ethnicity, language and living arrangement. Harbor Regional Center posted the data on our website for public view and it is now being analyzed and summarized so that we will have current data to report to our community. The dates for the Purchase of Service Expenditure public meetings are as follows:

- March 28, 2023 from 6:00 pm to 8:00 pm via zoom webinar. This meeting will be conducted in Spanish with English & ASL translation. Register in advance for this webinar: https://us06web.zoom.us/webinar/register/WN_C6wmw8VsRq-afrd5VKAgnq
- March 30, 2023 from 6:00 pm to 8:00 pm via zoom webinar. This meeting will be conducted in English with Spanish & ASL translation. Register in advance for this webinar: https://us06web.zoom.us/webinar/register/WN_t8KngxMLTi6UmF4DRt1PCA

6. INTAKE OVERVIEW presentation:

Mr. Ruppe introduced Ms. LaWanna Blair, Director of Early Childhood Department who made a presentation to the Board on the Early Start and Lanterman Intake Data which was well received by the Board.

COMMITTEE REPORTS

A. AUDIT

Mr. Czarske informed that our auditors, AGT, are currently working on the 990 which the committee will review in April so that it is finalized for filing by May 15th.

B. BOARD DEVELOPMENT

Mr. Czarske reported that the Committee met on January 11th and on February 8th where review of potential new member (Mr. Eber Bayona) and two returning members (Mr. Fu-Tien Chiou and Mr. LaVelle Gates) occurred. Mr. Czarske stated that those candidates will be voted on at the May meeting. Mr. Czarske also reported that at the March 8th meeting, the Committee determined the re-election ballot which was brought forward at the beginning of tonight’s meeting for votes.

C. CLIENT ADVISORY

Ms. Taimi, Director of Adult Services, made the report for Chair David Gauthier and reported that the Committee met on February 11, 2023 and discussed the purpose of the Committee and continuing outreach efforts.

D. CLIENT SERVICES

Ms. Jordan reported that the Committee met on January 24, 2023 and mainly discussed the new DDS Directive on Coordinated Family Support Services Program (CFS). The next meeting is scheduled for May 23, 2023.

E. COMMUNITY RELATIONS

Dr. Lee informed that the Committee met on February 8, 2023 and informed that the virtual Grassroots Day is scheduled to occur on Wednesday, March 29, 2023 and indicated HRC's involvement with this advocacy. Dr. Lee also reported that the Committee will continue to focus on strengthening partnerships with elected officials and to continue to promote partnerships with HRC and the community. The next meeting is scheduled for April 12, 2023.

F. RETIREMENT

In Chairperson Dr. Jim Flores' absence, Ms. Judy Wada reported on the retirement plan balances as of December 31, 2022.

G. SELF-DETERMINATION ADVISORY

Ms. Perez, Director of Children and Adolescent Services and SDP Liaison advised the Board that the Self-Determination Advisory Committee continues to meet monthly via zoom and provided an update on the January, February and March meetings.

H. SERVICE PROVIDER ADVISORY

In Chairperson Angie Rodriguez absence, Ms. Heather Diaz, Director of Community Services reported that the Committee met on February 7, 2023 and summarized the highlights of the meeting. The next meeting is scheduled for April 4, 2023.

PUBLIC COMMENT

Mr. Patay advised that public input was next on the agenda. Mr. Patay stated that he will call upon each person who has asked to address the Board and requested that he or she limit their comments to five minutes in order to accommodate everyone.

Mr. Patay indicated that we had one (1) attendee request to address the Board through the Raise Your Hand feature this evening.

CLOSED SESSION

Mr. Patay advised that there will be no executive session tonight.

ADJOURNMENT 7:10 p.m.

Mr. Patay thanked all those who participated in our Board meeting tonight.

Submitted by: _____

David Gauthier, Secretary
Board of Trustees
Harbor Developmental Disabilities Foundation



FINANCIALS



Dr. James Flores, HDDDF Treasurer

**HARBOR REGIONAL CENTER
MONTHLY FINANCIAL REPORT
FISCAL YEAR 2022-23
Feb-23**

	FY 2022-23 D-1	Month Exp	Y-T-D Expenses	Proj. Annual Expenses*	Proj. Funds Available
Operations					
Salaries & Benefits**	\$ 37,452,581	\$ 2,579,379	\$ 22,256,343	\$ 37,671,273	\$ (218,692)
Operating Expenses**	9,965,101	661,453	5,626,154	10,357,975	(392,874)
less other income	(167,370)	(12,146)	(104,655)	(167,370)	-
Total Operations	<u>47,250,312</u>	<u>3,228,686</u>	<u>27,777,841</u>	<u>47,861,878</u>	<u>(611,566)</u>
Purchase of Service					
Regular*	338,462,765	24,309,102	174,939,989	309,645,109	28,817,656
Compliance with HCBS Regulations	622,672	-	-	622,672	-
less other income	(2,144,015)	(131,066)	(1,322,382)	(2,144,015)	-
Subtotal Regular	<u>336,941,422</u>	<u>24,178,036</u>	<u>173,617,607</u>	<u>308,123,766</u>	<u>28,817,656</u>
CPP/CDRP/START ***	100,000	-	-	2,100,000	(2,000,000)
Total Purchase of Service	<u>337,041,422</u>	<u>24,178,036</u>	<u>173,617,607</u>	<u>310,223,766</u>	<u>26,817,656</u>
TOTAL	\$ 384,291,734	\$ 27,406,722	\$ 201,395,448	\$ 358,085,644	\$ 26,206,090
% of Budget	100.00%	7.13%	52.41%	93.18%	

* The Projected Annual Expenses for Regular POS is based on actual expenditures through December and estimated costs of new programs, growth, and pending service provider rate changes. POS includes an offset for other income for ICF SPA expenditures. ICF SPA expenditures are not funded through the contract with DDS but billed separately. The Projected Expenses decreased by \$4.4 million compared to the prior month financial report.

** The letter of intent for the D-1 amendment was received from DDS in September 2022. DDS indicated that 50% of funds for Community Placement Plan/Community Resource Development Plan (CPP/CRDP) and Language Access and Cultural Competency were allocated in the D-1 and that regional centers will receive the remaining funds based on approved plans in a later amendment.
On February 17, 2023, DDS notified HRC of the approval of an additional \$49,329 in CPP/CRDP Operations funds.

*** On February 23, 2023, DDS notified HRC of the approval of \$2 million in Community Placement Plan (CPP) and Community Resource Development Plan (CRDP) funds for Start-up for FY 2022-23.

**HARBOR REGIONAL CENTER
FUNCTIONAL EXPENSE SUMMARY
Feb-23**

	FY 2022-23 D-1	Net Expended Month	Y-T-D	Projected Expenses	Proj. Annual Expenses	Proj. Funds Available
Purchase of Service:						
Residential care facilities	\$ 134,342,239	\$ 10,277,430	\$ 75,596,018	\$ 46,079,617	\$ 121,675,635	\$ 12,666,604
Day programs	96,225,763	5,818,827	45,979,786	37,419,222	83,399,008	12,826,755
Other purchased services	107,894,763	8,212,845	53,364,184	51,206,282	104,570,466	3,324,297
HCBS Compliance	622,672	-	-	622,672	622,672	-
TOTAL PURCHASE OF SERVICE	339,085,437	24,309,102	174,939,989	135,327,793	310,267,781	28,817,656
Community Placement & Program Development:						
TOTAL CPP/CDRP/START	\$ 100,000	\$ -	\$ -	2,100,000	\$ 2,100,000	\$ (2,000,000)
Salaries and Related Expenses:						
Salaries	28,723,998	2,009,167	17,170,681	11,743,266	28,913,947	(189,949)
Employee health and retirement benefits	8,317,065	547,042	4,839,074	3,506,734	8,345,808	(28,743)
Payroll taxes	411,518	23,170	246,588	164,930	411,518	-
Total Salaries and related expenses	37,452,581	2,579,379	22,256,343	15,414,930	37,671,273	(218,692)
Operating expenses:						
Facility Rent	4,992,492	421,834	3,750,035	1,242,457	4,992,492	-
Equipment and facility maintenance	695,333	64,735	334,154	361,179	695,333	-
Equipment purchases	791,716	37,578	143,920	647,796	791,716	-
General	1,185,711	55,170	313,663	1,264,922	1,578,585	(392,874)
Communication	679,225	36,640	357,926	321,299	679,225	-
Contract and consultant fee	150,405	2,204	66,444	83,961	150,405	-
General office expenses	479,663	27,674	227,750	251,913	479,663	-
Staff travel	135,850	2,892	12,029	123,821	135,850	-
Legal fees	142,221	3,095	18,973	123,248	142,221	-
Printing	338,133	3,834	81,665	256,468	338,133	-
Insurance	293,275	-	246,693	46,582	293,275	-
Accounting fees	60,800	5,000	60,800	-	60,800	-
Board expenses	20,277	798	12,101	8,176	20,277	-
Total Operating expenses	9,965,101	661,453	5,626,154	4,731,821	10,357,975	(392,874)
TOTAL OPERATIONS	47,417,682	3,240,832	27,882,497	20,146,751	48,029,248	(611,566)
TOTAL EXPENSES	\$ 386,603,119	\$ 27,549,935	\$ 202,822,485	\$ 157,574,544	\$ 360,397,029	\$ 26,206,090
Revenues:						
ICF SPA Income	\$ (2,144,015)	\$ (131,066)	\$ (1,322,382)	\$ (821,633)	\$ (2,144,015)	-
Other income	(167,370)	(12,146)	(104,655)	(62,715)	(167,370)	-
TOTAL REVENUES	\$ (2,311,385)	\$ (143,213)	\$ (1,427,037)	\$ (884,348)	\$ (2,311,385)	-
TOTAL	\$ 384,291,734	\$ 27,406,722	\$ 201,395,448	\$ 156,690,196	\$ 358,085,644	\$ 26,206,090

Client Caseload

Month End Caseload

17,563

**HARBOR REGIONAL CENTER
POS CONTRACT SUMMARY
Feb-23**

Fiscal Year	Contract	Fund	POS Budget	POS Claimed	Current Balance/ (Deficit)	Projected Expenses	Projected Balance/ (Deficit)
2022-23	D-1	Reg POS	\$ 336,318,750	\$ 173,617,607	\$ 162,701,143	\$ 133,883,487	\$ 28,817,656
		CPP/CDRP/START	100,000	-	100,000	2,100,000	(2,000,000)
		HCBS Compliance	622,672	-	622,672	622,672	-
		TOTAL	<u>\$ 337,041,422</u>	<u>\$ 173,617,607</u>	<u>\$ 163,423,815</u>	<u>\$ 136,606,159</u>	<u>\$ 26,817,656</u>
2021-22	C-4	Reg POS	\$ 287,633,810	\$ 258,327,973	\$ 29,305,837	\$ 5,404,235	\$ 23,901,602
		CPP/CDRP/START	2,635,000	635,392	1,999,608	1,999,608	-
		HCBS Compliance	1,373,394	456,602	916,792	916,792	-
		TOTAL	<u>\$ 291,642,204</u>	<u>\$ 259,419,967</u>	<u>\$ 32,222,237</u>	<u>\$ 8,320,635</u>	<u>\$ 23,901,602</u>
2020-21	B-6	Reg POS	\$ 251,586,411	\$ 244,380,756	\$ 7,205,655	50,000	\$ 7,155,655
		CPP/CDRP	778,725	627,205	151,520	151,520	-
		HCBS Compliance	514,630	187,623	327,007	327,007	-
		TOTAL	<u>\$ 252,879,766</u>	<u>\$ 245,195,584</u>	<u>\$ 7,684,182</u>	<u>\$ 528,527</u>	<u>\$ 7,155,655</u>

HARBOR REGIONAL CENTER
LINE ITEM REPORT
Feb-23

	FY 2022-23 D-1	Net Expended Month	Y-T-D	Projected Expenses	Proj. Annual Expenses	Proj. Funds Available
PURCHASE OF SERVICE						
Regular						
320** Out-of-Home	134,342,239	\$ 10,277,430	\$ 75,596,018	\$ 46,079,617	\$ 121,675,635	\$ 12,666,604
430** Day Programs	96,225,763	5,818,827	45,979,786	37,419,222	83,399,008	12,826,755
6505* Transportation	10,759,074	521,107	5,034,439	3,907,111	8,941,550	1,817,524
650** Other Services	97,135,689	7,691,738	48,329,745	47,299,170	95,628,916	1,506,773
TBD HCBS Compliance	622,672	-	-	622,672	622,672	-
Subtotal Regular POS	<u>339,085,437</u>	<u>24,309,102</u>	<u>174,939,989</u>	<u>135,327,793</u>	<u>310,267,781</u>	<u>28,817,656</u>
Revenue						
20090 ICF SPA Income	(2,144,015)	(131,066)	(1,322,382)	(821,633)	(2,144,015)	-
TOTAL PURCHASE OF SERVICE	<u>336,941,422</u>	<u>24,178,036</u>	<u>173,617,607</u>	<u>134,506,159</u>	<u>308,123,766</u>	<u>28,817,656</u>
Community Placement & Program Development						
32010 Start Up	-	-	-	2,000,000	2,000,000	(2,000,000)
65*** Placement/Assessment	100,000	-	-	100,000	100,000	-
TBD START (Non-CPP Ops)	-	-	-	-	-	-
TOTAL CPP/CDRP/START	<u>100,000</u>	<u>-</u>	<u>-</u>	<u>2,100,000</u>	<u>2,100,000</u>	<u>(2,000,000)</u>
OPERATIONS						
Salaries & Benefits						
2501- Salaries and Wages	28,723,998	2,009,167	17,170,681	11,743,266	28,913,947	(189,949)
2503- Benefits	8,728,583	570,212	5,085,662	3,671,664	8,757,326	(28,743)
Subtotal Salaries & Benefits	<u>37,452,581</u>	<u>2,579,379</u>	<u>22,256,343</u>	<u>15,414,930</u>	<u>37,671,273</u>	<u>(218,692)</u>
Operating Expenses						
30020 Equipment Maint	364,912	18,696	162,757	202,155	364,912	-
30030 Facility Rental	4,890,567	421,834	3,750,035	1,140,532	4,890,567	-
30035 Facility Rent Subleases	101,925	-	-	101,925	101,925	-
30040 Facility Maint	330,421	46,039	171,398	159,023	330,421	-
30050 Communication	679,225	36,640	357,926	321,299	679,225	-
30060 General Office Exp	196,538	22,158	82,972	113,566	196,538	-
30070 Printing	338,133	3,834	81,665	256,468	338,133	-
30080 Insurance	293,275	-	246,693	46,582	293,275	-
30090 Utilities	16,924	117	8,541	8,383	16,924	-
30110 Data Processing Maint	258,539	5,259	130,291	128,248	258,539	-
30123 Interest/Bank Expense	7,662	140	5,945	1,717	7,662	-
30140 Legal Fees	142,221	3,095	18,973	123,248	142,221	-
30150 Board of Dir. Exp	20,277	798	12,101	8,176	20,277	-
30160 Accounting Fees	60,800	5,000	60,800	-	60,800	-
30170 Equipment Purchases	791,716	37,578	143,920	647,796	791,716	-
30180 Contr/Consult Services	150,405	2,204	66,444	83,961	150,405	-
30184 Clinical Services	93,952	15,652	45,233	48,719	93,952	-
30185 Employee Conferences	49,868	1,506	9,180	40,688	49,868	-
30220 Travel in State	35,850	1,807	6,210	29,640	35,850	-
30223 Staff Mileage	100,000	1,086	5,819	94,181	100,000	-
30230 ARCA Dues	100,573	-	80,458	20,115	100,573	-
30240 General Expenses	548,444	38,012	178,793	369,651	548,444	-
30241 Disparities, Language Access	392,874	-	-	785,748	785,748	(392,874)
Subtotal Operating Expenses	<u>9,965,101</u>	<u>661,453</u>	<u>5,626,154</u>	<u>4,731,821</u>	<u>10,357,975</u>	<u>(392,874)</u>
Other Revenue						
20040 Interest Income	(29,598)	(2,772)	(19,495)	(10,103)	(29,598)	-
20050 Other Income	(4,803)	(2,793)	(3,788)	(1,015)	(4,803)	-
20055 Other Income-Subleases	(88,099)	(4,471)	(57,399)	(30,700)	(88,099)	-
20100 ICF SPA Admin Fee	(44,870)	(2,110)	(23,973)	(20,897)	(44,870)	-
Subtotal Other Revenue	<u>(167,370)</u>	<u>(12,146)</u>	<u>(104,655)</u>	<u>(62,715)</u>	<u>(167,370)</u>	<u>-</u>
TOTAL OPERATIONS	<u>47,250,312</u>	<u>3,228,686</u>	<u>27,777,841</u>	<u>20,084,037</u>	<u>47,861,878</u>	<u>(611,566)</u>
TOTAL	<u>\$ 384,291,734</u>	<u>\$ 27,406,722</u>	<u>\$ 201,395,448</u>	<u>\$ 156,690,196</u>	<u>\$ 358,085,644</u>	<u>\$ 26,206,090</u>
% of Budget	100.00%	7.13%	52.41%	40.77%	93.18%	6.82%

**HARBOR REGIONAL CENTER
MONTHLY FINANCIAL REPORT
FISCAL YEAR 2022-23
Mar-23**

	FY 2022-23 D-1	Month Exp	Y-T-D Expenses	Proj. Annual Expenses*	Proj. Funds Available
Operations					
Salaries & Benefits**	\$ 37,452,581	\$ 2,596,113	\$ 24,852,456	\$ 37,954,858	\$ (502,277)
Operating Expenses**	9,965,101	729,914	6,356,068	14,406,492	(4,441,391)
less other income	(167,370)	(5,659)	(110,314)	(167,370)	-
Total Operations	<u>47,250,312</u>	<u>3,320,368</u>	<u>31,098,210</u>	<u>52,193,980</u>	<u>(4,943,668)</u>
Purchase of Service					
Regular*	338,462,765	24,421,962	199,361,951	306,267,468	32,195,297
Compliance with HCBS Regulations	622,672	-	-	622,672	-
less other income	(2,144,015)	(145,137)	(1,467,519)	(2,144,015)	-
Subtotal Regular	<u>336,941,422</u>	<u>24,276,825</u>	<u>197,894,432</u>	<u>304,746,125</u>	<u>32,195,297</u>
CPP/CDRP/START ***	100,000	-	-	2,100,000	(2,000,000)
Total Purchase of Service	<u>337,041,422</u>	<u>24,276,825</u>	<u>197,894,432</u>	<u>306,846,125</u>	<u>30,195,297</u>
TOTAL	\$ 384,291,734	\$ 27,597,193	\$ 228,992,641	\$ 359,040,105	\$ 25,251,629
% of Budget	100.00%	7.18%	59.59%	93.43%	

* The Projected Annual Expenses for Regular POS is based on actual expenditures through December and estimated costs of new programs, growth, and pending service provider rate changes. POS includes an offset for other income for ICF SPA expenditures. ICF SPA expenditures are not funded through the contract with DDS but billed separately. The Projected Expenses decreased by \$3.4 million compared to the prior month financial report.

** The letter of intent for the D-1 amendment was received from DDS in September 2022. DDS indicated that 50% of funds for Community Placement Plan/Community Resource Development Plan (CPP/CRDP) and Language Access and Cultural Competency were allocated in the D-1 and that regional centers will receive the remaining funds based on approved plans in a later amendment.

Pending for the D-2 amendment:

-On February 17, 2023, DDS notified HRC of the approval of an additional \$49,329 in CPP/CRDP Operations funds.

-On April 3, 2023, DDS notified HRC of the approval of \$338,726 in CPP/CRDP Operations funds and \$114,222 in Specialized Home Monitoring (SHM) Operations funds.

-On March 15, 2023, DDS notified HRC of the approval of \$363,293 for Social Recreation Grants. Subsequently, HRC is also working with DDS to coordinate Social Recreation Alliance contract management for two (2) state-wide contracts for a total of \$3,685,224.

*** On February 23, 2023, DDS notified HRC of the approval of \$2 million in Community Placement Plan (CPP) and Community Resource Development Plan (CRDP) funds for Start-up for FY 2022-23.

**HARBOR REGIONAL CENTER
FUNCTIONAL EXPENSE SUMMARY
Mar-23**

	FY 2022-23 D-1	Net Expended Month	Y-T-D	Projected Expenses	Proj. Annual Expenses	Proj. Funds Available
Purchase of Service:						
Residential care facilities	\$ 134,342,239	\$ 10,582,031	\$ 86,178,049	\$ 33,720,363	\$ 119,898,412	\$ 14,443,827
Day programs	96,225,763	6,395,139	52,374,925	30,602,117	82,977,042	13,248,721
Other purchased services	107,894,763	7,444,793	60,808,977	42,583,038	103,392,015	4,502,748
HCBS Compliance	622,672	-	-	622,672	622,672	-
TOTAL PURCHASE OF SERVICE	339,085,437	24,421,962	199,361,951	107,528,189	306,890,140	32,195,297
Community Placement & Program Development:						
TOTAL CPP/CDRP/START	\$ 100,000	\$ -	\$ -	2,100,000	\$ 2,100,000	\$ (2,000,000)
Salaries and Related Expenses:						
Salaries	28,723,998	1,999,863	19,170,544	9,989,716	29,160,260	(436,262)
Employee health and retirement benefits	8,317,065	573,080	5,406,910	2,976,170	8,383,080	(66,015)
Payroll taxes	411,518	23,170	275,002	136,516	411,518	-
Total Salaries and related expenses	37,452,581	2,596,113	24,852,456	13,102,402	37,954,858	(502,277)
Operating expenses:						
Facility Rent	4,992,492	421,105	4,171,140	821,352	4,992,492	-
Equipment and facility maintenance	695,333	72,981	407,135	288,198	695,333	-
Equipment purchases	791,716	13,656	157,576	634,140	791,716	-
General	1,185,711	34,615	348,279	5,278,823	5,627,102	(4,441,391)
Communication	679,225	133,950	491,876	187,349	679,225	-
Contract and consultant fee	150,405	19,461	85,905	64,500	150,405	-
General office expenses	479,663	16,458	244,208	235,455	479,663	-
Staff travel	135,850	2,402	14,431	121,419	135,850	-
Legal fees	142,221	830	19,803	122,418	142,221	-
Printing	338,133	392	82,057	256,076	338,133	-
Insurance	293,275	13,570	260,263	33,012	293,275	-
Accounting fees	60,800	-	60,800	-	60,800	-
Board expenses	20,277	494	12,595	7,682	20,277	-
Total Operating expenses	9,965,101	729,914	6,356,068	8,050,424	14,406,492	(4,441,391)
TOTAL OPERATIONS	47,417,682	3,326,027	31,208,523	21,152,827	52,361,350	(4,943,668)
TOTAL EXPENSES	\$ 386,603,119	\$ 27,747,989	\$ 230,570,474	\$ 130,781,016	\$ 361,351,490	\$ 25,251,629
Revenues:						
ICF SPA Income	\$ (2,144,015)	\$ (145,137)	\$ (1,467,519)	\$ (676,496)	\$ (2,144,015)	-
Other income	(167,370)	(5,659)	(110,314)	(57,056)	(167,370)	-
TOTAL REVENUES	\$ (2,311,385)	\$ (150,796)	\$ (1,577,833)	\$ (733,552)	\$ (2,311,385)	-
TOTAL	\$ 384,291,734	\$ 27,597,193	\$ 228,992,641	\$ 130,047,464	\$ 359,040,105	\$ 25,251,629

Client Caseload

Month End Caseload

17,630

**HARBOR REGIONAL CENTER
POS CONTRACT SUMMARY
Mar-23**

Fiscal Year	Contract	Fund	POS Budget	POS Claimed	Current Balance/ (Deficit)	Projected Expenses	Projected Balance/ (Deficit)
2022-23	D-1	Reg POS	\$ 336,318,750	\$ 197,894,432	\$ 138,424,318	\$ 106,229,021	\$ 32,195,297
		CPP/CDRP/START	100,000	-	100,000	2,100,000	(2,000,000)
		HCBS Compliance	622,672	-	622,672	622,672	-
		TOTAL	<u>\$ 337,041,422</u>	<u>\$ 197,894,432</u>	<u>\$ 139,146,990</u>	<u>\$ 108,951,693</u>	<u>\$ 30,195,297</u>
2021-22	C-4	Reg POS	\$ 287,633,810	\$ 258,417,068	\$ 29,216,742	\$ 5,315,140	\$ 23,901,602
		CPP/CDRP/START	2,635,000	667,508	1,967,492	1,967,492	-
		HCBS Compliance	1,373,394	674,694	698,700	698,700	-
		TOTAL	<u>\$ 291,642,204</u>	<u>\$ 259,759,270</u>	<u>\$ 31,882,934</u>	<u>\$ 7,981,332</u>	<u>\$ 23,901,602</u>
2020-21	B-6	Reg POS	\$ 251,586,411	\$ 244,394,186	\$ 7,192,225	50,000	\$ 7,142,225
		CPP/CDRP	778,725	627,205	151,520	151,520	-
		HCBS Compliance	514,630	187,623	327,007	327,007	-
		TOTAL	<u>\$ 252,879,766</u>	<u>\$ 245,209,014</u>	<u>\$ 7,670,752</u>	<u>\$ 528,527</u>	<u>\$ 7,142,225</u>

HARBOR REGIONAL CENTER
LINE ITEM REPORT
Mar-23

	FY 2022-23 D-1	Net Expended Month	Y-T-D	Projected Expenses	Proj. Annual Expenses	Proj. Funds Available
PURCHASE OF SERVICE						
Regular						
320** Out-of-Home	134,342,239	\$ 10,582,031	\$ 86,178,049	\$ 33,720,363	\$ 119,898,412	\$ 14,443,827
430** Day Programs	96,225,763	6,395,139	52,374,925	30,602,117	82,977,042	13,248,721
6505* Transportation	10,759,074	955,715	5,990,153	2,246,802	8,236,955	2,522,119
650** Other Services	97,135,689	6,489,078	54,818,823	40,336,236	95,155,060	1,980,629
TBD HCBS Compliance	622,672	-	-	622,672	622,672	-
Subtotal Regular POS	<u>339,085,437</u>	<u>24,421,962</u>	<u>199,361,951</u>	<u>107,528,189</u>	<u>306,890,140</u>	<u>32,195,297</u>
Revenue						
20090 ICF SPA Income	(2,144,015)	(145,137)	(1,467,519)	(676,496)	(2,144,015)	-
TOTAL PURCHASE OF SERVICE	<u>336,941,422</u>	<u>24,276,825</u>	<u>197,894,432</u>	<u>106,851,693</u>	<u>304,746,125</u>	<u>32,195,297</u>
Community Placement & Program Development						
32010 Start Up	-	-	-	2,000,000	2,000,000	(2,000,000)
65*** Placement/Assessment	100,000	-	-	100,000	100,000	-
TBD START (Non-CPP Ops)	-	-	-	-	-	-
TOTAL CPP/CDRP/START	<u>100,000</u>	<u>-</u>	<u>-</u>	<u>2,100,000</u>	<u>2,100,000</u>	<u>(2,000,000)</u>
OPERATIONS						
Salaries & Benefits						
2501- Salaries and Wages	28,723,998	1,999,863	19,170,544	9,989,716	29,160,260	(436,262)
2503- Benefits	8,728,583	596,250	5,681,911	3,112,687	8,794,598	(66,015)
Subtotal Salaries & Benefits	<u>37,452,581</u>	<u>2,596,113</u>	<u>24,852,456</u>	<u>13,102,402</u>	<u>37,954,858</u>	<u>(502,277)</u>
Operating Expenses						
30020 Equipment Maint	364,912	61,621	224,378	140,534	364,912	-
30030 Facility Rental	4,890,567	421,105	4,171,140	719,427	4,890,567	-
30035 Facility Rent Subleases	101,925	-	-	101,925	101,925	-
30040 Facility Maint	330,421	11,359	182,757	147,664	330,421	-
30050 Communication	679,225	133,950	491,876	187,349	679,225	-
30060 General Office Exp	196,538	15,261	98,233	98,305	196,538	-
30070 Printing	338,133	392	82,057	256,076	338,133	-
30080 Insurance	293,275	13,570	260,263	33,012	293,275	-
30090 Utilities	16,924	802	9,343	7,581	16,924	-
30110 Data Processing Maint	258,539	263	130,554	127,985	258,539	-
30123 Interest/Bank Expense	7,662	132	6,077	1,585	7,662	-
30140 Legal Fees	142,221	830	19,803	122,418	142,221	-
30150 Board of Dir. Exp	20,277	494	12,595	7,682	20,277	-
30160 Accounting Fees	60,800	-	60,800	-	60,800	-
30170 Equipment Purchases	791,716	13,656	157,576	634,140	791,716	-
30180 Contr/Consult Services	150,405	19,461	85,905	64,500	150,405	-
30184 Clinical Services	93,952	7,115	52,348	41,604	93,952	-
30185 Employee Conferences	49,868	1,122	10,302	39,566	49,868	-
30220 Travel in State	35,850	1,412	7,621	28,229	35,850	-
30223 Staff Mileage	100,000	990	6,809	93,191	100,000	-
30230 ARCA Dues	100,573	-	80,458	20,115	100,573	-
30240 General Expenses	548,444	26,378	205,171	343,273	548,444	-
30241 Disparities, Language Access	392,874	-	-	785,748	785,748	(392,874)
TBD Soc Rec Grants (HRC & Alliar	-	-	-	4,048,517	4,048,517	(4,048,517)
Subtotal Operating Expenses	<u>9,965,101</u>	<u>729,914</u>	<u>6,356,068</u>	<u>8,050,424</u>	<u>14,406,492</u>	<u>(4,441,391)</u>
Other Revenue						
20040 Interest Income	(29,598)	(3,058)	(22,552)	(7,046)	(29,598)	-
20050 Other Income	(4,803)	0	(3,788)	(1,015)	(4,803)	-
20055 Other Income-Subleases	(88,099)	-	(57,399)	(30,700)	(88,099)	-
20100 ICF SPA Admin Fee	(44,870)	(2,601)	(26,574)	(18,296)	(44,870)	-
Subtotal Other Revenue	<u>(167,370)</u>	<u>(5,659)</u>	<u>(110,314)</u>	<u>(57,056)</u>	<u>(167,370)</u>	<u>-</u>
TOTAL OPERATIONS	<u>47,250,312</u>	<u>3,320,368</u>	<u>31,098,210</u>	<u>21,095,770</u>	<u>52,193,980</u>	<u>(4,943,668)</u>
TOTAL	<u>\$ 384,291,734</u>	<u>\$ 27,597,193</u>	<u>\$ 228,992,641</u>	<u>\$ 130,047,464</u>	<u>\$ 359,040,105</u>	<u>\$ 25,251,629</u>
% of Budget	100.00%	7.18%	59.59%	33.84%	93.43%	6.57%

**Harbor Developmental Disabilities Foundation
Harbor Help Fund**

**Statement of Activities
Fiscal Year 2022-23**

	FY 2020-21 TOTAL	FY 2021-22 TOTAL	FY 2022-23	
			Qtr Ending Mar 31, 2023	FY 2022-23 YTD TOTAL
Income				
Donations				
Employee Donations	\$ 11,091	\$ 13,042	\$ 2,160	\$ 8,596
Employee Donations - masks	480	-	-	-
In Kind Donations	-	7,000	-	9,616
General Donations	11,660	33,131	5,375	19,474
Holiday Donations	61,423	25,338	-	8,725
Needy Families Campaign	12,993	150	-	-
Total Donations	<u>97,646</u>	<u>78,661</u>	<u>7,535</u>	<u>46,411</u>
Interest	<u>351</u>	<u>(1,685)</u>	<u>1,070</u>	<u>1,397</u>
Total Income	<u>97,997</u>	<u>76,976</u>	<u>8,605</u>	<u>47,808</u>
Expenses				
Holiday Giving Campaign	487	68,600	(200)	79,420
Needy Families - Gift cards	83,071	37,675	-	2,100
Other expense	-	250	-	-
Grants to Clients	-	600	700	700
Total Expenses	<u>83,558</u>	<u>107,125</u>	<u>500</u>	<u>82,220</u>
Net Increase/(Decrease)	<u>\$ 14,439</u>	<u>\$ (30,149)</u>	<u>\$ 8,105</u>	<u>\$ (34,411)</u>
Beginning Balance	\$ 220,774	\$ 235,214	\$ 162,548	\$ 205,064
Income	97,997	76,976	8,605	47,808
Expenses	<u>83,558</u>	<u>107,125</u>	<u>500</u>	<u>82,220</u>
Ending Balance	<u>\$ 235,214</u>	<u>\$ 205,064</u>	<u>\$ 170,653</u>	<u>\$ 170,653</u>
Ending Balance Detail				
Cash	\$ 102,847	\$ 94,344	\$ 71,714	\$ 71,714
CD	100,342	98,070	98,438	98,438
Gift card inventory	31,675	12,150	-	- ^A
Receivables	<u>350</u>	<u>500</u>	<u>500</u>	<u>500</u>
Total Balance	<u>\$ 235,214</u>	<u>\$ 205,064</u>	<u>\$ 170,653</u>	<u>\$ 170,653</u>

^A Gift cards purchased but not yet distributed.



EXECUTIVE REPORT



Patrick Ruppe, HRC Executive Director

May 16, 2023



STATE UPDATES

- **Budget**

- **[DDS 2023 May Budget Highlights](#)**
- **[Complete 2023 May Revise](#)**

- **Coordinated Family Supports (CFS)
Program**



Department of Developmental Services

2023 May Revision Highlights



**Gavin Newsom
Governor
State of California**

**Mark Ghaly MD, MPH
Secretary
California Health and Human Services Agency**

**Nancy Bargmann
Director
Department of Developmental Services**

May 2023

DEPARTMENT OF DEVELOPMENTAL SERVICES 2023 MAY REVISION HIGHLIGHTS

The Department of Developmental Services (Department) is responsible for administering the Lanterman Developmental Disabilities Services Act (Lanterman Act). The Lanterman Act provides for the coordination and provision of services and supports to enable people with intellectual and developmental disabilities to lead more independent, productive, and integrated lives. Additionally, the Early Start Program provides services to infants and toddlers at risk of having a developmental disability. The Department carries out its responsibilities through contracts with 21 community-based, non-profit corporations known as regional centers, as well as through state-operated homes and facilities.

The number of individuals served by regional centers (consumers) is expected to be 396,375 in the current year and increase to 420,927 in fiscal year (FY) 2023-24. The May Revision projects 312 individuals will receive state-operated services.

The May Revision Estimate continues to support the needs of consumers and proposes new policies to continue efforts to improve service access and to achieve better outcomes and consumer experiences.

2023 MAY REVISION SUMMARY

The 2023 May Revision includes \$14.1 billion total funds (TF) (\$8.5 billion General Fund [GF]) for FY 2023-24; a net increase of \$1.8 billion TF (\$1.5 billion GF) over the updated FY 2022-23 budget, or a 14.6 percent TF increase.

In addition to caseload and utilization updates, the proposed FY 2023-24 funding supports the following new and updated items:

- **Coordinated Family Support Services** (\$18.0 million TF, 10.8 million GF): The Budget includes funding to continue supporting the pilot through FY 2023-24.
- **Rate Model Assumptions** (\$15.0 million TF, \$8.5 million GF): The Budget includes ongoing funding to adjust service provider rates for Independent Living Services reflecting more equivalent occupations and duties performed by those occupations effective January 1, 2024.
- **Regional Center Operations Policy Update** (\$11.6 million TF, \$8.4 million GF): The Budget includes funding to update service coordinators' and supervisors' salaries to the state equivalent salary level in Enhanced Service Coordination, Performance Incentives, and Early Start Eligibility.
- **START Training** (\$330,000 TF, \$231,000 GF): The Budget includes funding for service provider network fees supporting the certified START teams.
- **Community Placement Plan Reappropriation** (\$10.8 million GF): The Budget includes resources reappropriated from FY 2020-21 to support multifamily housing projects.
- **Lanterman Act Provisional Eligibility Ages 0 through 4** (-\$13 million GF, \$13 million Federal Financial Participation): This Budget reflects anticipated eligibility for federal financial participation beginning in January 2024 reducing the General

Fund costs of provisional eligibility by expanding eligibility to include children birth through two years of age. The resulting eligibility for this policy is birth through four years of age.

April 1, 2023 Finance Letters include:

- Compliance with Federal Home and Community Services-Based Requirements (\$5.4 million TF, \$3.8 million GF): This Budget includes funding to support 9.0 DDS positions and 50 positions for regional centers to address and sustain new and ongoing efforts to comply with federal requirements.
- Porterville Developmental Center (PDC) Facility Support (\$201,000 TF, 161,000 GF) and 1.0 position: This Budget includes funding to support project and facility maintenance activities at PDC.
- Information Security Office Support: Increase of \$174,000 TF (\$139,000 GF) and 1.0 permanent position to support regional center information security efforts, augmenting the original Budget Change Proposal submitted in the 2023 Governor's Budget.
- Headquarters Position Authority: Increase of 71.0 permanent positions to reflect staff previously funded through recent legislative augmentations or existing resources.

Program Highlights

(Dollars in Thousands)

	FY 2022-23*	FY 2023-24**	Difference
Community Services Program			
Regional Centers	\$11,818,238	\$13,604,317	\$1,786,079
Total, Community Services	\$11,818,238	\$13,604,317	\$1,786,079
General Fund	\$6,665,064	\$8,128,058	\$1,462,994
Program Development Fund	\$0	\$434	\$434
Developmental Disabilities Services Account	\$150	\$150	\$0
Federal Trust Fund	\$56,501	\$57,470	\$969
Reimbursements	\$4,238,551	\$4,651,996	\$413,445
Mental Health Services Fund	\$740	\$740	\$0
HCBS ARPA	\$476,579	\$451,440	(\$25,139)
HCBS ARPA Reimbursements	\$380,653	\$314,029	(\$66,624)
State Operated Facilities Program			
Personal Services	\$271,084	\$271,030	(\$54)
Operating Expense & Equipment	\$55,581	\$69,748	\$14,167
Total, State Operated Facilities	\$326,665	\$340,778	\$14,113
General Fund	\$289,061	\$305,951	\$16,890
Lottery Education Fund	\$114	\$114	\$0
Reimbursements	\$37,490	\$34,713	(\$2,777)
Headquarters Support			
Personal Services	\$108,549	\$116,021	\$7,472
Operating Expense & Equipment	\$54,586	\$42,401	(\$12,185)
Total, Headquarters Support	\$163,135	\$158,422	(\$4,713)
General Fund	\$96,953	\$98,862	\$1,909
Federal Trust Fund	\$3,391	\$2,863	(\$528)
Program Development Fund	\$175	\$425	\$250
Reimbursements	\$47,942	\$50,322	\$2,380
Mental Health Services Fund	\$511	\$511	\$0
HCBS ARPA	\$11,330	\$4,350	(\$6,980)
HCBS ARPA Reimbursements	\$2,833	\$1,089	(\$1,744)
Total, All Programs	\$12,308,038	\$14,103,517	\$1,795,479
Total Funding			
General Fund	\$7,051,078	\$8,532,871	\$1,481,793
Federal Trust Fund	\$59,892	\$60,333	\$441
Lottery Education Fund	\$114	\$114	\$0
Program Development Fund	\$175	\$859	\$684
Developmental Disabilities Services Account	\$150	\$150	\$0
Reimbursements	\$4,323,983	\$4,737,031	\$413,048
Mental Health Services Fund	\$1,251	\$1,251	\$0
HCBS ARPA	\$487,909	\$455,790	(\$32,119)
HCBS ARPA Reimbursements	\$383,486	\$315,118	(\$68,368)
Total, All Funds	\$12,308,038	\$14,103,517	\$1,795,479

*FY 2022-23 include \$3.6 million Control Section 19.56 funding

**FY 2023-24 does not include \$10.75 million for CPP reappropriation (GF) and \$14.7 million reduction adjustment (ARPA and ARPA Reimbursements) to the Coordinated Family Support Service.

Program Highlights (CONTINUED)

	FY 2022-23	FY 2023-24	Difference
Caseloads			
State Operated Facilities	322	312	(10)
Regional Centers*	396,375	420,927	24,552
Departmental Positions			
State Operated Facilities	1,955.3	1,947.8	(7.5)
Headquarters	608.0	712.0	104.0

*Does not include children who meet provisional eligibility.

COMMUNITY SERVICES PROGRAM

FY 2022-23

Costs and Fund Sources

The FY 2022-23 updated regional center budget includes \$11.8 billion TF (\$6.7 billion GF), a net decrease of \$253.9 million TF (\$106.1 million GF) as compared to the Governor's Budget. This includes a projected decrease of \$235.5 million TF in purchase of services (POS) expenditures and a decrease of \$18.4 million TF in operations costs.

The FY 2022-23 updated budget reflects a net decrease of \$636.6 million TF (\$630.4 million GF), as compared to the Enacted Budget.

Costs and Fund Sources <i>(Dollars in Thousands)</i>			
	Governor's Budget	May Revision	Difference
Operations	\$1,408,459	\$1,390,108	(\$18,351)
Purchase of Services	\$10,640,119	\$10,404,589	(\$235,530)
Early Start Part C/Other Agency Costs	\$21,538	\$21,538	\$0
Early Start Family Resource Services	\$2,003	\$2,003	\$0
Total Costs	\$12,072,119	\$11,818,238	(\$253,881)
General Fund (GF)	\$6,771,147	\$6,665,064	(\$106,083)
<i>GF Match</i>	\$3,356,569	\$3,261,565	(\$95,004)
<i>GF Other</i>	\$3,414,578	\$3,403,499	(\$11,079)
Reimbursements	\$4,399,361	\$4,238,551	(\$160,810)
Program Development Fund/Parental Fees	\$0	\$0	\$0
Developmental Disabilities Services Account	\$150	\$150	\$0
Mental Health Services Fund	\$740	\$740	\$0
HCBS ARPA	\$468,376	\$476,579	\$8,203
HCBS ARPA Reimbursements	\$375,844	\$380,653	\$4,809
Federal Funds	\$56,501	\$56,501	\$0
Fund Sources	\$12,072,119	\$11,818,238	(\$253,881)

Population

The updated current year caseload projections reflect a net decrease of 4,110 in projected consumers compared to the Governor’s Budget. However, this reflects an increase of 24,987 individuals compared to the prior year (2021-22).

Caseload*	Governor’s Budget	May Revision	Difference
Active (Age 3 & Older)	342,570	338,460	(4,110)
Early Start (Birth through 35 Months)	57,915	57,915	0
Total Community Caseload	400,485	396,375	(4,110)

*Does not include children who meet provisional eligibility.

Regional Center Operations – Caseload

There is no change to the current year from the Governor’s Budget.

Operations – Caseload <i>(Dollars in Thousands)</i>			
	Governor’s Budget	May Revision	Difference
Staffing Expenditures	\$902,498	\$902,498	\$0
Federal Compliance	\$49,271	\$49,271	\$0
Projects	\$34,396	\$34,396	\$0
Intermediate Care Facility-Developmentally Disabled			
Quality Assurance Fees	\$1,647	\$1,647	\$0
Total Operations – Caseload	\$987,812	\$987,812	\$0

Regional Center Operations – Policy

The current year includes \$400.3 million TF (\$281.2 million GF) for policies impacting regional center operations. There is a net decrease of \$20.4 million TF (\$11.4 million GF) compared to the Governor’s Budget. This is primary driven by the following:

- **Reduced Caseload Ratio for Children through Age Five: decrease of \$20.6 million (\$14.0 million GF) to reflect hiring trends in current year.**
- Lanterman Act Provisional Eligibility Ages 0 through 4: increase of \$237,000 GF due to updated caseload growth.

Operations – Policy <i>(Dollars in Thousands)</i>			
	Governor’s Budget	May Revision	Difference
Early Start – Part C to B Transitions	\$3,167	\$3,167	\$0
Enhanced Service Coordination	\$14,172	\$14,172	\$0
Enrolling Vendors as Medicaid Providers	\$550	\$550	\$0
Language Access and Cultural Competency	\$16,667	\$16,667	\$0
Performance Incentives	\$87,500	\$87,500	\$0
Promoting Workforce Stability	\$185,300	\$185,300	\$0
Reduced Caseload Ratio for Children through Age Five	\$51,084	\$30,496	(\$20,588)
Service Access and Equity Grant Program	\$11,000	\$11,000	\$0
Trauma Informed Services for Foster Youth	\$1,600	\$1,600	\$0
Direct Service Professional Workforce Training and Development	\$4,300	\$4,300	\$0
Early Start Eligibility	\$2,530	\$2,530	\$0
Lanterman Act Provisional Eligibility Ages 0 through 4	\$9,325	\$9,562	\$237
Resources to Support Individuals Who Are Deaf	\$4,505	\$4,505	\$0
Self-Determination Ongoing Implementation	\$7,800	\$7,800	\$0
Service Provider Rate Reform	\$21,147	\$21,147	\$0
Total Operations – Policy	\$420,647	\$400,296	(\$20,351)

Regional Center Operations – Control Section 19.56 Augmentation

Control Section 19.56 of the 2022 Budget Act allocated \$2.0 million GF to the California Policy Center for Intellectual and Developmental Disabilities.

Purchase of Services (POS) – Caseload

Updated POS expenditures reflect a net decrease of \$193.4 million TF (\$96.4 million GF) as compared to the Governor’s Budget. The decrease in total funds reflects updated expenditures by category. The table below displays adjustments from the Governor’s Budget.

Purchase of Services Caseload (Utilization and Growth) (Dollars in Thousands)			
	Governor’s Budget	May Revision	Difference
Community Care Facilities	\$2,755,164	\$2,722,375	(\$32,789)
Medical Facilities	\$40,381	\$38,879	(\$1,502)
Day Programs	\$1,429,208	\$1,389,120	(\$40,088)
Habilitation Services	\$133,401	\$133,370	(\$31)
<i>Work Activity Program</i>	\$15,552	\$14,055	(\$1,497)
<i>Supported Employment Program – Group</i>	\$74,911	\$75,898	\$987
<i>Supported Employment Program – Individual</i>	\$42,938	\$43,417	\$479
Transportation	\$424,100	\$414,585	(\$9,515)
Support Services	\$2,480,167	\$2,424,501	(\$55,666)
In-Home Respite	\$1,254,112	\$1,182,597	(\$71,515)
Out-of-Home Respite	\$47,356	\$45,799	(\$1,557)
Health Care	\$224,522	\$224,690	\$168
Miscellaneous Services	\$772,794	\$791,706	\$18,912
Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees	\$8,879	\$9,053	\$174
Total POS – Caseload	\$9,570,084	\$9,376,675	(\$193,409)

POS – Policy

There is a net decrease of \$43.7 million TF (\$3.1 million GF) in policy-related expenditures as compared to the Governor’s Budget. This is primarily driven by the following:

- Social Recreation and Camping Services: Decrease of \$40.8 million HCBS ARPA funding (including reimbursements) based on updated expenditure data.
- Lanterman Act Provisional Eligibility: Increase of \$1.1 million GF based upon projected caseload growth.
- SB 3, Minimum Wage Increase, Effective January 1, 2023: decrease of \$1.0 million (\$0.6 million GF) due to updated expenditures.

Purchase of Services – Policy <i>(Dollars in Thousands)</i>			
	Governor’s Budget	May Revision	Difference
Bilingual Differentials for Direct Service Professionals	\$7,200	\$7,200	\$0
Financial Management Services for SDP Participants	\$7,200	\$4,259	(\$2,941)
Ongoing Purchase of Service Items	\$46,000	\$46,000	\$0
SB 3, Minimum Wage Increase, Effective January 1, 2023	\$54,188	53,173	(\$1,015)
Social Recreation and Camping Services	\$51,868	\$11,084	(\$40,784)
START Training	\$17,865	\$17,865	\$0
Suspension of Annual Family Program Fee & Family Costs	\$4,450	\$4,450	\$0
Work Activity Programs: New Service Model	\$8,233	\$8,233	\$0
Direct Service Professional Workforce Training and Development	\$11,900	\$11,900	\$0
Early Start Eligibility	\$3,962	\$3,962	\$0
Lanterman Act Provisional Eligibility Ages 0 through 4	\$32,035	\$33,104	\$1,069
Resources to Support Individuals Who Are Deaf	\$14,300	\$14,300	\$0
Self-Determination Ongoing Implementation	\$2,500	\$2,500	\$0
Service Provider Rate Reform	\$808,334	\$808,334	\$0
Total POS – Policy	\$1,070,035	\$1,026,364	(\$43,671)

POS – Control Section 19.56 Augmentation

Control Section 19.56 of the 2022 Budget Act allocated \$1.6 million GF to Easterseals Southern California (\$1 million GF), Exceptional Minds (\$250,000 GF), and the City of Elk Grove for Project R.I.D.E. (\$300,000 GF).

American Rescue Plan Act (ARPA) Funding

There is a net increase of \$13.0 million as compared to the Governor’s Budget, inclusive of purchase of services and operations, reflecting updated expenditures and funding for the spending plan initiatives.

American Rescue Plan Act Funding <i>(Dollars in Thousands)</i>			
	Governor’s Budget	May Revision	Difference
HCBS ARPA	\$468,376	\$476,579	\$8,203
HCBS ARPA Reimbursements	\$375,844	\$380,653	\$4,809
Total HCBS ARPA	\$844,220	\$857,232	\$13,012

Reimbursements

The updated current year reflects a net decrease of \$160.8 million in reimbursements as compared to the Governor’s Budget as a result of lower than projected expenditures and a reduction of the 6.2 percentage point increase in enhanced federal funding down to a 5.0 percentage point increase in the fourth quarter of the 2022-23 fiscal year. Adjustments are reflected in the table below.

Reimbursements (Dollars in Thousands)			
	Governor’s Budget	May Revision	Difference
Home and Community-Based Services (HCBS) Waiver	\$3,027,712	\$2,896,546	(\$131,166)
HCBS Waiver Administration	\$21,470	\$21,470	\$0
Medicaid Administration	\$20,618	\$20,618	\$0
Targeted Case Management	\$337,268	\$327,104	(\$10,164)
Title XX Block Grant	\$213,421	\$213,421	\$0
(1) Social Services	\$136,264	\$136,264	\$0
(2) Temporary Assistance for Needy Families	\$77,157	\$77,157	\$0
Intermediate Care Facility-Developmentally Disabled State Plan Amendment	\$60,803	\$61,696	\$893
Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees	\$9,703	\$9,877	\$174
1915(i) State Plan Amendment	\$593,559	\$572,594	(\$20,965)
Early Periodic Screening Diagnosis and Treatment	\$21,720	\$18,244	(\$3,476)
Behavioral Health Treatment Fee-for-Service	\$11,014	\$11,481	\$467
Self-Determination Program Waiver	\$82,073	\$85,500	\$3,427
Total Reimbursements	\$4,399,361	\$4,238,551	(\$160,810)

Federal Funds

There is no change as compared to the Governor’s Budget.

Federal Funds (Dollars in Thousands)			
	Governor’s Budget	May Revision	Difference
Early Start Part C/Other Agency Costs	\$55,358	\$55,358	\$0
Foster Grandparent Program	\$1,143	\$1,143	\$0
Total Federal Funds	\$56,501	\$56,501	\$0

FY 2023-24

Costs and Fund Sources

The FY 2023-24 regional center budget includes \$13.6 billion TF (\$8.1 billion GF), a net decrease of \$50.2 million TF (\$61.4 million GF) as compared to the Governor’s Budget. This includes a projected \$33.4 million TF increase in regional center operations costs, and \$83.6 million TF decrease in purchase of services expenditures.

Costs and Fund Sources			
<i>(Dollars in Thousands)</i>			
	Governor’s Budget	May Revision	Difference
Operations	\$1,385,303	\$1,418,703	\$33,400
Purchase of Services	\$12,248,105	\$12,164,516	(\$83,589)
Early Start Part C/Other Agency Costs	\$19,095	\$19,095	\$0
Early Start Family Resource Services	\$2,003	\$2,003	\$0
Total Costs	\$13,654,506	\$13,604,317	(\$50,189)
General Fund (GF)	\$8,189,476	\$8,128,058	(\$61,418)
<i>GF Match</i>	\$4,497,778	\$4,234,395	(\$263,383)
<i>GF Other</i>	\$3,691,698	\$3,893,663	\$201,965
Reimbursements	\$4,744,836	\$4,651,996	(\$92,840)
Program Development Fund/Parental Fees	\$434	\$434	\$0
Developmental Disabilities Services Account	\$150	\$150	\$0
Mental Health Services Fund	\$740	\$740	\$0
HCBS ARPA	\$475,794	\$451,440	(\$24,354)
HCBS ARPA Reimbursements	\$189,018	\$314,029	\$125,011
Federal Funds	\$54,058	\$57,470	\$3,412
Fund Sources	\$13,654,506	\$13,604,317	(\$50,189)

Population

There is no change as compared to the Governor’s Budget.

Caseload*	Governor’s Budget	May Revision	Difference
Active (Age 3 & Older)	359,280	359,280	0
Early Start (Birth through 35 Months)	61,647	61,647	0
Total Community Caseload	420,927	420,927	0

*Does not include children who meet provisional eligibility.

Regional Center Operations – Caseload

The budget year includes \$1.0 billion TF (\$753.5 million GF) for regional center operations, an increase of \$16.8 million (\$18.5 million GF increase) as compared to the Governor’s Budget. The increase is mainly attributed to regional center operation costs update.

Operations – Caseload (Dollars in Thousands)			
	Governor’s Budget	May Revision	Difference
Staffing Expenditures	\$944,637	\$961,384	\$16,747
Federal Compliance Projects	\$49,538	\$49,552	\$14
Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees	\$37,211	\$37,259	\$48
	\$1,645	\$1,677	\$32
Total Operations – Caseload	\$1,033,031	\$1,049,872	\$16,841

Regional Center Operations – Policy

The budget year includes \$368.8 million TF (\$240.3 million GF) for policies impacting regional center operations, an increase of \$16.6 million TF (\$6.3 million GF) as compared to the Governor’s Budget.

Updated Policies:

- Performance Incentives: Increase of \$9.9 million TF (\$6.7 million GF) due to regional center operation position cost updates, consistent with state equivalent costs.
- Early Start Eligibility: Increase of \$1.4 million GF based upon regional center operation position cost updates, consistent with state equivalent costs.
- Enhanced Service Coordination: Increase of \$284,000 TF (\$222,000 GF) due to regional center operation position cost updates, consistent with state equivalent costs.
- Lanterman Act Provisional Eligibility Ages 0 through 4: Increase of \$590,000 GF based upon projected caseload growth.
- START Training: Increase of \$330,000 TF (\$231,000 GF) to include service provider network fees for certified START teams.

New Policies:

- Compliance with Federal Home and Community Services-Based Requirements: Increase of \$4.0 million TF (\$2.7 million GF) to support regional center resources (50 positions) to address and sustain new and ongoing efforts to comply with federal requirements.

Operations – Policy <i>(Dollars in Thousands)</i>			
	Governor's Budget	May Revision	Difference
Disparities Within the Developmental Services System	\$2,241	\$2,241	\$0
Early Start – Part C to B Transitions	\$3,167	\$3,167	\$0
Enhanced Service Coordination	\$14,172	\$14,456	\$284
Enrolling Vendors as Medicaid Providers	\$400	\$400	\$0
Language Access and Cultural Competency	\$16,667	\$16,667	\$0
Performance Incentives	\$87,500	\$97,408	\$9,908
Reduced Caseload Ratio for Children through Age Five	\$153,212	\$153,212	\$0
Trauma Informed Services for Foster Youth	\$3,200	\$3,200	\$0
UFSM & CERMS	\$9,830	\$9,830	\$0
Compliance with HCBS Requirements	\$0	\$4,044	\$4,044
Direct Service Professional Workforce Training and Development	\$4,300	\$4,300	\$0
Early Start Eligibility	\$8,432	\$9,835	\$1,403
Lanterman Act Provisional Eligibility Ages 0 through 4	\$15,699	\$16,289	\$590
Resources to Support Individuals Who Are Deaf	\$4,505	\$4,505	\$0
Self-Determination Ongoing Implementation	\$7,800	\$7,800	\$0
Service Provider Rate Reform	\$21,147	\$21,147	\$0
START Training	\$0	\$330	\$330
Total Operations – Policy	\$352,272	\$368,831	\$16,559

Purchase of Services (POS) Caseload

The budget year includes \$10.6 billion TF (\$6.7 billion GF) for purchase of services, a decrease of \$107.5 million TF (\$34.8 million GF), as compared to the Governor’s Budget. **The net decrease is primarily due to updated expenditure trends in utilization of the various budget categories.**

Purchase of Services Caseload (Utilization and Growth) <i>(Dollars in Thousands)</i>			
	Governor’s Budget	May Revision	Difference
Community Care Facilities	\$2,882,632	\$2,884,241	\$1,609
Medical Facilities	\$52,494	\$49,590	(\$2,904)
Day Programs	\$1,457,383	\$1,439,930	(\$17,453)
Habilitation Services	\$139,084	\$140,264	\$1,180
<i>Work Activity Program</i>	\$11,991	\$11,267	(\$724)
<i>Supported Employment Program – Group</i>	\$74,031	\$75,560	\$1,529
<i>Supported Employment Program – Individual</i>	\$53,062	\$53,437	\$375
Transportation	\$596,572	\$578,281	(\$18,291)
Support Services	\$2,744,836	\$2,700,083	(\$44,753)
In-Home Respite	\$1,531,812	\$1,440,982	(\$90,830)
Out of Home Respite	\$48,438	\$47,085	(\$1,353)
Health Care	\$269,784	\$270,411	\$627
Miscellaneous Services	\$936,120	\$1,000,604	\$64,484
Intermediate Care Facility-Developmentally Disabled			
Quality Assurance Fees	\$8,879	\$9,053	\$174
Total POS – Caseload	\$10,668,034	\$10,560,524	(\$107,510)

POS – Policy

The budget year includes \$1.6 billion TF (\$469.8 million GF) for policies impacting regional center purchase of services, an increase of \$23.9 million TF (\$51.5 million GF decrease) as compared to the Governor’s Budget.

Updated Policies:

- Service Provide Rate Reform: Increase of \$15.0 million (\$8.5 million GF) supports adjustments to the service provider rates for Independent Living Services reflecting more equivalent occupations and duties performed by those occupations.
- Social Recreation and Camping Services: Decrease of \$9.2 million HCBS ARPA Funding based upon updated expenditure data.
- Lanterman Act Provisional Eligibility Ages 0 through 4: Increase of \$2.4 million GF based upon updated caseload growth. Additionally, this initiative includes a \$13 million GF decrease and \$13 million Federal Financial Participation increase resulting from a proposed expansion of eligibility to children birth through 2 years of age.
- SB 3, Minimum Wage Increase, Effective January 1, 2023: Decrease of \$1.3 million TF (\$733,000 GF) based upon updated expenditure data.
- SB 3, Minimum Wage Increase, Effective January 1, 2024, Consumer Price Index Adjustment: Decrease of \$1.0 million TF (\$657,000 GF) based upon updated expenditure data.

New Policies:

- Coordinated Family Support Services: Increase of \$18.0 million TF (\$10.8 million GF) to continue the pilot program through 2023-24.

Purchase of Services – Policy <i>(Dollars in Thousands)</i>			
	Governor’s Budget	May Revision	Difference
Coordinated Family Support Services	\$0	\$18,000	\$18,000
Bilingual Differentials for Direct Service Professionals	\$7,200	\$7,200	\$0
Financial Management Services for SDP Participants	\$7,200	\$7,200	\$0
Ongoing Purchase of Services Items	\$46,000	\$46,000	\$0
SB 3, 2023	\$122,557	\$121,233	(\$1,324)
SB 3, 2024 CPI Adjustment	\$78,203	\$77,188	(\$1,015)
Social Recreation and Camping Services	\$51,868	\$42,700	(\$9,168)
START Training	\$19,632	\$19,632	\$0
Direct Service Professional Workforce Training and Development	\$11,900	\$11,900	\$0
Early Start Eligibility	\$13,208	\$13,208	\$0
Lanterman Act Provisional Eligibility Ages 0 through 4	\$54,112	\$56,540	\$2,428
Self-Determination Ongoing Implementation	\$3,925	\$3,925	\$0
Service Provider Rate Reform	\$1,164,266	\$1,179,266	\$15,000
Total POS – Policy	\$1,580,071	\$1,603,992	\$23,921

American Rescue Plan Act Funding

The budget year includes \$451.4 million in HCBS ARPA funding and \$314.0 million in matching reimbursements, inclusive of purchase of services and operations. This reflects an increase of \$100.7 million as compared to the Governor’s Budget, reflecting a shift in expenditures between current year and budget year. The Administration is extending the deadline to fully expend these resources from March 31, 2024 to September 30, 2024. Adjustments are reflected in the table below:

American Rescue Plan Funding* <i>(Dollars in Thousands)</i>			
	Governor’s Budget	May Revision	Difference
HCBS ARPA	\$475,794	\$451,440	(\$24,354)
HCBS ARPA Reimbursements	\$189,018	\$314,029	\$125,011
Total HCBS ARPA	\$664,812	\$765,469	\$100,657

*FY 2023-24 does not include \$14.7 million reduction adjustment (ARPA and ARPA Reimbursements) to the Coordinated Family Support Service.

Reimbursements

The budget year includes \$4.7 billion in reimbursements, a decrease of \$92.8 million as compared to the Governor’s Budget. Changes reflect reduced expenditures and the extension of the enhanced Federal Medical Assistance Percentages (FMAP) authorized by the Families First Coronavirus Response Act (FFCRA), which is anticipated to continue through December 31, 2023.

Reimbursements <i>(Dollars in Thousands)</i>			
	Governor’s Budget	May Revision	Difference
Home and Community-Based Services (HCBS) Waiver	\$3,249,018	\$3,148,162	(\$100,856)
HCBS Waiver Administration	\$22,065	\$23,400	\$1,335
Medicaid Administration	\$20,618	\$20,618	\$0
Targeted Case Management	\$350,577	\$359,201	\$8,624
Title XX Block Grant	\$213,421	\$213,421	\$0
(1) Social Services	\$136,264	\$136,264	\$0
(2) Temporary Assistance for Needy Families	\$77,157	\$77,157	\$0
Intermediate Care Facility-Developmentally Disabled State Plan Amendment	\$54,821	\$56,875	\$2,054
Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees	\$9,701	\$9,891	\$190
1915(i) State Plan Amendment	\$633,689	\$620,506	(\$13,183)
Early Periodic Screening Diagnosis and Treatment	\$20,845	\$20,147	(\$698)
Behavioral Health Treatment Fee-for-Service	\$11,014	\$11,481	\$467
Self-Determination Program Waiver	\$159,067	\$168,294	\$9,227
Total Reimbursements	\$4,744,836	\$4,651,996	(\$92,840)

Federal Funds

The budget year includes \$57.0 million in federal funds, a net increase of \$3.4 million as compared to the Governor’s Budget reflecting an update in anticipated grant funding.

Federal Funds <i>(Dollars in Thousands)</i>			
	Governor’s Budget	May Revision	Difference
Early Start Part C/Other Agency Costs	\$52,915	\$56,325	\$3,410
Foster Grandparent Program	\$1,143	\$1,145	\$2
Total Federal Funds	\$54,058	\$57,470	\$3,412

STATE OPERATED FACILITIES

FY 2022-23

The FY 2022-23 updated state-operated facilities budget includes \$326.7 million TF (\$289.1 million GF), largely unchanged compared to the Governor’s Budget. Facilities Update:

- Lottery Funds: A decrease of \$16,000 due to an update to lottery funds.

Policy

- Enhanced Federal Funding: An increase of \$151,000 GF due to a reduction of the 6.2 percentage point increase down to a 5.0 percentage point increase in the fourth quarter of the 2022-23 fiscal year.

Costs and Fund Sources			
<i>(Dollars in Thousands)</i>			
	Governor's Budget	May Revision	Difference
Personal Services	\$271,084	\$271,084	\$0
Operating Expenses and Equipment	\$47,333	\$47,317	(\$16)
Lease Revenue Bond	\$8,264	\$8,264	\$0
Total Costs	\$326,681	\$326,665	(\$16)
General Fund (GF)	\$288,910	\$289,061	\$151
<i>GF Match</i>	\$24,955	\$25,106	\$151
<i>GF Other</i>	\$263,955	\$263,955	\$0
Reimbursements	\$37,641	\$37,490	(\$151)
Lottery Fund	\$130	\$114	(\$16)
Fund Sources	\$326,681	\$326,665	(\$16)

FY 2023-24

The FY 2023-24 includes \$340.8 million, a decrease of \$16,000 TF (\$681,000 GF decrease) compared to the Governor’s Budget due to an increase in reimbursements with the extension of the enhanced FMAP authorized by the FFCRA, which is anticipated to continue through December 31, 2023.

Facilities Update:

- Lottery Funds: a decrease of \$16,000 due to an update to lottery funds.

Policy

- Enhanced Federal Funding: A decrease of \$681,000 GF due to an extension of the Enhanced Federal Funding to December 31, 2023. The percentage of additional funding is 2.5 percent for the first quarter and 1.5 percent for the second quarter of the 2023-24 fiscal year.

Costs and Fund Sources <i>(Dollars in Thousands)</i>			
	Governor’s Budget	May Revision	Difference
Personal Services	\$271,030	\$271,030	\$0
Operating Expenses and Equipment	\$61,483	\$61,467	(\$16)
Lease Revenue Bond	\$8,281	\$8,281	\$0
Total Costs	\$340,794	\$340,778	(\$16)
General Fund (GF)	\$306,632	\$305,951	(\$681)
<i>GF Match</i>	\$34,032	\$33,351	(\$681)
<i>GF Other</i>	\$272,600	\$272,600	\$0
Reimbursements	\$34,032	\$34,713	\$681
Lottery Fund	\$130	\$114	(\$16)
Fund Sources	\$340,794	\$340,778	(\$16)

HEADQUARTERS

FY 2022-23

The May Revision reflects \$163.1 million TF (\$97 million GF), a net increase of \$6.9 million compared to the Governor's Budget due to increased availability of HCBS-ARPA funding.

FY 2023-24

The May Revision reflects \$158.4 million TF (\$98.9 million GF), a net increase of \$2.8 million TF (\$1.4 million GF) compared to the Governor's Budget. The changes include:

April 1, 2023 Finance Letters

- Compliance with Federal Home and Community-Based Services Requirements – Increase of \$1.3 million TF (\$1 million GF) and 9.0 positions consisting of the conversion of three limited-term Community Program Specialist II to permanent, 4.0 additional Community Program Specialist II and 2.0 Community Program Specialist III to address and sustain new and ongoing efforts to comply with federal requirements.
- Porterville Developmental Center Facility Support – Increase of \$201,000 (161,000 GF) and 1.0 permanent Associate Construction Analyst position to support the increased project and facility maintenance activities at PDC.
- Information Security Office Support – Increase of \$174,000 TF (\$139,000 GF) and 1.0 permanent IT Specialist I position to support regional center information security efforts, augmenting the original Budget Change Proposal submitted in the 2023 Governor's Budget.
- Headquarters Position Authority – Increase of 71.0 permanent positions to help right-size the number of permanent staff funded by recent legislative augmentations and existing resources.

DEPARTMENT OF DEVELOPMENTAL SERVICES

1215 O Street, MS 7-40
Sacramento, CA 95814
TTY: 711
(833) 421-0061



April 19, 2023

TO: REGIONAL CENTER EXECUTIVE DIRECTORS

SUBJECT: COORDINATED FAMILY SUPPORT SERVICES PILOT PROGRAM FOR
ADULT CONSUMERS WHO RESIDE WITH THEIR FAMILY –
ADDITIONAL INFORMATION

This correspondence is in follow-up to the Department of Developmental Services' (Department) [January 27, 2023 guidance](#) and includes updates, clarification and additional information regarding the Coordinated Family Support (CFS) Services Pilot Program. Enclosures A, C and D from the Department's January 27, 2023 guidance have been updated and are enclosed, and should replace previous versions.

CFS Service Code and Sub-Code

In the event a direct service provider has not been identified, CFS providers can provide direct support for up to 90 days while a permanent direct care service is identified and put in place.

Approved CFS providers should invoice regional centers using service code 076. The sub-code "DIR" should be added to the service code to indicate service hours provided as direct care. This sub-code is available for use now. The Department will provide information on the direct service rates within the next two weeks.

CFS Assessments

Regional centers shall authorize CFS assessments of up to 12 hours. This supersedes the requirement in the Department's January 27, 2023 guidance which stated that CFS assessments shall be authorized at a minimum of six hours and a maximum of 12 hours.

Self-Determination Program (SDP)

At this time, the CFS Services Pilot Program is not available to participants in the SDP. The Department is evaluating how CFS services can be available to SDP participants. Additional clarification will be provided, as available.

“Building Partnerships, Supporting Choices”

Regional Center Executive Directors
April 19, 2023
Page two

If you are a consumer or family member and have questions about this correspondence, please contact your regional center service coordinator. Regional centers with questions should email CFS@dds.ca.gov

Sincerely,

Original Signed by:

VICKI L. SMITH, Ph.D.
Deputy Director
Policy and Program Development Division

Enclosures

cc: Regional Center Administrators
Regional Center Directors of Consumer Services
Regional Center Community Services Directors
Association of Regional Center Agencies
Nancy Bargmann, Department of Developmental Services
Brian Winfield, Department of Developmental Services
Carla Castañeda, Department of Developmental Services
Ernie Cruz, Department of Developmental Services
Jennifer Parsons, Department of Developmental Services



BORROWING RESOLUTION:

- **Line of Credit with Pacific Premier Bank *for Board Approval**



**HARBOR REGIONAL CENTER
BOARD OF TRUSTEES
BORROWING RESOLUTION
May 16, 2023**

RESOLVED that Harbor Regional Center renew its line of credit with Pacific Premier Bank. The current loan modification agreement was dated May 19, 2022. The revolving credit commitment will be increased from \$45,000,000.00 to \$50,000,000.00, available beginning July 1, 2023 to manage cash flow requirements as needed. The termination date will be extended from June 30, 2023 to June 30, 2024.

CERTIFICATION

I, the undersigned, _____ of Harbor Regional Center do certify that the foregoing is a true, exact and correct copy of the contract(s) presented to and approved by the Board of Trustees on the _____ day of _____, 2023.

Signed by: _____

Date: _____



START-UP FUNDING & GRANTS

- **Community Placement Plan (CPP) /
Community Resource Development Plan
(CRDP)**
- **Social Recreational**



FY 2022-23 CPP/CRDP PLAN - START UP

1. PROJECT ID (2022-23)	APPROVAL CONDITIONS	2. REGIONAL CENTER	3. START UP FUNDING TYPE	4. DEVELOPMENT TYPE	5. OTHER DEVELOPMENT TYPE	6. START UP CLASSIFICATION	7. PRIOR YEAR START UP PROJECT ID	15. PROJECTED SERVICE DATE	CPP PLAN - APPROVED ACQUISITION FUNDS	CPP PLAN - APPROVED REHABILITATION FUNDS	CPP PLAN - APPROVED PROVIDER START UP FUNDS	CPP PLAN - APPROVED TOTAL
HRC-2223-1		HRC	CPP	Residential (EBSH-4bed)	provider to operate previous year CPP EBSH Homes	Continued	HRC-2122-8	6/1/2025			\$200,000	\$200,000
HRC-2223-2		HRC	CPP	Residential (EBSH-4bed)	provider to operate previous year CPP EBSH	Continued	HRC-2122-4	6/1/2025			\$200,000	\$200,000
HRC-2223-4		HRC	CPP	Residential (ARFPSHN-4bed)	Skilled nursing facility step-down for people	New		6/1/2025	\$350,000	\$250,000		\$600,000
HRC-2223-6		HRC	CRDP	Employment Services	Employment program	New		6/1/2025			\$150,000	\$150,000
HRC-2223-7	Regional Center to work with DDS to establish a Miscellaneous Service Code	HRC	CRDP	Behavioral Services	Behavioral respite program	New		6/1/2025			\$125,000	\$125,000
HRC-2223-8		HRC	CRDP	Residential (ARF-L4j)	Deaf and Hard of Hearing Home	New		6/1/2025			\$150,000	\$150,000
HRC-2223-10	Action item: RC to change the development type to either EBSH or ARM level. Submit the proposed decision to DDS.	HRC	CRDP	Residential (SRF-3bed)	Gender Identity Home	New		6/1/2025			\$150,000	\$150,000
HRC-2223-11	HRC must work with DDS to confirm the budget.	HRC	CRDP	Financial Management Service (FMS)	FMS	New		6/1/2025			\$300,000	\$300,000
HRC-2223-13		HRC	CPP	Residential (FHA-2bed)	FHA Company	New		6/1/2025			\$125,000	\$125,000
												\$2,000,000

DEPARTMENT OF DEVELOPMENTAL SERVICES

1215 O Street, MS 8-30
 Sacramento, CA 95814
 TTY: 711
 (833) 421-0061



March 1, 2023

Patrick Ruppe, Executive Director
 Harbor Regional Center
 21231 Hawthorne Boulevard
 Torrance, CA 90503

Dear Mr. Ruppe:

Thank you for submitting to the Department of Developmental Services (Department) Social Recreation Grant proposals received by the Harbor Regional Center (HRC). Statewide, regional centers received 234 grant proposals. This letter is to advise the HRC that the following grant project has been approved:

Grant Applicant Name	Project Title	Requested Amount	Approved Amount
Our Village	PEERS Enrichment Friendship Activities (PEFA)	\$8,800	\$8,800

Due to the large number of proposals, the Department continues its review and will announce a second round of approvals by March 15, 2023.

HCBS Final Rule Compliance

All grant projects must comply with the Home and Community-Based Services (HCBS) Final Rule. The purpose of the Final Rule is to ensure that individuals receive services in settings that are integrated and support full access to the greater community. This includes opportunities to engage in community life and receive services to the same degree as individuals who do not receive regional center services. Grant projects must provide opportunities for social and recreational activities that integrate children with and without intellectual and developmental disabilities in their community. They should include members of the greater community, not just family members. According to the Centers for Medicare and Medicaid Services, states cannot comply with the HCBS Final Rule simply by bringing individuals without disabilities from the community into a setting; compliance requires a plan to integrate beneficiaries into the broader community. Reverse integration, or a model of intentionally inviting individuals not receiving HCBS into a facility-based setting to participate in activities with HCBS beneficiaries in the facility-based setting, in and of itself is not a sufficient strategy for settings to meet the

“Building Partnerships, Supporting Choices”

integration requirements outlined in the rule. Regional centers shall ensure grantees' projects comply with HCBS Final Rule. More information regarding the HCBS Final Rule can be found [here](#).

Contract Requirements

Regional centers must contract directly with grantees. Contracts must require quarterly reporting and allocation of funds contingent on the criteria described in the Social Recreation Grant Guidelines ([ATTACHMENT A](#)). Regional centers must ensure compliance with Welfare & Institution (W&I) Codes, California Code of Regulations Title 17, and all Social Recreation Grant Guidelines including, but not limited to the following:

- Grant funds must be expended on goods and services that will benefit children and adolescents who receive regional center services.
- No more than 15 percent of regional center funds may be spent on administrative costs (W&I Code section 4629.7).
- The setting must include opportunities to engage in community life and receive services in the community, to the same degree of access as individuals not receiving Medicaid HCBS, as required in the Final Rule (W&I Code section 4501).
- Contracts must include a statement regarding how the grantee will manage and report special incidents occurring during grant activities.
- For entities that receive payments from one or more regional centers, the provider must be in good standing with its independent audit or independent review of its financial statements (W&I Code section 4652.5).
- Current vendors with awarded projects cannot use grant funds for project types similar to currently vendored regional center services.
- The contract must include specific goals for each outcome measure as defined in [ATTACHMENT G](#) of the grant guidelines. Every grant project, except those providing vendorization assistance, must identify and report on the standard outcome measures. Projects with budgets over \$100,000 must also establish and report progress on meeting goals for supplemental outcome measures. Projects that provide vendorization assistance must establish and report progress on meeting goals for this project type.
- The contract must require the grantee to develop and implement an outreach plan in collaboration with the regional center, including how the community will be informed about the grant projects. The plan must also include outreach to diverse communities, in languages that reflect the regional center's catchment area.
- If vendorization is pursued by the grantee following the current grant cycle, the grantee must comply with vendorization regulations.

Patrick Ruppe, Executive Director
March 1, 2023
Page 3

Regional centers must submit copies of grant contracts to the Department by March 31, 2023. Please submit grantee contracts and any related questions to: SocialRecGrants@dds.ca.gov.

A list of awarded projects will be posted on the Department's website after March 15, 2023 at <https://www.dds.ca.gov/initiatives/community-integration-social-rec-grants/>. Information regarding progress reporting will be forthcoming.

Sincerely,



ERNIE CRUZ
Deputy Director
Community Services Division

Enclosures

cc: Heather Diaz, Regional Center Grant Designee
Amy Westling, Association of Regional Center Agencies
Brian Winfield, Department of Developmental Services
Aaron Christian, Department of Developmental Services
Yasir Ali, Department of Developmental Services
Taryn Smith-Kerr, Department of Developmental Services
Uvence Martinez, Department of Developmental Services

DEPARTMENT OF DEVELOPMENTAL SERVICES

1215 O Street, MS 8-30
 Sacramento, CA 95814
 TTY: 711
 (833) 421-0061



March 15, 2023

Patrick Ruppe, Executive Director
 Harbor Regional Center
 21231 Hawthorne Boulevard
 Torrance, CA 90503

Dear Mr. Ruppe:

Thank you for submitting to the Department of Developmental Services (Department) Social Recreation Grant proposal(s) received by the Harbor Regional Center (HRC). The first round of grant approvals was issued on March 1, 2023. This letter is to announce the second round of grant approvals, as indicated on the March 1, 2023. This letter is to advise HRC that the following grant projects have been approved:

Grant Applicant Name	Project Title	Requested Amount	Approved Amount
Aceing Austim	Adaptive Tennis Programming	\$24,493	\$24,493
Self Determined Futures, LLC	Community Commitment to Social Solidarity and Promoting Engaging in Friendships	\$95,000	\$50,000
AbilityFirst Long Beach Center	Ability First Long Beach Center Pilot Afterschool Social Recreation Program	\$272,031	\$240,000
Understanding Needed Integration, LLC	UNI Socializing	\$96,604	\$40,000

HCBS Final Rule Compliance

All grant projects must comply with the Home and Community-Based Services (HCBS) Final Rule. The purpose of the Final Rule is to ensure that individuals receive services in settings that are integrated and support full access to the greater community. This includes opportunities to engage in community life and receive services to the same degree as individuals who do not receive regional center services. Grant projects must provide opportunities for social and recreational activities that integrate children with and without intellectual and developmental disabilities in their community. They should

“Building Partnerships, Supporting Choices”

include members of the greater community, not just family members. According to the Centers for Medicare and Medicaid Services, states cannot comply with the HCBS Final Rule simply by bringing individuals without disabilities from the community into a setting; compliance requires a plan to integrate beneficiaries into the broader community. Reverse integration, or a model of intentionally inviting individuals not receiving HCBS into a facility-based setting to participate in activities with HCBS beneficiaries in the facility-based setting, in and of itself is not a sufficient strategy for settings to meet the integration requirements outlined in the rule. Regional centers shall ensure grantees' projects comply with HCBS Final Rule. More information regarding the HCBS Final Rule can be found [here](#).

Contract Requirements

Regional centers must contract directly with grantees. Contracts must require quarterly reporting and allocation of funds contingent on the criteria described in the Social Recreation Grant Guidelines ([ATTACHMENT A](#)). Regional centers must ensure compliance with Welfare & Institution (W&I) Codes, California Code of Regulations Title 17, and all Social Recreation Grant Guidelines including, but not limited to the following:

- Grant funds must be expended on goods and services that will benefit children and adolescents who receive regional center services.
- No more than 15 percent of regional center funds may be spent on administrative costs (W&I Code section 4629.7).
- The setting must include opportunities to engage in community life and receive services in the community, to the same degree of access as individuals not receiving Medicaid HCBS, as required in the Final Rule (W&I Code section 4501).
- Contracts must include a statement regarding how the grantee will manage and report special incidents occurring during grant activities.
- For entities that receive payments from one or more regional centers, the provider must be in good standing with its independent audit or independent review of its financial statements (W&I Code section 4652.5)
- Current vendors with awarded projects cannot use grant funds for project types similar to currently vendored regional center services.
- The contract must include specific goals for each outcome measure as defined in [ATTACHMENT G](#) of the grant guidelines. Every grant project, except those providing vendorization assistance, must identify and report on the standard outcome measures. Projects with budgets over \$100,000 must also establish and report progress on meeting goals for supplemental outcome measures. Projects that provide vendorization assistance must establish and report progress on meeting goals for this project type.

Patrick Ruppe, Executive Director
March 15, 2023
Page three

- The contract must require the grantee to develop and implement an outreach plan in collaboration with the regional center, including how the community will be informed about the grant projects. The plan must also include outreach to diverse communities, in languages that reflect the regional center's catchment area.
- If vendorization is pursued by the grantee following the current grant cycle, the grantee must comply with vendorization regulations.

Regional centers must submit copies of grant contracts to the Department by March 31, 2023. Please submit grantee contracts and any related questions to:
SocialRecGrants@dds.ca.gov.

A list of awarded projects will be posted on the Department's website after March 15, 2023, at <https://www.dds.ca.gov/initiatives/community-integration-social-rec-grants/>. Information regarding progress reporting and invoicing the Department will be forthcoming.

Sincerely,



ERNIE CRUZ
Deputy Director
Community Services Division

Enclosures

cc: Heather Diaz, Regional Center Grant Designee
Amy Westling, Association of Regional Center Agencies
Brian Winfield, Department of Developmental Services
Aaron Christian, Department of Developmental Services
Yasir Ali, Department of Developmental Services
Taryn Smith-Kerr, Department of Developmental Services
Uvence Martinez, Department of Developmental Services



CONTRACTS

****FOR BOARD APPROVAL***

- **Operations | Social Recreational with California Alliance of Boys and Girls Clubs**
- **Operations | Social Recreational with California State Alliance of YMCA's**
- **Purchase of Service | The Columbus Organization**
- **Purchase of Service | Brilliant Corners**



CONTRACT REQUIRING BOARD APPROVAL

Harbor Regional Center
Meeting of the Board of Trustees
May 16, 2023

OPERATIONS

Social Recreational Services

California Alliance of Boys and Girls Clubs
380 Fulton Street
San Francisco, CA 94102

Description of Services:

Social Recreation Services designed to achieve the following goals:

- Improve accessibility of integrated social and recreational programs that are person- centered and culturally and linguistically responsive to the beliefs, values and choices of children and adolescents with I/DD;
- Utilize and leverage existing community resources to enhance and develop integrated and inclusive social and recreational programs that are safe and age appropriate; and
- Increase the number of RC vendored providers that offer integrated and inclusive social and recreational services to people with I/DD

Projected annual amount: up to \$2,500,000.00, and \$4,048,517.00 for all FY 22/23 Social Recreation Grant providers

CERTIFICATION

I, the undersigned, _____ of Harbor Regional Center do certify that the foregoing is a true, exact and correct copy of the contract(s) presented to and approved by the Board of Trustees on the _____ day of _____, 2023.

Signed by: _____

Date: _____

CONTRACT REQUIRING BOARD APPROVAL

Harbor Regional Center
Meeting of the Board of Trustees
May 16, 2023

OPERATIONS

Social Recreational Services

California State Alliance of YMCAs

925 L Street, Suite 1200

Sacramento, CA 95814

Description of Services:

Social Recreation Services designed to achieve the following goals:

- Improve accessibility of integrated social and recreational programs that are person- centered and culturally and linguistically responsive to the beliefs, values and choices of children and adolescents with I/DD;
- Utilize and leverage existing community resources to enhance and develop integrated and inclusive social and recreational programs that are safe and age appropriate; and
- Increase the number of RC vendored providers that offer integrated and inclusive social and recreational services to people with I/DD

Projected annual amount: up to \$1,200,000.00, and \$4,048,517.00 for all FY 22/23 Social Recreation Grant providers

CERTIFICATION

I, the undersigned, _____ of Harbor Regional Center do certify that the foregoing is a true, exact and correct copy of the contract(s) presented to and approved by the Board of Trustees on the _____ day of _____, 2023.

Signed by: _____

Date: _____

CONTRACT REQUIRING BOARD APPROVAL

Harbor Regional Center
Meeting of the Board of Trustees
May 16, 2023

PURCHASE OF SERVICE

The Columbus Organization
350 Sentry Parkway
Suite 120, Building 620
Blue Bell, PA 19422

Description of Service: Professional Services

Rate of Payment: Hourly rate based on position; pricing includes salaries, taxes, benefits and indirect costs

Current Positions include (average bill rate):

Nurses-R.N. (4 FTE)*	\$96.23
Psychologists (2 FTE)	\$100.33
Bi-Lingual Psychologist (1 FTE)	\$105.80
Forensic Specialist (1 FTE)	\$82.75
Pharmacist (1 FTE)	\$119.47
BCBA (1 FTE)*	\$95.25

*1 RN Position at .25 FTE Operation and .75 FTE Purchase of Service

*BCBA Position .50 FTE Operations and .50 FTE Purchase of Service

Contract Period: July 1, 2023 to June 30, 2024

Projected Annual Amount: Not to exceed \$1,880,444

CERTIFICATION

I, the undersigned, _____ of Harbor Regional Center do certify that the foregoing is a true, exact and correct copy of the contract(s) presented to and approved by the Board of Trustees on the _____ day of _____, 2023.

Signed by: _____ Date: _____

CONTRACT REQUIRING BOARD APPROVAL

Harbor Regional Center
Meeting of the Board of Trustees
May 16, 2023

PURCHASE OF SERVICE

Housing Development
Project ID: TBD

Brilliant Corners (PH2551)
527 E. 7th Street
Floor 11
Los Angeles, CA 90014

Description of Services: CPP/CRDP funds may fund up to the approved amount for the acquisition and renovation of a single-family residence for the purpose of developing a 4 bedroom Enhanced Behavioral Support Home for adult individuals served by Harbor Regional Center. Enhanced Behavioral Support Homes serve individuals with severe mal-adaptive behaviors, which includes, but is not limited to the following: Property destruction, assaultive behaviors and self-injurious behaviors.

Total CPP/CRDP Funding not to exceed: Acquisition - \$350,000.00
 Renovation - \$450,000.00

CERTIFICATION

I, the undersigned, _____ of Harbor Regional Center do certify that the foregoing is a true, exact and correct copy of the contract(s) presented to and approved by the Board of Trustees on the _____ day of _____, 2023.

Signed by: _____

Date: _____

HRC sponsors a Blood Drive in partnership with the American Red Cross

JULY 10, 2023

HARBOR REGIONAL CENTER

TORRANCE SITE

21231 HAWTHORNE BLVD

TORRANCE, CA 90503

CONFERENCE ROOM A1/A2

9:00 AM – 3:00 PM

July 17, 2023

HARBOR REGIONAL CENTER

LONG BEACH SITE

1155 SAN ANTONIO DRIVE

LONG BEACH, CA 90807

CONFERENCE ROOM LB 1

9:00 AM – 3:00 PM

SIGN UP AT [HTTPS://WWW.REDCROSSBLOOD.ORG/GIVE.HTML/CREATE-MY-ACCOUNT](https://www.redcrossblood.org/give.html/create-my-account)



PRESENTATION

On

**‘Self-Determination Program (SDP)
Overview’**

By

**Antoinette Perez, Director of Children
and Adolescent Department**



THE SELF-DETERMINATION PROGRAM

- ▶ SB 468 was signed into law on October 2013 by Governor Brown
- ▶ The Self-Determination Program (SDP) provides individuals and their families with more freedom, control, and responsibility in choosing services and supports to help them meet objectives in their Individual Program Plan (IPP).
 - ▶ 3 year implementation period where 2,500 individuals were identified through a lottery to participate in the program.
 - ▶ Starting July 1, 2021, SDP became available to all eligible individuals receiving services from the regional center.

WHAT IS THE SELF-DETERMINATION PROGRAM?

- ▶ The SDP is a voluntary program consisting of a mix of services and supports, selected and directed by a participant through person-centered planning, in order to meet the objectives in his or her IPP.
- ▶ Self-determination services and supports are designed to assist the participant to achieve personally defined outcomes in community settings that promote inclusion.

5 PRINCIPALS OF SELF-DETERMINATION

FREEDOM

The right to plan your own life and make your own decision

AUTHORITY

Have control over how you spend your budget

SUPPORT

Pick supports and people that help you live, work, and play

RESPONSIBILITY

Make decision in your life and have a valued role in your community

CONFIRMATION

YOU are the decision maker about your life



TRADITIONAL SERVICE MODEL

- **Vendored Providers and/or Participant Directed Services**



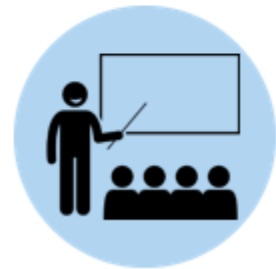
SELF-DETERMINATION MODEL

- **Person Centered Planning**
- **Regional Center Service Coordinator**
- **Individual Program Plan (IPP)**
- **Non-Vendored Providers**
- **Individual Budget**
- **Independent Facilitator**
- **Financial Management Service (FMS)**




STEPS FOR PARTICIPANT TO ENROLL IN SDP

1. Attend an Orientation to SDP
2. Complete a Person Centered Plan
3. Meet with your SC to certify your Budget
4. Decide on an FMS and create your Spending Plan
5. Meet with your SC to finalized your IPP
6. Live your Self-Determined Life!



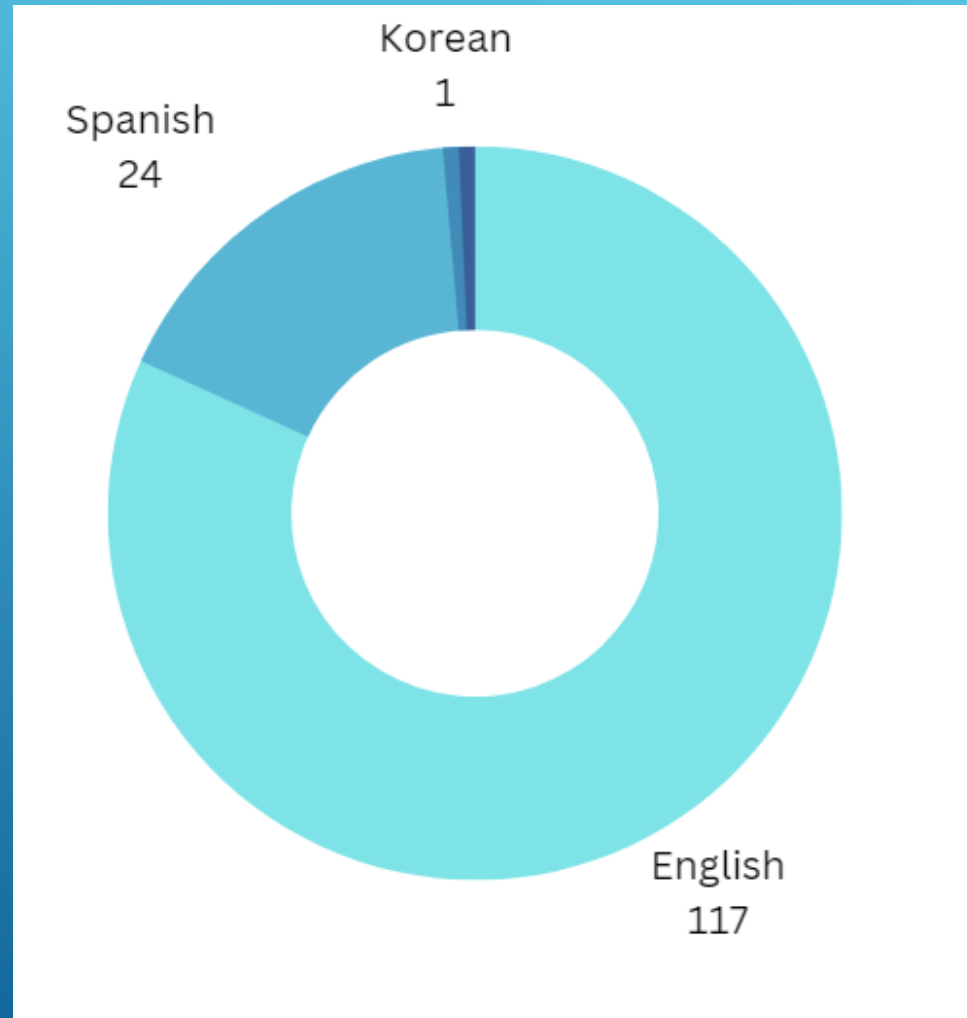
SOFT ROLLOUT VS OPEN ENROLLMENT

- ▶ Initially 2,500 participants were selected October 1, 2018 and a second selection of participants were selected on November 22, 2019.
 - ▶ HRC was allotted 129 participants in the lottery.
 - ▶ HRC currently has 28 live participants from the soft rollout.
 - ▶ The SDP became available for all eligible regional center individuals in July of 2021.
 - ▶ HRC currently has 115 live participants from open enrollment.
- 
- Decorative white lines consisting of several parallel diagonal lines in the bottom right corner of the slide.

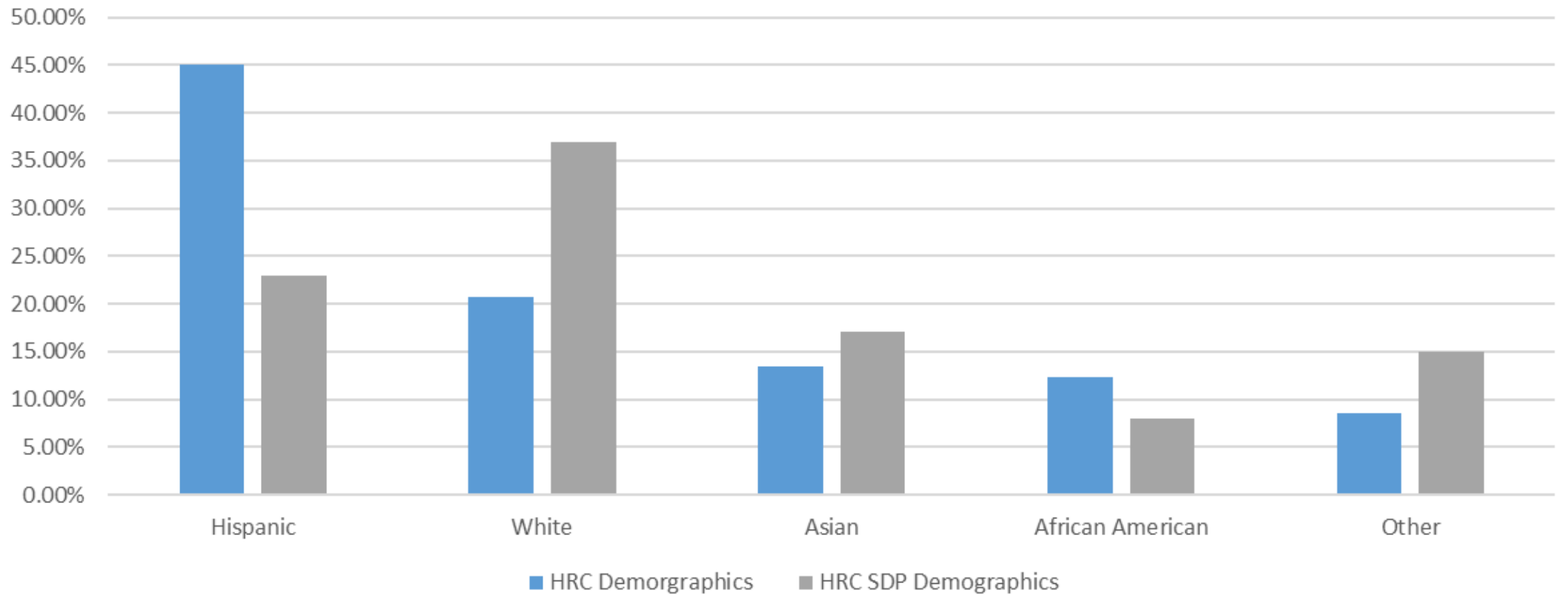
SDP AT HRC

- ▶ 723 fully oriented (96 soft rollout and 627 open enrollment)
- ▶ 143 fully live in SDP (28 soft rollout and 115 open enrollment)
- ▶ Range of budget amounts: \$3,000- \$430,000

SDP BY LANGUAGE



HRC Demographics by Ethnicity



SPECIALIZED SDP TEAM

- ▶ **In July 2022 an SDP team was created in the Department of Children and Adolescence**
 - ▶ **5 SDP Service Coordinators**
 - ▶ **40 SDP participants**
 - ▶ **20 traditional individuals**

IMPLEMENTATION FUNDS

- ▶ **FY 2019-20: Skills4Care**
 - ▶ The total allocated was \$59,107
- ▶ **FY 2020-21 : Phoenix Facilitation LLC**
 - ▶ The total allocated was \$80,784
- ▶ **FY 2021-22: Phoenix Facilitation LLC**
 - ▶ The total allocated is \$80,784
- ▶ **FY 2022-23: TBD**
 - ▶ The total allocated is \$99,917

- ▶ \$7.2 million awarded in 2021 to fund three-year limited term Participant Choice Specialists for each regional center.
- ▶ Intended to be subject matter experts in regional center service options that increase individual choice and control in participant-directed services and SDP.



PARTICIPANT CHOICE SPECIALIST
AURELIO LOPEZ, JESSICA SANCHEZ, AND BRYAN
SANCHEZ

FUNCTIONS AND EFFORTS OF THE PCS TEAM

▶ Training and Disseminating Accurate Information

- ▶ Host trainings for staff and community.
- ▶ Making accurate information available to regional center staff, individuals and families about all participant-directed service options.

▶ Data and Reviewing SDP Documents

- ▶ Reviewing each participant's budget and spending plan to ensure services and supports in the spending plan align with IPP goals, are acceptable in the program, and are HCBS Final Rule compliant.

▶ Outreach and Advocacy

- ▶ Developing and implementing an outreach and training plan for diverse communities
- ▶ Identify concerns and barriers to enrollment, reduce identified barriers and by providing information and training to consumers, families and providers about participant-directed service options.

▶ PARTICIPANT CHOICE SPECIALISTS

▶ Jessica Sanchez

Jessica.Sanchez@harborrc.org

▶ Aurelio Lopez

Aurelio.Lopez@harborrc.org

▶ Bryan Sanchez

Bryan.Sanchez@harborrc.org

THANK YOU!

Decorative white lines consisting of several parallel diagonal strokes in the bottom right corner of the slide.

COMMITTEE REPORTS

● ARCA HRC Representative	Joe Czarske
● Audit	Joe Czarske
● Board Development* <i>action required</i>	Joe Czarske
● Board Planning	Kim Vuong
● Client Advisory	David Gauthier
● Client Services	Patricia Jordan
● Community Relations	Ann Lee, Ph.D.
● Retirement	Dr. James Flores
● Self-Determination	Antoinette Perez
● Service Provider Advisory	Angie Rodriguez





Harbor Regional Center

BOARD DEVELOPMENT COMMITTEE MEETING MINUTES

April 12, 2023

IN ATTENDANCE:	
Joe Czarske	Chair & Past President
Chris Patay	HDDF Board President
Ann Lee, Ph.D.	HDDF Board Vice President
Dr. Jim Flores	HDDF Board Treasurer
Patrick Ruppe	HRC Executive Director
Jennifer Lauro	HRC Executive Assistant

ABSENT:	
David Gauthier	HDDF Board Secretary

Meeting Minutes:

The Board Development Committee held a meeting on April 12, 2023 at 3:00 pm via Zoom to review the following:

- Review of Board Advisor Ron Bergmann’s Application to return to the Board as a voting member, to which it was recommended to bring Mr. Bergmann’s candidacy to the May meeting 16, 2023 Board meeting.
- Review of Official Election Ballot for the election of one new member and three returning members, who if elected the term of service will be July 1, 2023 to June 30, 2025. The official ballot will be voted on at the May 16, 2023 Board meeting.
- Mr. Ruppe reviewed the Board Composition and Term status with the Board and the committee selected the Slate of Officers for fiscal year 2023-24 that will be brought to the May 16, 2023 meeting for a vote.
- Mr. Ruppe advised that board recruitment continues and he is working to bring forward another candidate for the committee to interview at the May 10 board development committee meeting, if available.

Next Meeting: Scheduled for May 10, 2023.



Harbor Regional Center

BOARD DEVELOPMENT COMMITTEE MEETING MINUTES

May 10, 2023

IN ATTENDANCE:	
Joe Czarske	Chair & Past President
Chris Patay	HDDF Board President
David Gauthier	HDDF Board Secretary
Patrick Ruppe	HRC Executive Director
Jennifer Lauro	HRC Executive Assistant

ABSENT:	
Ann Lee, Ph.D.	HDDF Board Vice President
Dr. Jim Flores	HDDF Board Treasurer

Meeting Minutes:

The Board Development Committee held a meeting on May 10, 2023 at 3:00 pm via Zoom to interview a candidate to be seated on the Board.

- Mr. Ruppe reviewed the Board Composition and Term status with the Board.
- In response to HRC's 2023/2024 Board Composition needs, the Board Development Committee conducted an interview of Ramon Gonzalez, an individual served by Harbor Regional Center.
- The Board Development Committee will bring Mr. Gonzalez candidacy to the May meeting. If elected, Mr. Gonzalez's term of service will be through June 30, 2025.

Next Meeting: The Committee will not meet at the next scheduled meeting on June 14, 2023. The next meeting will be on July 12, 2023.



OFFICIAL BALLOT

MAY 16, 2023 –MEETING OF THE BOARD OF TRUSTEES

ELECTION OF OFFICERS
FOR
FISCAL YEAR 2023-24

INSTRUCTIONS: Please cast your vote for (1) one candidate in each of the following categories or in accordance with bylaws 4.2 (a) wherein it also states that nominations may be made from the floor please write in your choice in the space provided. The following candidates have been recommended to the Board by the Board Development Committee:

<u>FOR THE OFFICE OF:</u>		YES	NO	ABSTAIN
PRESIDENT	JOSEPH CZARSKE	_____	_____	_____
VICE-PRESIDENT	LAVELLE GATES	_____	_____	_____
SECRETARY	DAVID GAUTHIER	_____	_____	_____
TREASURER	DR. JAMES FLORES	_____	_____	_____

FLOOR NOMINEES (IF ANY):

PRESIDENT _____

VICE-PRESIDENT _____

SECRETARY _____

TREASURER _____



OFFICIAL ELECTION BALLOT

May 16, 2023
Board of Trustees

The Board Development Committee is pleased to recommend the following candidates to serve on the Board.

If elected, the term of service for the following Board members will be
July 1, 2023 to June 30, 2025

NAME	YES	NO	ABSTAIN
Eber Bayona			
Ron Bergmann			
Fu-Tien Chiou			
LaVelle Gates			
Ramon Gonzalez			

Harbor Regional Center (HRC) Community Relations Committee April 12, 2023

Committee Members Present: Ann Lee, Chair, Board Member; Dee Prescott, Co-Chair, Service Provider, Easter Seals Southern California; April Rehrig, Community Partner; Laurie Zaleski, Parent and Board Member; Thao Mailloux, HRC Director of Information and Development.

Members Absent: April Stover, Service Provider, Ability First

Legislative Advocacy

Thao Mailloux shared that the annual event, coordinated by ARCA, took place virtually this year on March 29, 2023 and a majority of the regional centers across the state participated in this event. HRC participated in seven meetings with local legislative representatives, sharing a few meetings with neighboring Los Angeles County Regional Centers. There were seven individuals representing HRC during these meetings. Assemblymember Gipson was unable to attend Grassroots Day, however, on April 10, 2023, SLARC and a few members from HRC's team met with Assemblymember Gipson's office to continue their efforts in advocating for positive change in the Regional Center system.

During the legislative meetings, each team expressed the importance of stabilizing Regional Center Service Coordination by requesting the updating of the Core Staffing Formula. This request highlighted the support received from Assemblymember Joaquin Arambula. The Regional Centers also advocated for the support of the AB 649 (Wilson), to repeal an administrative appeal mandate. Other key topics of focus were to further advance the implementation of the provider rate reform and the repeal of fees on families.

During the meetings, HRC highlighted the importance and the impact services had for the individuals we serve in our community by sharing a video of Bryan, a young 22 year-old participant of SVS, who shared his story and how his participation in his program has shaped his life experiences. It gave representatives a way to visually hear and connect with what regional centers, in partnership with service providers, hope to achieve. Members of the team shared their experiences and voices related to how services have supported their family members, how as a service coordinator it was important to build relationships with families, and as a service provider, the challenges that are faced daily.

HRC leveraged social media to bring awareness to the legislative efforts made at the onset of Grassroots Day and at the end of the day. In addition, HRC was able to continue to build momentum by further posting screenshots of the virtual meetings and thanking the legislators/their offices for their time. Assemblymember Lowenthal's (AD69) office reposted one of HRC's post on Instagram. The social media efforts yielded engagement with 1,745 accounts on Facebook and Instagram. Thao Mailloux developed an infographic fact sheet that contained HRC's Mission and a summary of HRC's demographics, which were sent to each of the Assembly and Senate Districts in our catchment area after the meetings to further establish connections with the offices and representatives.

HRC in the Community – Building on the Connections

To further build upon the legislative advocacy progress made during Grassroots Day, on April 7, 2023, Thao Mailloux and Jasmin Maravilla, HRC's Public Information Specialist, attended the Torrance Chamber of Commerce: State of the State event. During the event, Senator Steven Bradford (SD35), Senator Ben Allen (SD24), and Assemblymember Al Muratsuchi (AD66) spoke about their key priorities. Thao and Jasmin met with Senator Allen and Assemblymember Muratsuchi, as well as district office representatives from Senator Bradford's office. In addition to speaking with George Chen, Mayor of Torrance, many connections were made with local businesses and organizations. Each individual expressed positive knowledge of and their continued support for Harbor Regional Center.

On April 8, 2023, HRC continued their community outreach efforts by having a booth at Assemblymember Gipson's Annual Autism Awareness 5K Run/Walk, which took place in Wilmington, CA. HRC is looking to increase opportunities throughout the year for legislative advocacy and outreach.

There was discussion about HRC's participation in community events. There has been an increase in requests for HRC to attend and participate in a variety of resource fairs and events. Thao also shared that HRC recently collaborated with the Cambodian Association of America (CAA) in Long Beach for the Cambodian New Year Parade/Festival; however, HRC participated as a partner to CAA and shared their booth, rather than having a booth separately. This was a new approach to bridging connections with communities. HRC was able to meet the families served by CAA and share information about HRC and our services in Cambodian/Khmer.

Committee members were delighted to hear the achievements of HRC in building relations with the community, expressed positivity regarding HRC's social media and website enhancements over the past several years, and are looking forward to HRC's efforts for the summer. There was discussion about May being Mental Health Awareness Month and Ann shared upcoming events that DMH – Take Action LA was coordinating. HRC is scheduled to participate in a few events in collaboration with DMH. Some committee members were looking forward to sharing the DMH resources as part of Mental Health Awareness Month. Committee members will continue to look for opportunities where HRC can participate and will share with Thao.

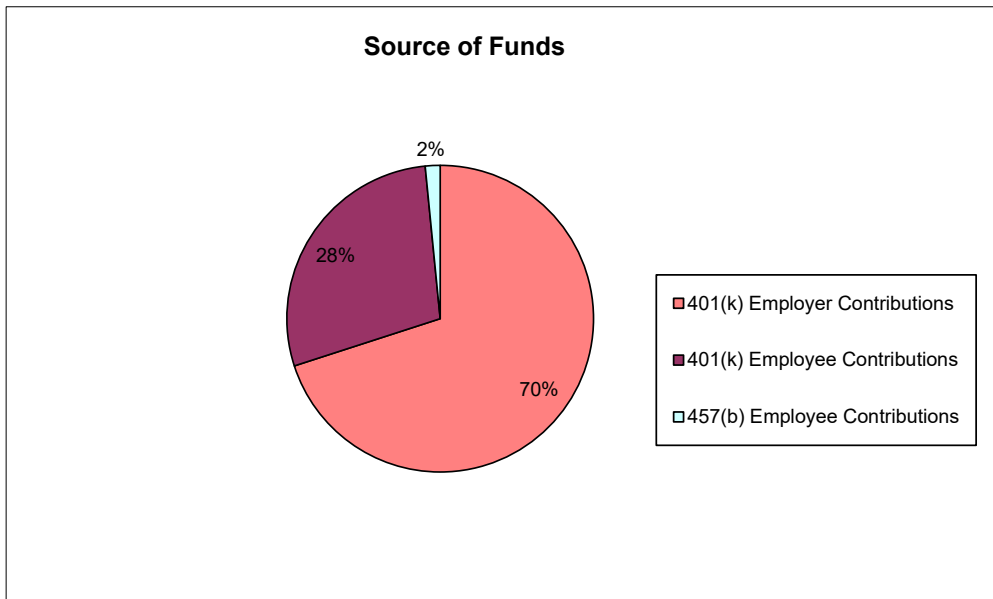
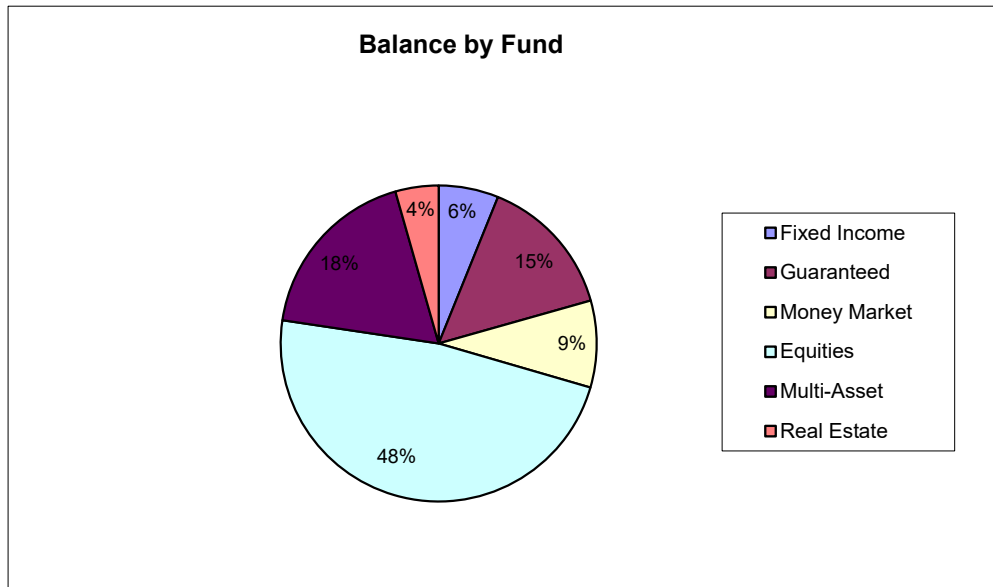
Committee members also participated in a discussion about changing the meeting time for future meetings. The next Community Relations Meeting is scheduled to occur virtually on June 14, 2023.

**Harbor Regional Center
Retirement Plan Balances as of 3-31-2023**

	401(k) Employer Contributions	401(k) Employee Contributions	457(b) Employee Contributions	Total Balance
Fixed Income	\$2,696,267	\$993,726	\$30,911	\$3,720,903
Guaranteed	\$5,251,879	\$2,886,832	\$666,351	\$8,805,062
Money Market	\$4,330,685	\$1,053,503	\$9,741	\$5,393,929
Equities	\$20,320,671	\$8,686,087	\$63,386	\$29,070,144
Multi-Asset	\$8,180,674	\$2,721,210	\$169,683	\$11,071,567
Real Estate	<u>\$1,752,475</u>	<u>\$922,360</u>	<u>\$10,164</u>	<u>\$2,684,999</u>
Total	\$42,532,651	\$17,263,718	\$950,235	\$60,746,604

* Plan Balances include active and terminated employees still in the Retirement Plan.

** Employee Contributions include **\$1,932,728** in Rollover funds.

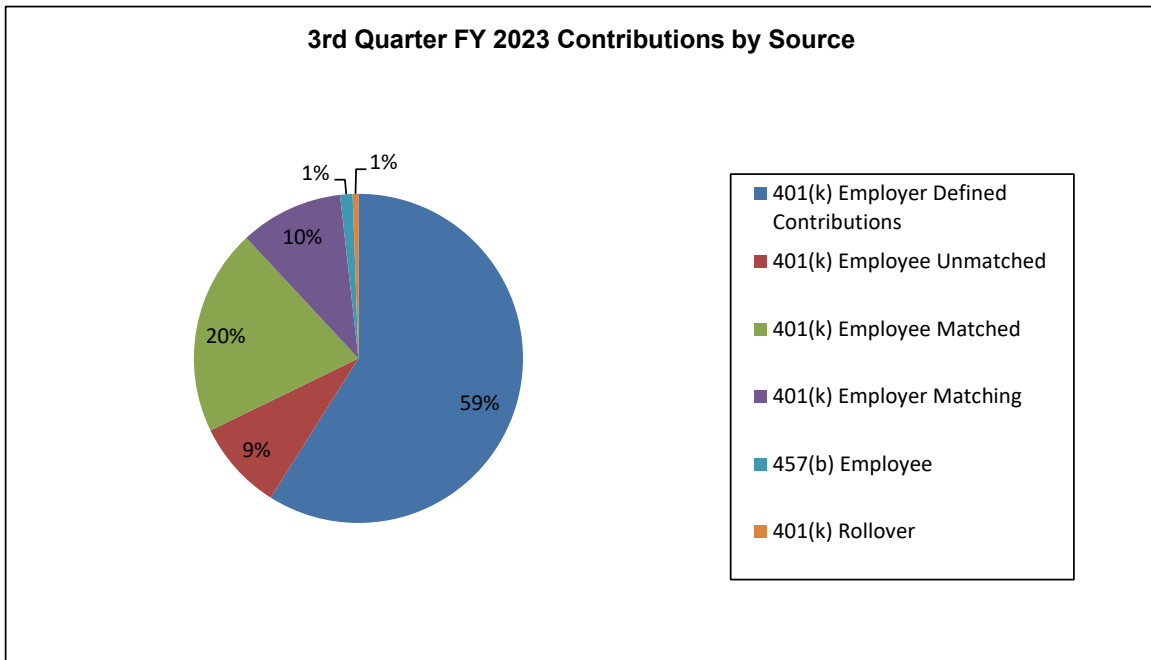


**Harbor Regional Center
Retirement Plan Balances as of 3-31-2023**

	<u>401(k)</u>	<u>457(b)</u>	
Fund Balance 12/31/22	\$57,645,690	\$918,944	
Activity 1/1/23 - 3/31/23			
Distributions	(\$1,230,909)	\$0	
Contributions	<u>\$1,006,687</u>	<u>\$12,470</u>	
Net	\$57,421,468	\$931,414	
Fund Balance 3/31/23	\$59,796,369	\$950,235	
Gain/(Loss)	\$2,374,901	\$18,821	
% Gain/(Loss) for the Period	4.12%	2.05%	
 <u>Participants</u>			
Active Employees in Retirement Plan	395	3	61%
Terminated Employees in Retirement Plan	254	5	39%
Active Employees Total Balance	\$38,518,606	\$289,988	64%
Terminated Employees Total Balance	\$21,277,763	\$660,248	36%
 <u>Loan Information</u>			
	<u>3/31/23</u>		
Employees with Loans			
Active Employees with Loans	28		
Terminated Employees with Loans	<u>5</u>		
Total	33		
Average Balance Amount	\$2,688		
Loan Value Total	\$88,713		

**Harbor Regional Center
Retirement Plan Balances as of 3-31-2023**

	<u>401(k)</u>	<u>457(b)</u>
<u>Contributions</u>		
Employer		
Defined (10%)	\$600,838	\$0
Matching (50% of Employee Matched)	\$103,350	\$0
Employee		
Matched (up to 6%)	\$206,699	\$0
Rollover	\$5,745	\$0
Unmatched	<u>\$90,054</u>	<u>\$12,470</u>
Total	\$1,006,687	\$12,470
Employees Contributing	263	
Average deferral percentage	6.44%	



HARBOR REGIONAL CENTER
Self Determination Advisory Committee
Meeting Minutes
April 5, 2023

Opening:

The regular meeting of HRC Self Determination Advisory Committee was called to order at 6:07PM on Wednesday April 5, 2023 via Zoom. Quorum was established.

Committee Member Present

Rosalinda Garcia, Parent
Deaka McClain, Client
Jamie Temple, Office of Client Rights Advocacy Representative
SungHee Park, Parent/Independent Facilitator
Kyungshi Choi, Parent
Maria Elena Walsh, HRC
David Oster, Client

HRC Staff Present

Patrick Ruppe – Executive Director
Antoinette Perez – Director of Children’s Services
Jessica Sanchez- Participant Choice Specialist
Aurelio Lopez- Participant Choice Specialist
Bryan Sanchez- Participant Choice Specialist
Johnny Granados – Client Service Manager
Tim’an Ford – Peer Advocate
Thao Mailoux – Director of Information and Development

SCDD Staff Present

Albert Feliciano

Visitors

Naomi Hagel, Phoenix Facilitation
Abraham Flores Rojo, Phoenix Facilitation
Helen Reese, Phoenix Facilitation
Santiago Villalobos, Phoenix Facilitation
Jamie Van Dusen, Department of Developmental Services
Shelia Jordan Jones, Independent Facilitator
Reiko Umeda, Independent Facilitator
Selvin Arevalo, GT Independence FMS
Tamra Pauly, Independent Facilitator
Katherine Manriquez, Parent
Yolanda Gomez, Parent
Martha Gutierrez, Parent
Tanya Talley, Parent
Carmela Barrera, Guest
Nancy Gomez, Guest
Grace Robinson, Guest

HARBOR REGIONAL CENTER
Self Determination Advisory Committee
Meeting Minutes
April 5, 2023

Ileana Lopez, Parent
Angela Barnes, Parent/Independent Facilitator
Fernando Nunez, Interpreter

Abbreviations

HRC: Harbor Regional Center
IF: Independent Facilitator
PCP: Person-Centered Plan
SCDD: State Council on Developmental Disabilities
SDP: Self-Determination Program
DVU: Disability Voices United
FMS: Financial Management Service
DDS: Department of Developmental Services
RFP: Request for Proposal
SDAC: Self-Determination Local Advisory Committee

Welcome:

Introductions of committee members and guests via the chat.

Approval of Minutes:

- Minutes of March 1, 2023 were reviewed and approved.

Harbor Regional Center Monthly Updates:

Aurelio Lopez presented recent DDS directives and Jessica Sanchez presented the SDP data in a graph format via an updated “HRC SDP” Power Point presentation shared via Zoom.

- Soft Roll Out Participants
 - Total Participants Selected: **129**
 - Remained in SDP: **79**
 - Withdrew: **38**
 - Moved out of State: **4**
 - Inactivated/Not DD: **4**
 - Transferred Out (to another RC): **3**
- Completed PCPs 115; 25 within the soft rollout and 90 from 7/2021 to 4/2023
- Certified Budgets 185; 34 within the soft rollout and 151 from 7/2021 to 4/2023
- Spending Plans 142; 28 within the soft rollout and 114 from 7/2021 to 4/2023
- SDP Live 138; 28 within the soft rollout and 110 from 7/2021 to 4/2023
- SDP by Ethnicity: 33 **Latino** participants, 51 **White/Caucasian** participants, 10 **African-American/Black** participants, 4 **Filipino** participants, 1 **Korean** participant, 1 **Guamanian**, 8 **multi-cultural**, 6 **other**, 4 **Other Asian**, and 3 **Chinese** participants, 6 **Asian Indian**, 6 **Biracial**, 3 **Vietnamese**, 2 **Japanese**

HARBOR REGIONAL CENTER
Self Determination Advisory Committee
Meeting Minutes
April 5, 2023

- SDP by Language: 112 English speaking participants, 1 Korean-speaking participant, and 24 Spanish-speaking participants, and 1 Japanese-speaking participant.
 - Total Participants fully orientated 705 (102 are in the follow up stages, 236 are in the unknown stage, 229 have decided to withdraw)
 - HRC Budget Range: \$3,000 - \$430,000
 - Shared Phoenix Facilitation LLC support for SDP

- Phoenix Facilitation LLC Updates
 - Referral data of February and March were provided.
 - Breakdown of referral demographics was shared.

- Questions/Comments:
 - HRC reminded committee of implementation funds for FY 22-23.
 - HRC provided breakdown of past implementation funds.
 - HRC requesting committee decide on how to utilize implementation funds by next committee meeting.
 - Questions about IF training was asked.
 - Clarification of a Request For Proposal (RFP) was given.
 - IF/parent would like to explore more agencies/supports/options for implementation funds

- Fair Hearings:
 - No updates with fair hearing at this time

Statewide Updates:

- Further Statewide information can be found on the website's Q&A

Partner Updates:

Office of Clients and Rights Advocacy

- No updates at this time

SCDD- Albert Feliciano

- SDP training every Mondays at 10am: <https://scdd.ca.gov/sdp-orientation/>
- Statewide orientations offered in three languages: English, Spanish, Vietnamese
- New revised SCDD Professional Conduct Policy for LVAC members.

Public Comments:

- An IF shared her positive experiences with working with HRC
- Another IF shared positive experience and spoke highly of an HRC service coordinator

HARBOR REGIONAL CENTER
Self Determination Advisory Committee
Meeting Minutes
April 5, 2023

- One family member shared their lengthy transition time into SDP. HRC agreed to follow up with family to address issues.
- Question asked if an HRC Peer Advocate can be part of SDP. HRC addressed that a Peer Advocate can be part of SDP.
- Comment made regarding the importance of IF's staying informed with SDP changes.
- FMS requested HRC spending plans contain specific information. HRC agreed.

Next meeting: May 3rd, 2023 via Zoom 6PM – 8PM

Adjournment, Conclusion

Meeting was adjourned at 7:10 PM.

Minutes submitted by Bryan Sanchez

Harbor Regional Center
Service Provider Advisory Committee (SPAC)
 April 4, 2023 10:00 a.m.
 Virtual via Zoom Meeting

Committee Participants

Member Name	Organization
Angie Rodriguez	SVS
Armand Garcia	Life Steps Foundation
Alex Saldana	Oxford Services
April Stover	Ability First
Ariel Elizarraras	Dungarvin Norwalk
Baldo Paseta	Ideal Transit
Christine Grant	Dungarvin
Dee Prescott	Easter Seals
La Tasha Bellard	Easter Seals
Doris Bell	Ambitions
Lindsey Stone	ICAN CA
Shea Matherly	ARC Long Beach
Maria Ortiz	Pathpoint
Nancy Langdon	Canyon Verde
Sharon Oh	Share Speech
Veretta Boatner	Ca Mentor
Anthony McConnell	Dungarvin
Cindi Raimondi	ABLE
Brian Lockhart	Ca Mentor
Tiffany de la Torre	24 HR Home Care
Alicia Chavira	Ideal Transportation
Karla Salinas Reyes	Life Steps Foundation
Donna Gimm	Ca Mentor
Tiki Thompson	Person Centered Options
Glenda Lang	Options for Birth & Family Services
Elaine Winkler	CBEM LLC
Stephani Anderson	Momentum
Melvin Randolph	Westview
Catherine	Not listed
Pat Ball	Moneta Learning Center
Vivi Santillan	Not listed
Leslie Ramirez	Not listed
Wendy Meza Flores	Not listed
Elsa Vasquez	Not listed
Marcus Cota	Not listed
Johana	Not listed

HRC Staff Participating

Staff Name	Title
Patrick Ruppe	Executive Director
Mercedes Lowery	Community Services Manager
Steve Gocłowski	Clinical Services Manager
Elizabeth Garcia-Moya	Manager of Resource Development
Leticia Mendoza	Department Assistant Community Services
Ute Czettel	Controller
Judy Wada	Chief Financial Officer
April Burns	Department Assistance Finance
LaWanna Blair	Director of Early Childhood
Judy Taimi	Director of Adult Services
Mary Hernandez	Director of Case Management Support Services
Karine Paulan	Manager of Rights and Quality Assurance
Sylvia Olvera	Physician Clinical Services

Call to Order

Angie Rodriguez, Chair called meeting at 10:03 a.m.

Sub-Committee Updates

Angie Rodriguez and SPAC Chair Members. The subgroups recently hosted individual sub-committee meetings to discuss current issues and concerns.

- **Doris Bell – Presented in behalf of Darlene W- Residential Chair** - provided an update on topics they discussed at the last meeting held on 3/15/2023:
 - Continue to have challenges hiring staff
- **Diane Sanka- Day Programs Chair** — provided an update on topics they discussed at the last meeting held on 3/30/23.
 - Transportation to day program challenges continue for some clients.
 - Shared information for the upcoming CA. Disability Advocacy Seminar on 4/25/23 – 4/27/23. Some scholarships are available for clients interested. Additional information on website <https://thearcca.org/conference/>
- **Lindsey Stone- Supported Employment Chair**-provided an update on topics discussed at the last meeting held on 3/23/23.
 - CARF certification process
 - Shared information & flyer for the upcoming El Camino Job Fair on 4/19/2023. Ages 16-24. For service providers interested in reserving a table to participate at the job fair, must RSVP using the QR code on the flyer.
 - Lindsey looks forward to the next HRC SEP provider meeting on April 20th with Brenda Bane.
- **Armand Garcia –Presented in behalf of Robert T. –SLS / ILS Chair** - provided update on meeting held on with SLS providers and for now no updates.
- **Sharon Oh – Early Start Chair** was present but unavailable to speak due to voice restriction. She will provide an update at the next SPAC meeting.
- **Paul Quiroz–Support Services, Chair** – Not in attendance
- **Baldo Paseta- Transportation Chair**- Not in attendance

COVID AND SIRS

Mary Hernandez, shared the latest DDS directive regarding Ending SIRC reporting for COVID-19 & MPOX cases. Service providers are no longer required to submit reports to Regional Centers effective 4/3/2023. The state of public emergency for COVID-19 ended on 2/28/23. The federal public emergency will also expire 5/11/2023.

Karine Paulan, shared the March 2023 vendor summary data of SIR timelines. HRC is at the 82% range in comparison to other regional centers. Karine reminded the committee to ensure they are including the client UCI numbers, vendor number & all details when submitting SIRs to HRC.

Mary also reminded the committee about the upcoming Medicaid Waiver Audit in the summer.

El Camino Job Fair

Lindsey Stone encouraged the committee for those interested to attend El Camino Job Fair coming up on 4/19/2023. Ages 16-24. Several employment agencies will be there and will provide information process of the referrals.

HRC Purchase of Authorization Process

Judy Wada shared a presentation overview of the Administration /Accounting Purchase of Authorization (POS) process with the committee.

- Accounting staff review the POS Request and enter information in the Uniform Fiscal System (UFS— DDS's accounting system) which generates the Purchase Order and authorization number. UFS bridges to Virtual Chart so the SC can see the approved POS Request with the authorization number.
- New Purchase Orders are automatically emailed weekdays at approximately 4:00 PM.
- The software that distributes the Purchase Orders also generates an Excel report listing current open POS authorizations. The open authorization report is emailed with the Purchase Orders.
- The email is sent encrypted from HarborAuth@harborrc.org to the provider's eBilling Administrator unless another email address is provided. HRC recommends that providers use a generic email address to receive POS' so more than one person can view the email inbox, rather than assigning one individual.
- Any questions or want to check/change the email address receiving Purchase Orders, email: hrcaccounting@harborrc.org.

Representative Payee

Judy Wada shared a presentation of HRC new Representative Payee service provider agency New Leaf effective May 1, 2023.

- ▶ Reason why the switch needs to happen
 - Social Security will not allow TMS to take on any new HRC clients (distance is more than 75 mile radius)
 - HRC is no longer to be rep payee for any individuals
- ▶ What will change?
 - Clients/families/service providers and/or SCs will contact New Leaf directly about SSI/SSA benefits and other income. SCs will notify New Leaf of any changes.
 - New Leaf will complete Medi-Cal redeterminations as needed.
 - HRC is transferring information to New Leaf so payments can follow the schedules in place.
 - SC's are working on spend downs of balances over or near \$2,000.

- Changes will affect clients in residential settings:
 - P&I will increase from \$200 to \$300 in terms of the amount that will be held at the home
 - The timing of payments:
 - Board & Care - New Leaf sends the client's share for board & care on the 10th (if signed up for direct deposit, they'll receive payments on the 5th)
 - P & I – New Leaf sends on the 10th (if signed up for direct deposit, they'll receive payment on the 5th). P&I payment is not based on ledgers or receipts. Occasionally New Leaf may request that the home submit ledgers or receipts.
- **Timeline**
 - HRC letters regarding transfer of payeeship (English, Spanish, and Tagalog) were mailed to clients/families/homes week of 3/27/23
 - New Leaf will send Welcome Packets to clients/families/homes the week of 4/10/23
 - In June-July New Leaf will offer onsite workshops for clients, families, service providers, and HRC staff. To be held at HRC in Torrance and Long Beach offices.

Personal and Incidentals & New Regulations & Pins

Mercedes Lowery shared the latest update regarding masking guidelines in day program & residential settings:

- All Adult and Senior Care (ASC) Program Licensees
Updates to Masking Guidance
- On March 3, 2023, the California Department of Public Health (CDPH) announced updated masking recommendations for ASC facilities pursuant to the CDPH Guidance for the Use of Face Masks. These updates are effective April 3, 2023.
- As a reminder, there may be masking requirements for facility staff that are more strict than the CDPH Guidance for the Use of Face Masks (See also COVID-19 Prevention Non-Emergency Regulations and Cal/OSHA's standards for Aerosol Transmissible Diseases (ATD). Licensees should follow the strictest requirements.
- **End to COVID-19 Vaccine Requirement for Workers in ASC Facilities**
- On March 3, 2023, CDPH announced that the State Public Health Officer Order, Adult Care Facilities and Direct Care Worker Vaccine Requirement, will be rescinded effective April 3, 2023.
- CCLD will release an updated Provider Information Notice (PIN) that reflects these updates. Please continue to monitor the [CCLD homepage](#) for updated information regarding COVID-19.

This topic brought up a group discussion regarding the conflicted information provided by the CDPH, CCLD & DDS. Patrick will provide updates as soon as they are received from CDPH.

Mercedes reminded the residential service providers to contact the service coordinators regarding P&I sufficient funds to be available for clients for community outings.

HCBS, CPP approved projects and grants

Elizabeth Garcia Moya gave update on HCBS:

- Intellectability agency held Person-Centered Thinking training. ALO Consultation: HCBS the Basics, 1:1 consultations with providers those needing extra support in addition the Remediation clinics.

- HRC continues to provide ongoing support trainings to service providers and weekly clinics. Trainings offered in both Torrance & Long Beach offices. Providers must register by emailing the Team Assistant Rosa.Olea@harborrc.org.
- As of April 17th, Brian Carrillo is the new HCBS Specialist in the Community Service Department.

Title 17, Section 54330 Reporting Changes

Elizabeth Garcia Moya reminded service providers of the Title 17 Regulations requirements regarding changes to their service provider files. Service providers must notify the vendoring regional center **30 days** prior to any change in any of the following:

- Ownership
- Location
- The vendor's required license, certificate, registration, credential or permit.

The vendor shall notify in writing the vendoring regional center and all user regional centers at least **60 days**:

- Before it discontinues providing services and any modifications to the program design or service

How to report a Change:

- To notify HRC of a change, please submit a letter to VendorRequests@harborrc.org
- Agency letterhead must include the vendor number/s, effective date and specify the change/s you are requesting such as:
 - Address
 - Ownership
 - Updating Contacts
 - Tax status / Tax-ID
 - E-Billing

HRC CPP Approve Projects and Process

Letters of Interests Submission for the following:

- Tailored Day Services (TDS)
- Residential Licensed Home-4I
- Coordinated Family Support (CFS)

Request for Proposals:

- Financial Management Services (FMS)
- Enhanced Behavioral Supports Home (EBSH)-Children & Adults
- Day Program
- Gender Inclusive Licensed Home-4I
- Family Home Agency-FHA
- Deaf & Hard of Hearing Licensed Home-4I
- Behavior Respite Services
- Housing Development Organization (HDO) for the acquisition of a family home for the development of an ARFPSHN

Information above posted on the HRC website. Providers are encouraged to apply.

Early Start Trainings

Steve Goclowski presented on the current Reimbursement for Early Start Service Provider Training administered through CA DDS under the American Rescue Plan Act (ARPA) Funds.

- Staff time reimbursement for attending training:

- Actual cost of staff time spent up to maximum of \$50/hour, whichever is lower
- Reimbursement for cost of training (though many are free)
- Training must be at least one hour in duration
- Approved trainings can be found at:
 - <https://earlystartneighborhood.org/professional-development/list-of-trainings/>
- Providers wanting to provide trainings not on the list may submit a training request to:
 - <https://app.smartsheet.com/b/form/8fc56f102e744e6d9df048270e3d9e22>

Permissible topics:

- Cultural competency and cultural humility in the delivery of services
- Reflective practice and supervision
- Recognizing Adverse Childhood Experiences (ACEs) and Toxic Stress
- Recognizing and addressing implicit bias in oneself, and in service delivery
- Supporting early childhood inclusive practices
- Online trainings permissible
- Travel and lodging to attend trainings *not* reimbursable

Providers are encouraged to apply by contacting Steve.Goclowski@harborrc.org.

- HRC Website link to info:

<https://www.harborrc.org/pod/2023-early-start-training-reimbursement-program>

- ▶ HRC Welcomes new staff Physician, Dr. Sylvia Olvera.

Next Meeting scheduled for 6/6/2023

Meeting Adjourn 11:13 a.m.



PUBLIC COMMENT

- ❖ We have arrived at the time on the agenda for public comment.
- ❖ **Participants should now turn the “interpreter” icon at the bottom of your screen back to English.**
- ❖ To ask the Board a question, please use the **RAISE YOUR HAND feature**. To make a comment, please use the CHAT feature
- ❖ We request that you please limit your comments to 5 minutes.

THANK YOU!

INFORMACIÓN DE CONTACTO

❖ Para contactar a la Oficina Ejecutiva:

Patrick Ruppe, Director ejecutivo	(310) 543-0632
Jennifer Lauro, Asistente ejecutivo	(310) 543-0632
Jesús Jimenez, Asistente bilingüe de la Oficina Ejecutiva	(310) 543-0606

❖ Para ponerse en contacto con nuestra Junta, envíe un correo electrónico a: publicinput@harborrc.org

❖ Para localizar a su Coordinador de servicios visite: <https://www.harborrc.org/post/contact-our-staff>

❖ Para presentar una queja sobre el HRC, or uno de nuestros empleados, proveedores de servicios, o para **solicitar una apelación**, vaya a: <https://www.harborrc.org/appeals-and-complaints>



CONTACT INFO

❖ **To contact the Executive Office:**

Patrick Ruppe, Executive Director	(310) 543-0632
Jennifer Lauro, Executive Assistant	(310) 543-0632
Jesus Jimenez, Bilingual Executive Office Assistant	(310) 543-0606

❖ **To contact our Board**, submit an email to:

publicinput@harborrc.org

❖ **To locate your Service Coordinator:**

<https://www.harborrc.org/post/contact-our-staff>

❖ **To file a complaint** about HRC, or one of our employees or service providers and or to request an appeal, go to:

<https://www.harborrc.org/appeals-and-complaints>





CLOSED SESSION



- Labor Negotiations



ADJOURNMENT



Next Business Meeting of the Board

July 18, 2023